

UNIVERSITY OF MISSOURI GENERAL FINANCIAL DISCLOSURE
RELATED TO PHS AND NSF SPONSORED PROJECTS

Name:
Sponsoring Federal Agency:
Project Title:

My position on this project is: _____ PI _____ CoPI _____ Other (explain)

This form is intended to provide information needed to comply with the Financial Disclosure Policy requirements of the National Science Foundation (NSF) and the Public Health Services (PHS). This disclosure form is consistent with University Executive Guideline 32, Section 420.030 of the Collected Rules and Regulations of the University of Missouri. Grant applicants are required to declare any significant financial interest related to the proposed project.

The term "significant financial interest " means anything of monetary value, including, but not limited to, salary or other payments for services (e.g., consulting fees or honoraria); equity interests (e.g, stocks, stock options or other ownership interests); and intellectual property rights (e.g., patents, copyrights and royalties from such rights).

The term does not include:

- a salary, royalties or other remuneration from the University;*
- b. income from seminars, lectures, or teaching engagements sponsored by public or nonprofit entities;*
- c. income from service on advisory committees or review panels for public or nonprofit entities; or*
- d. an equity interest that when aggregated is both not more than \$10,000 and is not more than 5% ownership interest in any single entity; or*
- e. salary, royalties or other payments that when aggregated for the next twelve months, is expected to be not more than \$10,000 .*

For PHS proposals and awards, subgrantees, contractors, and collaborators have the same duty to disclose as investigators. PIs shall have the responsibility to obtain and submit the relevant financial disclosures from the subgrantees, contractors, and collaborators.

This form should be included with the Grant Data Form .

I hereby declare that I, my spouse, or dependent children, have significant financial interests related to the work to be conducted under this sponsored project as reported on the reverse side of this form - (if NONE - so indicate).

_____ **Nothing to Disclose** _____ **See page 2
For Disclosure**

I certify that the information provided is a complete listing of all significant financial interests related to this sponsored project. I understand that this Disclosure is a public document.

Signature _____ **Date** _____

UNIVERSITY OF MISSOURI
DETAILED FINANCIAL DISCLOSURE RELATED TO
PHS AND NSF SPONSORED PROJECTS

Name:

Sponsoring Federal Agency:

Project Title:

*This is page 2 of a total pages that constitute full disclosure of financial interests related to this project.
Attach additional sheets as necessary.*

Name of Entity: Type of Entity (Check all that apply):

For Profit Nonprofit Private Public Other (explain)

Income from this entity in the past 12 months _____

Source of income (Check all that apply): None Salary Consulting

Dividends Honoraria Royalty Spouse/dependent child(ren) earnings

Type of equity ownership (Check all that apply):

None Stock Stock Options Real estate Other investments
(explain)

Value _____ Percent of Ownership _____

I hold a position of management with this entity as an officer, board member, director, partner etc. Yes No

This entity will serve as a proposed subcontractor, consortium member, supplier of goods, lessor or otherwise be involved with the project. Yes No

This entity holds patent rights to my invention and or copyright. Yes No
If yes, please explain -

This entity may manufacture or commercialize a product or products, procedure or procedures that are involved with this project or that may likely result from the project. Yes No

It is reasonable to anticipate that this sponsored project will have a direct and significant effect on this entity. Yes No
If yes, please explain -

Other comments that would assist in understanding the relationship of this project with this entity:

I understand that this Disclosure is a public document.