Drug-Free Schools and Campuses Regulations [Edgar Part 86]  
Biennial Review: Academic Years 2015-2016

Authors: Robin Kimberlin, MSW & D’Andre Braddix, EdD  
Coordinating Office: Student Social Services & Student Conduct, Division of Student Affairs  
University of Missouri St. Louis

The following report format is based on the requirements of the Drug-Free Schools and Colleges [EDGAR Part 86] Act. The following is adapted with permission from the Illinois Higher Education Center. The following report items are suggested Biennial Review format & contents.

**Introduction/Overview**

The Drug-Free Schools and Campuses Regulations (EDGAR Part 86) require that, as a condition of receiving funds or any other form of financial assistance under any federal program, an institution of higher education (IHE) must certify that it has adopted and implemented a program “to prevent the unlawful possession, use, or distribution of illicit drugs and alcohol by students and employees” both on the institution’s premises and as part of any of its activities. According to the Part 86 regulations, if an IHE fails to submit the necessary certification when requested to do so or violates its certification, the Secretary of Education may terminate all forms of financial assistance whether from the Dept. of Education or other federal agencies (34 CFR Section 86.1 and 20. USC &1145g.).

The Drug-Free Schools and Campuses Regulations also require IHEs to conduct a biennial review of the AOD (alcohol and other drugs) programs and policies to determine program effectiveness and consistency of policy enforcement and to implement any changes needed to either.

This legislation directs the University of Missouri-Saint Louis to maintain a written policy on alcohol and other drugs and to maintain a process that ensures policy distribution to all students, staff and faculty. The written policy must enumerate federal, state and/or local sanctions for unlawful possession or distribution of illicit drugs and alcohol, describe health risk associated with alcohol abuse or illicit drug use, describe University drug and alcohol programs available for students and employees, and specify disciplinary sanctions imposed on students and employees for policy violations. Also, the University is required to conduct biennial reviews to assess the effectiveness of its alcohol and drug prevention programs.
In compliance with federal legislation, the Social Worker in the Division of Student Affairs, Robin Kimberlin, MSW, with assistance from the Assistant Dean of Students in the Division of Student Affairs, D’Andre Braddix, Ed.D., has prepared this biennial review. This report covers July 2014 - June 2015.

Data for this process was collected throughout the academic year. It was assessed twice for the purposes of this report; once in the December/January and once in July. Data was collected in a variety of methods, dependent on the department. The UMSL Biennial Review can be found on the Student Consumer Information webpage (under the Registrar’s Office): http://www.umsl.edu/~registration/students/studentconsumer.html Click on “Alcohol and Other Drug Policy” and a pdf is available for printing. Past reports can be requested through the Office of Student Affairs.

**AOD Comprehensive Program Goals and Objectives for Biennium**

**Period Being Reviewed**

1. Reduce percentage of students engaging in high-risk driving behaviors
2. Reduce percentage of students with high-risk drinking behaviors
3. Improve efficacy of prevention efforts on campus by increasing knowledge base, skill set, and credibility of key staff on campus
4. Decrease misperception of the student conduct process, specifically as it relates to enforcement of alcohol offenses

**Annual Policy Notification Process- Fall 2015**

UMSL currently distributes the Alcohol and Other Drug Abuse policy to every UMSL student and employee via their campus email accounts annually. In addition, the policy is noted in the Triton Manual Orientation handbook provided to all new and transfer students. It is also available online on the New Student Programs website and Student Affairs website.

- Content of policy/ Copy of policy – attached in appendix
  - Students who are taking classes off-campus, abroad, and on-line - All students who are currently enrolled have an active UMSL email address and are provided the policy via student wide email as noted above
- Primary method utilized to distribute policy to all employees – staff and faculty are distributed the policy through campus wide emails and their UMSL email address, and employees receive notification through their University email address
Content of policy/ Copy of policy (may be noted with a reference to see appendices)

- Standards of conduct that clearly prohibit, at a minimum, the unlawful possession, use or distribution of illicit drugs and alcohol by students and employees on its property or as part of any of its activities
- A description of the applicable legal sanctions under local, State, or Federal law for the unlawful possession or distribution of illicit drugs and alcohol
- A description of the health risks associated with the use of illicit drugs and the abuse of alcohol
- A description of any drug or alcohol counseling, treatment or rehabilitation or re-entry programs that are available to employees or students
- A clear statement that the institution will impose disciplinary sanctions on students and employees (consistent with State and Federal law), and a description of those sanctions, up to and including expulsion or termination of employment and referral for prosecution, for violations of the standards of conduct; a disciplinary sanction may include the completion of an appropriate rehabilitation program.
- Notation of how employees who begin working at institution after policy is distributed get policy before next distribution – policy distributed annually

Employees who work off-campus (off-campus sites, teaching on-line classes) – all UMSL employees have an active UMSL email address and are provided the policy via email as noted above

AOD Prevalence Rate, Incidence Rate, Needs Assessment and Trend Data

- During the academic calendar year of 2015-2016, there were 35 AOD incidents that were reported to Student Conduct and 24 required disciplinary action.
- Alcohol and other Drug Related Ambulance Transports for 2015-2016: 2
- Alcohol and other Drug Related fatalities: 0

Data from the Missouri College Health Behaviors Survey (MCHBS) – UMSL (n=899)

- Consumed alcohol in past year – 77% (6% decrease from 2015)
- Consumed alcohol in past 30 days – 62% (6% decrease from 2015)
- Underage students (<21 years old) consumed alcohol in last 30 days- 36.5%
- Binge Drank in last 2 weeks* - 20.3% (2% increase from 2015)
- Used Marijuana in past year – 15% (3% decrease from 2015)
- Reported some sort of public misconduct due to alcohol or other drug use in past year
  - Been arrested by campus police or other law enforcement 1%
  - Been hurt or injured 7%
  - Received medical attention 2%
  - Driven after consuming any alcohol 34%
  - Been arrested for DUI/DWI 1%

*2 hour definition

**AOD Policy, Enforcement & Compliance Inventory**

- **Federal Drug Free Campus Policy**
  - The University of Missouri–St. Louis has been designated drug free and only under certain conditions is the consumption of alcohol permitted. In keeping with its educational mission, the University assumes the responsibility to better inform the UM–St. Louis community about alcohol and drug abuse.
  - The sale, manufacture, distribution or possession of any controlled substance is illegal under local, state and federal laws. The UM–St. Louis Campus Police strictly enforces such laws. Violators are subject to University disciplinary action, criminal prosecution, fine and/or imprisonment. Criminal penalties for violation of such laws range from fines up to $20,000 to imprisonment for terms up to and including life.
  - The University of Missouri–St. Louis Alcohol Policy and Missouri State statute restricts the sale, consumption and furnishing of alcohol on the University campus. It is unlawful to sell, furnish or give alcohol to a person under the age of twenty-one. The possession of alcohol by anyone under twenty-one years of age in a public place or place open to the public is illegal. It is also a violation of the University of Missouri–St. Louis policy for anyone to consume or possess alcohol in any public or private area of campus without prior approval by the University.
  - Organizations or groups violating alcohol/drug policies or laws may be subject to sanctions by the University. Violations of University policy can result in disciplinary action up to and including discharge of employees, dismissal of students and referral for prosecution. Violations of this policy by students will be considered a violation of the University disciplinary code, which may result in dismissal, suspension, or imposition of a lesser sanction. Sanctions may also include referrals for appropriate rehabilitation.

- **Student Code of Conduct** – particularly relating to Alcohol and Other Drugs
• Manufacture, use, possession, sale or distribution of alcoholic beverages or any controlled substance without proper prescription or required license or as expressly permitted by law or University regulations, including operating a vehicle on University property, or on streets or roadways adjacent to and abutting a campus, under the influence of alcohol or a controlled substance as prohibited by law of the state of Missouri.

• Alcoholic Beverages – Payment from University Accounts
  o University funds may be used to purchase alcoholic beverages. Two forms were discussed at the 10/06/2004 Senior Fiscal Officers Meeting. Alcohol Form #1, Request to Use University-Administered Funds to Purchase Alcohol for University Sponsored Events, will be returned to the event coordinator listed on the form after receiving approval and subsequently attached to the Non-PO voucher when paying for the alcohol. Alcohol Form #2, Request to Use Alcoholic Beverages on University Property, will be returned to the Scheduling Office after receiving approval. Both forms must be approved by the Provost or appropriate Vice Chancellor at least 14 days prior to the event and are available on-line.
  o On-campus events are usually catered by Sodexo. Non-alcoholic expenses will be charged to campus DeptIDs via a catering upload (as is currently being done). The alcohol portion of the expense will be paid on a Non-PO voucher. Supporting documentation to the Non-PO voucher will include a Sodexo invoice and Alcohol Form #1 mentioned above.
  o Alcoholic beverages are reimbursable on a Non-PO Voucher/Travel Expense Voucher when taking a guest to lunch or dinner. Although UM policies allow reimbursement in excess of the meal allowances when entertaining guests of the University, departments should make every effort to stay within the meal allowances. When the meal allowances are exceeded and the cost of alcoholic beverages is included on the voucher, the Provost or appropriate Vice Chancellor must approve the voucher. Academic Affairs has a policy regarding entertaining visitors.

• Student Life and Student Organizations
  o As a general policy, alcohol may not be served at any event. Permission to serve alcohol at an event will be viewed as an exception to the policy. Requests to serve alcohol will be examined on a case-by-case basis.
  o All persons attending the event must comply with applicable federal, state and University regulations. (The legal age to consume alcohol in Missouri is 21. The University reserves the right to request proof of age of any person being served.) Alcohol may be served no later than one hour proceeding the scheduled end time.
According to University regulations, organizations with a substantial number of members under the age of 21 (75%), shall not permit the drinking or serving of alcoholic beverages at any social function or meeting. The student organization should submit along with this form a roster of membership for all members including: first name, last name, ID number, and birth date.

The organization’s advisor and president or designee must be present at the event and assume the responsibility that minors will not be served alcohol. The advisor and president or designee will also be responsible for the conduct of all persons attending the event.

The organization advisor or responsible administrative professional will be required to be present for the duration of the event.

The student organization’s advisor will be considered the sponsor of the event and will be responsible for ensuring that University regulations and state laws governing use of alcoholic beverages are upheld.

If permission is granted, the organization’s president must notify all members of the organization that alcoholic beverages available at the event may not be served to or consumed by minor members of the organization. This will not be considered a violation of the marketing guidelines found below.

To demonstrate responsibility and concern for legal liability, the student organization is required to provide non-alcoholic beverages and food at an event where alcoholic beverages are available.

Liquor license mandates that all alcoholic beverages must be purchased and served by the University’s contracted food services. There will be a charge for this service.

There is a two drink limit.

Arrangements must be made with the University Police to provide security. The student organization is responsible for paying the cost of security for the event.

**Procedure for serving alcohol at a Student Life event:**

- The organization’s President, Advisor, facility’s director or designee, Associate Director of Student Life, and the Vice Provost for Student Affairs must approve the request.
- This form must be completed, including advisor’s signature, and forwarded to the Associate Director of Student Life at least 21 days prior to the event. The Associate Director will submit to Vice Provost for Student Affairs for approval.
- A “Request to Use University-Administered Funds to Purchase Alcohol for University Sponsored Event” form must be submitted at the same time if SABC budget money is going to be utilized.
If approved, this form will be forwarded to the facility director as confirmation of approval.

If approved, members of the organization will meet with a representative of the Office of Student Life a minimum of 5 days prior to the event to review University requirements at the event.

An invoice/confirmation of food and drink order must be provided and reviewed by the Associate Director of Student Life 14 days prior to the event.

Marketing guidelines for Student Life events with alcohol:

- No advertising whether printed, written, electronic, or over the radio may advertise the presence of alcohol at the event.
- When alcoholic beverages are being served, advertisements may read “refreshments provided.”

- **Alcoholic Beverages-University Housing**
  - *Residents aged 21 and older living in Oak, Villa and Villa North are permitted to have alcohol within their own bedroom.
    - Alcohol is not permitted in any common areas, lounges, or openly on the university’s campus
    - Social drinking or parties (of 4 or more people) in residents’ rooms is prohibited.
    - Noise levels should be kept to a minimum, following the quiet hour’s policy.
    - If complaints are reported to the RA, the RA has the right to call the police, perform wellness checks and ask for identification of all individuals in the room. If someone in the room, is under the age of 21 and found drinking, all alcohol will be disposed of and both the resident and minor will face disciplinary action.

- Residents who violate these policies and are found drinking illegally or hosting drinking parties in their rooms, will face disciplinary consequences, have their privilege removed and possibly be removed from the residence hall.

- Alcoholic containers for decorative purposes (i.e. flower pots, storage) are not permitted within Oak, Villa and Villa North. Students found using, consuming (under age) or with containers within their rooms or in the building will be subject to disciplinary action.

- **Guidelines for Fraternity and Sorority Use of Alcohol**
  - The University of Missouri-St. Louis prohibits the use of alcoholic beverages in membership recruitment and Bid Day festivities. Each chapter at the University of Missouri-St. Louis abides by Missouri State Law and forbids all alcohol use by members under the age of 21 years at any and all chapter functions. The use of alcohol during formal recruitment is strictly prohibited. Any student found in violation of this policy will be dismissed from the recruitment process.

- **Campus Recreation**
At no time will the use of alcohol or drugs be allowed during intramural activities. Participants will be ejected from contest and suspended from their next game if, in the opinion of the game official or Rec Sports staff member, they are under the influence of alcohol or drugs.

**Smoke Free/Tobacco Free Campus**

- Effective January 1, 2012, the University of Missouri-St. Louis became tobacco-free on all campus property, both indoors and outdoors. The term “tobacco-free” means that the smoking or other use of any tobacco or tobacco-type product, including, but not limited to, cigarettes, cigars, smokeless tobacco, and pipes is prohibited. This does not include e-cigarettes. Campus property includes all owned or leased buildings, parking garages and parking lots; owned or leased vehicles; and owned or leased outdoor grounds and sidewalks. This policy applies to faculty, staff, students, contractor and consultant employees, performers, visitors and the general public.

- **Exceptions**
  - The policy does not apply to individuals in privately owned or privately leased vehicles with the windows and sunroofs or similar devices in the fully closed position.
  - Another exception to the policy applies to individuals participating in academic research on tobacco-related topics or other such accommodations approved by the Campus Institutional Review Board, provided that the activity is conducted in an appropriately ventilated area.
  - The Chancellor may approve other exceptions to the policy after considering the intent of the policy as balanced against specific facts and circumstances set forth in a written request from a senior level administrator.

**Athletic Department Alcohol and Other Drug Use Policy/Athletic Department Alcohol and Other Drug Testing Policy** – see attachment

**Employee Assistance Program Referral Policy**

- The UMSL Employee Assistance Program (EAP) is a FREE confidential service available to all employees, their families, retirees and organizational work units. The EAP is designed to help prevent or resolve personal, family and workplace problems affecting employees’ wellbeing and job performance. It offers a variety of services and referrals to help employees affected by a range of personal concerns or stressors. It also may assist work units on an as needed basis.

**Financial Aid Drug Convictions Policy**

- Federal law provides that a student who has been convicted of an offense under any federal or state law involving the possession or sale of a
controlled substance during a period of enrollment for which the student was receiving financial aid shall not be eligible to receive any federal or institutional grant, loan, or work assistance.

- **Alcohol/Drug Use in the Classroom Policies**
  - **Methods used for general enforcement**
    - Law enforcement/security forces and authority/jurisdiction
      - The UMSL Police Department has 24 full-time sworn Police Officers and is a CALEA (Commission on Accreditation for Law Enforcement Agencies) certified department which provides service 24 hours a day.
      - The Board of Curators of the University Of Missouri appoints police officers of the University of Missouri pursuant to the authority vested in said board by Sections 172.350 and 172.355, RSMo 1996 and as said Peace Officer has been clothed with all the powers provided under the above statute, which include the power to maintain order, preserve peace, and make arrests as is now held by peace officers, and with further power to expel from public buildings, campuses, grounds and farms, persons violating the rules and regulations prescribed by the Board of Curators.
    - Relationships with off-campus law enforcement/security forces and jurisdiction
      - The department has a close working relationship with Missouri State Highway Patrol, Saint Louis County Police Department and adjacent communities’ Police Departments. We benefit from state sanctioned mutual aid agreements, with regional and local departments who assist each other when a police situation or natural disaster is beyond department capabilities.
    - Description of others who may provide monitoring of alcohol and other drug policies
      - Resident Assistants

**AOD Comprehensive Program /Intervention Inventory**

- **Individual Based Programs/Interventions**
  - Brief Assessment and Screening for College Students (BASICS) - A brief motivational intervention for high-risk college students that uses alcohol screening and feedback to reduce problem drinking, excessive drinking, and binge drinking by enhancing motivation to change, promoting healthier choices, reviewing myths and facts about alcohol, and teaching coping skills to moderate drinking.
Electronic Check-Up to Go (E-CHUG) - Online alcohol assessment tool and personalized feedback that helps to show how your drinking habits, family history, and campus norms affect your life and future.

Electronic THC Online Knowledge Experience (E-TOKE) - a brief assessment and feedback tool lets you see how your marijuana use, family risk and campus norms affect your life and future.

Individual Assessment available through Counseling Services

Employee Assistance Program - referrals

Referral programs to off-campus treatment providers for students

**Group Based Programs/Interventions**
- Peer Education
- Norms Clarification campaigns
- Group based programs – targeted student populations (i.e. Residential Life, Greek Life, Athletics)
- Move-In Weekend presentations – new freshman, Residential Life

**Universal or Entire Population Based Programs Programs/Interventions**
- Norms Clarification campaigns
- Awareness campaigns

**Environmental/Socio-Ecological Based Programs**
- Partners in Prevention – campus coalition
- Alcohol-Free Social Options
- Norms Clarification Campaign
- Increased Service Learning/Volunteer Opportunities
- Social Host Ordinance Development/Creation
- Enforcing Underage Drinking Law Programs
  - DUI enforcement

**AOD Comprehensive Program Intervention Related Process and Outcomes Data**

Individual Based Programs/Interventions
- BASICS - 13
- E-CHUG - 23
- E-TOKE participation - 24
- Referral to off-campus treatment - 91

Group Based Programs/Interventions
- Peer Education
  - Total outreach/educational programs = 50
  - Students impacted = 1500
• Passive programming (i.e. unmanned tabling, poster campaigns, social media campaigns) = 3
• Active/Experiential programming (i.e. presentations, events, programs, trainings) = 9
• Social Norms Marketing Campaigns
  o UMSL Students are Healthy and Smart
  o CHOICES! Tritons Think First
• Group based programs – targeted student populations (i.e. Residential Life, Greek Life, Athletics)
• Orientation/New Student programs
  o Move-In Weekend presentations – 120 students
  o Freshman Year Experience Class – Arts & Science – 200 students

Data from the 2015 Missouri College Health Behavior Survey:

Since implementation of the social norms media campaign, we have observed a 38% reduction (from 26% in 2015 to 16% in 2016) in student perceptions of high-risk drinking of the typical UMSL Student, as seen in the chart below.

<table>
<thead>
<tr>
<th>C</th>
<th>Quick Look at Frequency Perception: How often do you think the students in each category (on your campus) consume alcohol? Please provide your best guess. (3 or more times/week)</th>
<th>2015</th>
<th>2016</th>
<th>Change</th>
</tr>
</thead>
<tbody>
<tr>
<td>119</td>
<td>Yourself</td>
<td>11%</td>
<td>9%</td>
<td>-18%</td>
</tr>
<tr>
<td>120</td>
<td>Your Friends</td>
<td>19%</td>
<td>13%</td>
<td>-32%</td>
</tr>
<tr>
<td>121</td>
<td>Typical Student</td>
<td>26%</td>
<td>16%</td>
<td>-38%</td>
</tr>
<tr>
<td>122</td>
<td>Student-Athletes</td>
<td>24%</td>
<td>14%</td>
<td>-42%</td>
</tr>
<tr>
<td>123</td>
<td>Fraternity or Sorority Members</td>
<td>69%</td>
<td>55%</td>
<td>-20%</td>
</tr>
<tr>
<td>124</td>
<td>Student Leaders</td>
<td>10%</td>
<td>5%</td>
<td>-50%</td>
</tr>
</tbody>
</table>

• 5% increase in the percentage of students that believe UMSL is concerned with the prevention of drug and alcohol
• 7% increase in the percentage of UMSL students that correctly define a designated driver as one that has had zero drinks
• 2% increase in the percentage of UMSL students that use a designated driver when they are somewhere alcohol is being consumed

AOD Policy, Enforcement, and Compliance Related Outcomes
(From Report 2)
7% increase of students believing alcohol policies are consistently enforced at UMSL (MCHBS)

- **Number of violations observed/reported for each judicial/discipline related policy**
  - Possession of drug paraphernalia = 5
  - Possession of illegal substance = 2
  - Alcohol DWI = 3
  - Alcohol abuse = 3
  - Underage alcohol = 2

- **Sanctions for the above offenses** entailed in eToke, BASICS and six-month university probation
  - Etoke (for marijuana offenses) – 8
  - BASICS (for alcohol offenses) – 5

- **Number of requests for permission/authorization** (request for alcohol sales/serving – faculty wine tasting parties, number of requests for fraternity/sorority alcohol functions)
  - Student Life: 1 event authorized, Homecoming
  - Departmental requests: 19
  - UMSL sorority/fraternity: Sororities and fraternities are requested to fill out an Event Notification form with Greek Life if they are hosting an event at the chapter house. I can tell you that during the last academic year, 39 event notification forms were submitted, 28 of which were parties that had alcohol present. However, this does not include anything that happened off campus or not at a chapter house (formals, etc.).

**AOD Program Goals for Biennium Period Being Reviewed**

5. Reduce percentage of students engaging in high-risk driving behaviors
6. Reduce percentage of students with high-risk drinking behaviors
7. Improve efficacy of prevention efforts on campus by increasing knowledge base, skill set, and credibility of key staff on campus
8. Decrease misperception of the student conduct process, specifically as it relates to enforcement of alcohol offenses