

The following list of examples is to provide guidance on whether an activity is reportable. The list is not all inclusive and there may be variances that change the scenario. If you are unsure as to whether to report an outside activity you may either call the Compliance Manager at 516-5972 or report the activity through eCompliance at <https://umsl.ecompliance.umssystem.edu/login>.

Example	Reportable?
Member of a professional organization/society	No
Leadership role (i.e., secretary, president, chair) in a professional organization/society	Yes
Board member of an organization to represent UMSL due to your role at the University	No
Presenting research at conference (professional organization, domestic academic institution, or foreign academic institution) and will receive honorarium	Yes
<ul style="list-style-type: none"> • Recipient of federal funding for research and receiving sponsored travel or honorarium. • Recipient of federal funding for research and receiving sponsored travel or honorarium that is paid for by a domestic academic/research institution or Federal, state, or local government agency. 	<ul style="list-style-type: none"> • Yes • No
A Music professor performing in a band or as a soloist outside of the University	Yes
Giving lectures at a domestic academic institution with/without travel expenses paid	No
Giving lectures at an international academic institution with expenses paid and may receive an honorarium.	Yes
Serving on the board to organize a conference or symposium	Yes
Serving on the board for an international business and receiving compensation	Yes
Serving on the board for an international business and not receiving compensation	Yes
Serving as an external examiner for an Accrediting organization	Yes
Serving on an advisory board that uses your expertise as an employee or profession. i.e., employees conduct research on soybeans and is advising the Mo. Soybean Council	Yes
UMSL Optometrist presenting at grand rounds at another academic institution	No
UMSL Optometry provider presenting for a pharma or device company	Yes
Visiting scholar, adjunct, honorary, or paid/unpaid faculty positions at another academic institution (both domestic and foreign) including teaching one or more courses, advising students at the other institution	Yes
Consulting on a grant that does NOT come through UMSL/not submitted to Sponsored Programs/PreAward	Yes
Peer review for a journal article (unless you review for the journal every month)	No
Editor, sitting on the board of directors for a journal	Yes
Serving on a peer review panel for a Federal Granting Agency	No
Serving on a peer review panel for non-federal funding agencies	Yes
Serving as a dissertation reviewer at an external academic institution	Yes

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There is an agreement between UMSL and another organization: <ul style="list-style-type: none"> • Work covered by the agreement with no external compensation. • Work in addition to the agreement (employee receives external compensation, equity, gift) 	<ul style="list-style-type: none"> • No • Yes
Creating, filing to start a business, or having an existing business regardless of whether the company is 'active.'	Yes
Your spouse consults for company (no equity or financial interest)	No
You/ your spouse/dependent children have equity or a financial interest: <ul style="list-style-type: none"> • That overlaps with your area of expertise (your spouse starts an LLC, and you are both engineers) • The business is entering into an agreement with UMSL (i.e., The business sells donuts and the department orders donuts from the shop) • The entity is unrelated to your expertise and has no contracts with UMSL. (i.e., the business is to sell Mary Kay while you work in the Chemistry Dept.) 	<ul style="list-style-type: none"> • Yes • Yes • No
9-month Employees teaching, consulting, conducting research, or other employment utilizing their professional expertise during the summer	Yes
Employees write a textbook in their area of expertise. <ul style="list-style-type: none"> • Book is utilized in the faculty member's class. • Book is NOT used in the faculty member's class 	<ul style="list-style-type: none"> • Yes • Yes
Employee writes an article for a journal in their area of expertise	No
Employee (i.e., Nursing) writes a children's/fiction book that is unrelated to their area of expertise.	No

Examples of Conflict of Commitment: (To be managed by the supervisor/chair and dean/director)

1. Employees are working on outside activities while at work. The employee is not bringing in research funding/teaching/available to meet with students.
2. The employee accepts a volunteer position to sit on a board of a nonprofit organization that is not required by his/her university position but is tied to his/her professional expertise. The employee has gone several days working on board related activities.
3. The employee has a faculty appointment/is teaching at another academic institution. The employee recommends students take courses at the other institution.
4. The employee is consulting on projects which could be completed in his/her role at UMSL.
5. The employee is using University resources for his/her outside interests.
6. The employee is a consultant for an outside entity and only develops Intellectual Property in their consulting role while using University resources or results from research at UMSL.

7. The employee starts a business to do the same thing they do at the University. They would like to refer the individuals they meet in their UMSL role to their outside business.
8. Employee is a journal editor for a journal in his/her area of expertise.

Examples of Conflict of Interest (Possible management determined by the COI Committee)

1. Employees want to use the results of work done by their graduate student in a business that they own.
2. Employee directs University resources/contracts/purchase to an entity where they or family members have equity/stock/ownership.
3. Employees use confidential information from their UMSL position for personal gain in their outside interest.
4. Employees provide gifts to his/her lab from their own company.
5. Employee develops a novel product for entity 'A' and receives a royalty stream for the product. Entity 'A' then sponsors a human subject's research trial to be conducted by the employee using the novel product.
6. Faculty members request their students to work for their company. The student falls behind in school because of the time spent working for their mentor.
7. Faculty member has equity in an outside entity which licenses intellectual property (IP) developed by the faculty member. The entity provides a research contract back to the faculty member's lab to further develop the IP.