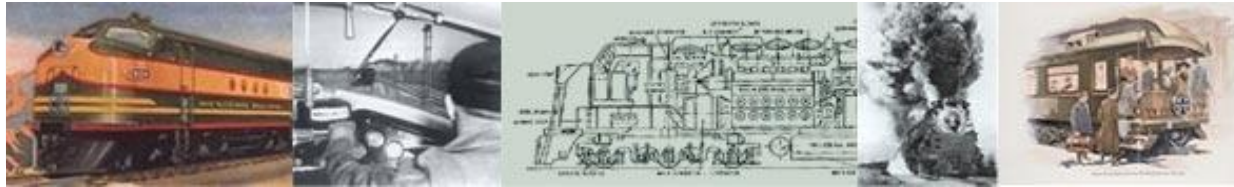


John W. Barriger III National Railroad Library
AT THE UNIVERSITY OF MISSOURI - ST. LOUIS



Report on the Barriger Library Funds
Supplementary Information
for the Period from the Effective Affiliation Date of
April 1, 1997 through June 30, 2012

March 6, 2013

John W. Barriger III National Railroad Library
Special Collection of the St. Louis Mercantile Library at UM-St. Louis
Supplementary Information - March 6, 2013
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John W. Barriger III National Railroad Library
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

BALANCE SHEET

As of the Date Indicated

	April 1,	June 30,		
	1997	2010	2011	2012

John W. Barriger III Library Endowed Fund

Assets:				
Cash and Short-term Funds	-	-	-	-
Investment-UM Balanced Pool	201,269	333,805	380,660	356,787
Total Assets	201,269	333,805	380,660	356,787
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	201,269	333,805	380,660	356,787
Total Liabilities and Fund Balance	201,269	333,805	380,660	356,787

John W. Barriger III Library Expendable Funds*

Assets:				
Cash and Short-term Funds	-	32,228	36,089	26,469
Investment-UM Balanced Pool	-	-	-	-
Total Assets	-	32,228	36,089	26,469
Liabilities and Fund Balance:				
Liabilities	-	-	322	-
Fund Balance	-	32,228	35,767	26,469
Total Liabilities and Fund Balance	-	32,228	36,089	26,469

* From FY 1997 to FY 2000, there were two separate John W. Barriger III Library Expendable Funds

John W. Barriger III National Railroad Library
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

BALANCE SHEET

As of the Date Indicated

	April 1, <u>1997</u>	<u>2010</u>	June 30, <u>2011</u>	<u>2012</u>
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Elizabeth T. Barriger Book Endowed Fund

Assets:				
Cash and Short-term Funds	-	-	-	-
Investment-UM Balanced Pool	<u>70,499</u>	<u>99,878</u>	<u>113,897</u>	<u>106,754</u>
Total Assets	70,499	99,878	113,897	106,754
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	<u>70,499</u>	<u>99,878</u>	<u>113,897</u>	<u>106,754</u>
Total Liabilities and Fund Balance	70,499	99,878	113,897	106,754

Elizabeth T. Barriger Book Expendable Fund

Assets:				
Cash and Short-term Funds	-	3,494	148	5,231
Investment-UM Balanced Pool	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Total Assets	-	3,494	148	5,231
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	<u>-</u>	<u>3,494</u>	<u>148</u>	<u>5,231</u>
Total Liabilities and Fund Balance	-	3,494	148	5,231

John W. Barriger III National Railroad Library
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

BALANCE SHEET

As of the Date Indicated

	April 1, 1997	June 30,		
		2010	2011	2012

Oral History Project Expendable Fund

Assets:				
Cash and Short-term Funds	7,953	14,120	14,405	14,696
Investment-UM Balanced Pool	-	-	-	-
Total Assets	<u>7,953</u>	<u>14,120</u>	<u>14,405</u>	<u>14,696</u>
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	<u>7,953</u>	<u>14,120</u>	<u>14,405</u>	<u>14,696</u>
Total Liabilities and Fund Balance	<u>7,953</u>	<u>14,120</u>	<u>14,405</u>	<u>14,696</u>

Photo Negative Project Expendable Fund (CLOSED IN 1999)

Assets:				
Cash and Short-term Funds	882	-	-	-
Investment-UM Balanced Pool	-	-	-	-
Total Assets	<u>882</u>	<u>-</u>	<u>-</u>	<u>-</u>
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	<u>882</u>	<u>-</u>	<u>-</u>	<u>-</u>
Total Liabilities and Fund Balance	<u>882</u>	<u>-</u>	<u>-</u>	<u>-</u>

John W. Barriger III National Railroad Library
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

BALANCE SHEET

As of the Date Indicated

	<u>April 1,</u> <u>1997</u>	<u>June 30,</u> <u>2010</u>	<u>2011</u>	<u>2012</u>
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AAR Scholarship Endowed Fund

Assets:				
Cash and Short-term Funds	-	-	-	-
Investment-UM Balanced Pool	-	10,793	12,308	11,536
Total Assets	-	10,793	12,308	11,536
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	-	10,793	12,308	11,536
Total Liabilities and Fund Balance	-	10,793	12,308	11,536

AAR Scholarship Expendable Fund

Assets:				
Cash and Short-term Funds	-	4,410	5,070	5,722
Investment-UM Balanced Pool	-	-	-	-
Total Assets	-	4,410	5,070	5,722
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	-	4,410	5,070	5,722
Total Liabilities and Fund Balance	-	4,410	5,070	5,722

John W. Barriger III National Railroad Library
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

BALANCE SHEET

As of the Date Indicated

	April 1, 1997	2010	June 30, 2011	2012
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Union Pacific Acquisitions Endowed Fund

Assets:				
Cash and Short-term Funds	-	-	9,500	-
Investment-UM Balanced Pool	-	50,507	58,207	64,511
Total Assets	-	50,507	67,707	64,511
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	-	50,507	67,707	64,511
Total Liabilities and Fund Balance	-	50,507	67,707	64,511

Union Pacific Acquisitions Expendable Fund

Assets:				
Cash and Short-term Funds	-	10,549	5,442	9,555
Investment-UM Balanced Pool	-	-	-	-
Total Assets	-	10,549	5,442	9,555
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	-	10,549	5,442	9,555
Total Liabilities and Fund Balance	-	10,549	5,442	9,555

John W. Barriger III National Railroad Library
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

BALANCE SHEET

As of the Date Indicated

	April 1, <u>1997</u>	<u>2010</u>	June 30, <u>2011</u>	<u>2012</u>
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CN Acquisitions Endowed Fund

Assets:				
Cash and Short-term Funds	-	-	-	-
Investment-UM Balanced Pool	-	21,174	24,146	22,632
Total Assets	-	21,174	24,146	22,632
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	-	21,174	24,146	22,632
Total Liabilities and Fund Balance	-	21,174	24,146	22,632

CN Acquisitions Expendable Fund

Assets:				
Cash and Short-term Funds	-	6,874	8,135	86
Investment-UM Balanced Pool	-	-	-	-
Total Assets	-	6,874	8,135	86
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	-	6,874	8,135	86
Total Liabilities and Fund Balance	-	6,874	8,135	86

John W. Barriger III National Railroad Library
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

BALANCE SHEET

As of the Date Indicated

	April 1, 1997	June 30, 2010	2011	2012
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Robert McKnight Curatorship Endowed Fund

Assets:				
Cash and Short-term Funds	-	-	-	-
Investment-UM Balanced Pool	-	1,079,097	1,243,609	1,176,281
Total Assets	-	1,079,097	1,243,609	1,176,281
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	-	1,079,097	1,243,609	1,176,281
Total Liabilities and Fund Balance	-	1,079,097	1,243,609	1,176,281

Robert McKnight Curatorship Expendable Fund

Assets:				
Cash and Short-term Funds	-	897	2,650	472
Investment-UM Balanced Pool	-	-	-	-
Total Assets	-	897	2,650	472
Liabilities and Fund Balance:				
Liabilities	-	-	-	317
Fund Balance	-	897	2,650	155
Total Liabilities and Fund Balance	-	897	2,650	472

John W. Barriger III National Railroad Library
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

BALANCE SHEET

As of the Date Indicated

	April 1, 1997	June 30, 2010	2011	2012
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Barriger Campaign for Excellence & Growth Expendable Fund

Assets:				
Cash and Short-term Funds	-	79,335	91,810	81,575
Investment-UM Balanced Pool	-	-	-	-
Total Assets	-	79,335	91,810	81,575
Liabilities and Fund Balance:				
Liabilities	-	-	-	487
Fund Balance	-	79,335	91,810	81,088
Total Liabilities and Fund Balance	-	79,335	91,810	81,575

The West the Railroads Made Expendable Fund

Assets:				
Cash and Short-term Funds	-	14,460	12,570	-
Investment-UM Balanced Pool	-	-	-	-
Total Assets	-	14,460	12,570	-
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	-	14,460	12,570	-
Total Liabilities and Fund Balance	-	14,460	12,570	-

John W. Barriger III National Railroad Library
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

BALANCE SHEET

As of the Date Indicated

	April 1, <u>1997</u>	<u>2010</u>	June 30, <u>2011</u>	<u>2012</u>
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Robert McKnight Manuscript Expendable Fund

Assets:				
Cash and Short-term Funds	-	9,425	9,615	9,809
Investment-UM Balanced Pool	-	-	-	-
Total Assets	-	9,425	9,615	9,809
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	-	9,425	9,615	9,809
Total Liabilities and Fund Balance	-	9,425	9,615	9,809

John W. Barriger IV Endowment Fund

Assets:				
Cash and Short-term Funds	-	-	-	-
Investment-UM Balanced Pool	-	13,590	22,036	21,798
Total Assets	-	13,590	22,036	21,798
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	-	13,590	22,036	21,798
Total Liabilities and Fund Balance	-	13,590	22,036	21,798

John W. Barriger III National Railroad Library
Special Collection of the St. Louis Mercantile Library at UM-St. Louis

BALANCE SHEET

As of the Date Indicated

April 1, 1997	2010	June 30, 2011	2012
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Robert McKnight Unitrust

Assets:				
Investment-Unitrust	-	1,355,614	1,525,944	1,441,402
Total Assets	-	1,355,614	1,525,944	1,441,402
Liabilities and Fund Balance:				
Liabilities	-	17,439	-	-
Fund Balance	-	1,338,175	1,525,944	1,441,402
Total Liabilities and Fund Balance	-	1,355,614	1,525,944	1,441,402

John W. Barriger III National Railroad Library
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

BALANCE SHEET

As of the Date Indicated

	April 1, <u>1997</u>	<u>2010</u>	June 30, <u>2011</u>	<u>2012</u>
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John W. Barriger Professorship Endowed Fund

Assets:				
Cash and Short-term Funds	-	-	-	-
Investment-UM Balanced Pool	<u>550,000</u>	<u>723,867</u>	<u>825,473</u>	<u>773,705</u>
Total Assets	550,000	723,867	825,473	773,705
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	<u>550,000</u>	<u>723,867</u>	<u>825,473</u>	<u>773,705</u>
Total Liabilities and Fund Balance	550,000	723,867	825,473	773,705

John W. Barriger Professorship Expendable Fund

Assets:				
Cash and Short-term Funds	-	1,192	1,183	1,380
Investment-UM Balanced Pool	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Total Assets	-	1,192	1,183	1,380
Liabilities and Fund Balance:				
Liabilities	-	1,102	1,176	1,362
Fund Balance	<u>-</u>	<u>90</u>	<u>7</u>	<u>18</u>
Total Liabilities and Fund Balance	-	1,192	1,183	1,380

John W. Barriger III National Railroad Library
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis
INCOME STATEMENT AND CHANGES IN FUND BALANCE
 For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			April 1, 1997 Through June 30, 2012
	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2012</u>
John W. Barriger III Library Endowed Fund				
Revenues:				
Gifts	-	-	-	77,693
Investment Earnings	34,464	67,696	(3,915)	313,887
Total Revenues	34,464	67,696	(3,915)	391,580
Expenses:				
Endowment Management Fee	-	(3,474)	(3,285)	(6,759)
NET INCOME	34,464	64,222	(7,200)	384,821
Transfer to Expendable Fund	(18,016)	(17,367)	(16,673)	(229,303)
Fund Balance, Beginning	317,357	333,805	380,660	201,269
Fund Balance, End	333,805	380,660	356,787	356,787

John W. Barriger III Library Expendable Funds*

Revenues:				
Gifts	775	-	-	74,226
Investment Earnings	781	885	936	9,649
Other Revenue	-	-	-	27
Total Revenues	1,556	885	936	83,902
Expenses:				
Curator Salary & Benefits	-	-	-	(78,831)
Operating Expenses	(2,770)	(12,620)	(7,702)	(165,631)
Library Acquisitions	-	(2,093)	(19,205)	(42,274)
Total Expenses	(2,770)	(14,713)	(26,907)	(286,736)
NET INCOME	(1,214)	(13,828)	(25,971)	(202,834)
Transfer from Endowment	18,016	17,367	16,673	229,303
Fund Balance, Beginning	15,426	32,228	35,767	-
Fund Balance, End	32,228	35,767	26,469	26,469

* From FY 1997 to FY 2000, there were two separate John W. Barriger III Library Expendable Funds

John W. Barriger III National Railroad Library
Special Collection of the St. Louis Mercantile Library at UM-St. Louis
INCOME STATEMENT AND CHANGES IN FUND BALANCE
For the Periods Indicated

	Fiscal Year Ended June 30,			April 1, 1997 Through June 30, 2012
	2010	2011	2012	2012
Elizabeth T. Barriger Book Endowed Fund				
Revenues:				
Gifts	-	-	-	13,971
Investment Earnings	10,313	20,254	(1,171)	90,769
Total Revenues	10,313	20,254	(1,171)	104,740
Expenses:				
Endowment Management Fee	-	(1,039)	(983)	(2,022)
NET INCOME	10,313	19,215	(2,154)	102,718
Transfer to Expendable Fund	(5,391)	(5,196)	(4,989)	(66,463)
Fund Balance, Beginning	94,956	99,878	113,897	70,499
Fund Balance, End	99,878	113,897	106,754	106,754

Elizabeth T. Barriger Book Expendable Fund

Revenues:				
Gifts	60	-	-	9,310
Investment Earnings	118	88	94	7,652
Total Revenues	178	88	94	16,962
Expenses:				
Operating Expenses	-	(147)	-	(14,955)
Library Acquisitions	(2,177)	(8,483)	-	(63,239)
Total Expenses	(2,177)	(8,630)	-	(78,194)
NET INCOME	(1,999)	(8,542)	94	(61,232)
Transfer from Endowment	5,391	5,196	4,989	66,463
Fund Balance, Beginning	102	3,494	148	-
Fund Balance, End	3,494	148	5,231	5,231

John W. Barriger III National Railroad Library
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis
INCOME STATEMENT AND CHANGES IN FUND BALANCE
 For the Periods Indicated

	Fiscal Year Ended June 30,			April 1, 1997 Through June 30, 2012
	2010	2011	2012	2012
Oral History Project Expendable Fund				
Revenues:				
Gifts	-	-	-	2,750
Investment Earnings	339	285	291	8,357
Total Revenues	339	285	291	11,107
Expenses:				
Operating Expenses	(79)	-	-	(4,364)
Library Acquisitions	-	-	-	-
Total Expenses	(79)	-	-	(4,364)
NET INCOME	260	285	291	6,743
Fund Balance, Beginning	13,860	14,120	14,405	7,953
Fund Balance, End	14,120	14,405	14,696	14,696

Photo Negative Project Expendable Fund (CLOSED IN 1999)

Revenues:				
Gifts	-	-	-	-
Investment Earnings	-	-	-	169
Total Revenues	-	-	-	169
Expenses:				
Operating Expenses	-	-	-	(1,051)
Library Acquisitions	-	-	-	-
Total Expenses	-	-	-	(1,051)
NET INCOME	-	-	-	(882)
Fund Balance, Beginning	-	-	-	882
Fund Balance, End	-	-	-	-

John W. Barriger III National Railroad Library
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis
INCOME STATEMENT AND CHANGES IN FUND BALANCE
 For the Periods Indicated

	Fiscal Year Ended June 30,			April 1, 1997
	2010	2011	2012	Through
	2010	2011	2012	June 30,
				2012
AAR Scholarship Endowed Fund				
Revenues:				
Gifts - Campaign for Excellence & Growth	-	-	-	10,000
Investment Earnings	1,115	2,189	(127)	6,648
Total Revenues	1,115	2,189	(127)	16,648
Expenses:				
Endowment Management Fee	-	(112)	(106)	(218)
NET INCOME	1,115	2,077	(233)	16,430
Transfer to Expendable Fund	(583)	(562)	(539)	(4,894)
Fund Balance, Beginning	10,261	10,793	12,308	-
Fund Balance, End	10,793	12,308	11,536	11,536

AAR Scholarship Expendable Fund				
Revenues:				
Gifts - Campaign for Excellence & Growth	-	-	-	-
Investment Earnings	106	98	113	828
Total Revenues	106	98	113	828
Expenses:				
Operating Expenses	-	-	-	-
Scholarships	-	-	-	-
Total Expenses	-	-	-	-
NET INCOME	106	98	113	828
Transfer from Endowment	583	562	539	4,894
Fund Balance, Beginning	3,721	4,410	5,070	-
Fund Balance, End	4,410	5,070	5,722	5,722



John W. Barriger III National Railroad Library
Special Collection of the St. Louis Mercantile Library at UM-St. Louis
INCOME STATEMENT AND CHANGES IN FUND BALANCE
For the Periods Indicated

	Fiscal Year Ended June 30,			April 1, 1997
	2010	2011	2012	Through
	2010	2011	2012	June 30,
				2012
Union Pacific Acquisitions Endowed Fund				
Revenues:				
Gifts - Campaign for Excellence & Growth	-	9,500	-	57,000
Investment Earnings	5,215	10,328	(649)	26,433
Total Revenues	5,215	19,828	(649)	83,433
Expenses:				
Endowment Management Fee	-	-	-	-
NET INCOME	5,215	19,828	(649)	83,433
Transfer to Expendable Fund	(2,726)	(2,628)	(2,547)	(18,922)
Fund Balance, Beginning	48,018	50,507	67,707	-
Fund Balance, End	50,507	67,707	64,511	64,511

Union Pacific Acquisitions Expendable Fund

Revenues:				
Gifts - Campaign for Excellence & Growth	-	-	9,500	12,000
Investment Earnings	252	261	65	1,639
Total Revenues	252	261	9,565	13,639
Expenses:				
Operating Expenses	-	-	-	(75)
Library Acquisitions	-	(7,996)	(7,999)	(22,931)
Total Expenses	-	(7,996)	(7,999)	(23,006)
NET INCOME	252	(7,735)	1,566	(9,367)
Transfer from Endowment	2,726	2,628	2,547	18,922
Fund Balance, Beginning	7,571	10,549	5,442	-
Fund Balance, End	10,549	5,442	9,555	9,555

John W. Barriger III National Railroad Library
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis
INCOME STATEMENT AND CHANGES IN FUND BALANCE
 For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			<u>April 1, 1997</u>
	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>Through</u> <u>June 30,</u> <u>2012</u>
<i>CN Acquisitions Endowed Fund</i>				
Revenues:				
Gifts - Campaign for Excellence & Growth	-	-	-	20,000
Investment Earnings	2,186	4,294	(248)	11,295
Total Revenues	2,186	4,294	(248)	31,295
Expenses:				
Endowment Management Fee	-	(220)	(208)	(428)
NET INCOME	2,186	4,074	(456)	30,867
Transfer to Expendable Fund	(1,143)	(1,102)	(1,058)	(8,235)
Fund Balance, Beginning	20,131	21,174	24,146	-
Fund Balance, End	21,174	24,146	22,632	22,632

<i>CN Acquisitions Expendable Fund</i>				
Revenues:				
Gifts - Campaign for Excellence & Growth	-	-	-	-
Investment Earnings	164	159	74	1,032
Total Revenues	164	159	74	1,032
Expenses:				
Operating Expenses	-	-	-	-
Library Acquisitions	-	-	(9,181)	(9,181)
Total Expenses	-	-	(9,181)	(9,181)
NET INCOME	164	159	(9,107)	(8,149)
Transfer from Endowment	1,143	1,102	1,058	8,235
Fund Balance, Beginning	5,567	6,874	8,135	-
Fund Balance, End	6,874	8,135	86	86



John W. Barriger III National Railroad Library
Special Collection of the St. Louis Mercantile Library at UM-St. Louis
INCOME STATEMENT AND CHANGES IN FUND BALANCE
For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			April 1, 1997 Through June 30, 2012
	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2012</u>
Robert McKnight Curatorship Endowed Fund				
Revenues:				
Gifts - Campaign for Excellence & Growth	-	-	-	1,000,000
Investment Earnings	111,414	220,655	(12,906)	491,848
Total Revenues	111,414	220,655	(12,906)	1,491,848
Expenses:				
Endowment Management Fee	-	-	-	-
NET INCOME	111,414	220,655	(12,906)	1,491,848
Transfer to Expendable Fund	(58,242)	(56,143)	(54,422)	(391,487)
Transfer from Barriger Campaign for E&G	-	-	-	75,920
Fund Balance, Beginning	1,025,925	1,079,097	1,243,609	-
Fund Balance, End	1,079,097	1,243,609	1,176,281	1,176,281

Robert McKnight Curatorship Expendable Fund

Revenues:				
Gifts - Campaign for Excellence & Growth	-	-	-	-
Investment Earnings	707	550	616	6,646
Total Revenues	707	550	616	6,646
Expenses:				
Curator Salary & Benefits	(59,348)	(54,940)	(57,533)	(397,978)
Library Acquisitions	-	-	-	-
Total Expenses	(59,348)	(54,940)	(57,533)	(397,978)
NET INCOME	(58,641)	(54,390)	(56,917)	(391,332)
Transfer from Endowment	58,242	56,143	54,422	391,487
Fund Balance, Beginning	1,296	897	2,650	-
Fund Balance, End	897	2,650	155	155

John W. Barriger III National Railroad Library
Special Collection of the St. Louis Mercantile Library at UM-St. Louis
INCOME STATEMENT AND CHANGES IN FUND BALANCE
For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			April 1, 1997 Through June 30, 2012
	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2012</u>
<i>Barriger Campaign for Excellence & Growth Expendable Fund</i>				
Revenues:				
Gifts - Campaign for Excellence & Growth	49,220	34,693	39,522	526,479
Investment Earnings	2,119	1,832	1,892	35,321
Other Revenue	853	1,399	-	2,252
Total Revenues	<u>52,192</u>	<u>37,924</u>	<u>41,414</u>	<u>564,052</u>
Expenses:				
Curator Salary & Benefits	-	-	(28,123)	(28,123)
Operating Expenses	(49,725)	(24,474)	(24,013)	(259,163)
Library Acquisitions	(4,054)	(975)	-	(119,758)
Total Expenses	<u>(53,779)</u>	<u>(25,449)</u>	<u>(52,136)</u>	<u>(407,044)</u>
NET INCOME	(1,587)	12,475	(10,722)	157,008
Transfer to Robert McKnight Curatorship				
Endowed Fund	-	-	-	(75,920)
Fund Balance, Beginning	<u>80,922</u>	<u>79,335</u>	<u>91,810</u>	<u>-</u>
Fund Balance, End	79,335	91,810	81,088	81,088

The West the Railroads Made Expendable Fund

Revenues:				
Gifts	-	-	-	254,111
Investment Earnings	347	281	106	10,896
Other Revenue	2,346	805	289	3,440
Total Revenues	<u>2,693</u>	<u>1,086</u>	<u>395</u>	<u>268,447</u>
Expenses:				
Operating Expenses	(2,080)	(2,976)	(144)	(255,626)
Library Acquisitions	-	-	(12,821)	(12,821)
Total Expenses	<u>(2,080)</u>	<u>(2,976)</u>	<u>(12,965)</u>	<u>(268,447)</u>
NET INCOME	613	(1,890)	(12,570)	-
Transfer from Endowment	-	-	-	-
Fund Balance, Beginning	<u>13,847</u>	<u>14,460</u>	<u>12,570</u>	<u>-</u>
Fund Balance, End	14,460	12,570	-	-

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For the Periods Indicated

	Fiscal Year Ended June 30,			April 1, 1997 Through June 30, 2012
	2010	2011	2012	2012
Robert McKnight Manuscript Expendable Fund				
Revenues:				
Gifts	-	-	-	15,000
Investment Earnings	226	190	194	1,589
Total Revenues	226	190	194	16,589
Expenses:				
Operating Expenses	-	-	-	(6,780)
Library Acquisitions	-	-	-	-
Total Expenses	-	-	-	(6,780)
NET INCOME	226	190	194	9,809
Transfer from Endowment	-	-	-	-
Fund Balance, Beginning	9,199	9,425	9,615	-
Fund Balance, End	9,425	9,615	9,809	9,809

John W. Barriger IV Endowed Fund

Revenues:				
Gifts	5,351	5,032	-	18,683
Investment Earnings	719	3,414	(238)	3,115
Total Revenues	6,070	8,446	(238)	21,798
Expenses:				
Endowment Management Fee	-	-	-	-
Total Expenses	-	-	-	-
NET INCOME	6,070	8,446	(238)	21,798
Transfer to Expendable Fund	-	-	-	-
Fund Balance, Beginning	7,520	13,590	22,036	-
Fund Balance, End	13,590	22,036	21,798	21,798

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INCOME STATEMENT AND CHANGES IN FUND BALANCE
 For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			<u>April 1, 1997</u>
	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>Through</u>
				<u>June 30,</u>
				<u>2012</u>
Robert McKnight Unitrust				
Revenues:				
Gift to Unitrust 4/20/98	-	-	-	1,729,375
Investment Earnings	124,405	260,420	(10,455)	832,658
Total Revenues	124,405	260,420	(10,455)	2,562,033
Expenses:				
Payments to Beneficiary	(65,428)	(72,651)	(74,087)	(1,120,631)
NET INCOME	58,977	187,769	(84,542)	1,441,402
Fund Balance, Beginning	1,279,198	1,338,175	1,525,944	-
Fund Balance, End	1,338,175	1,525,944	1,441,402	1,441,402

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INCOME STATEMENT AND CHANGES IN FUND BALANCE
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	Fiscal Year Ended June 30,			April 1, 1997
	2010	2011	2012	Through
	2010	2011	2012	June 30,
				2012
John W. Barriger Professorship Endowed Fund				
Revenues:				
Gifts	-	-	-	-
Investment Earnings	74,737	146,801	(8,489)	671,086
Total Revenues	74,737	146,801	(8,489)	671,086
Expenses:				
Endowment Management Fee	-	(7,533)	(7,124)	(14,657)
NET INCOME	74,737	139,268	(15,613)	656,429
Transfer (to) from Expendable	(39,069)	(37,662)	(36,155)	(432,724)
Fund Balance, Beginning	688,199	723,867	825,473	550,000
Fund Balance, End	723,867	825,473	773,705	773,705

John W. Barriger Professorship Expendable Fund				
Revenues:				
Gifts	-	-	-	-
Investment Earnings	721	496	411	26,176
State Match	39,394	37,975	36,456	492,023
Other Revenue	-	-	-	29
Total Revenues	40,115	38,471	36,867	518,228
Expenses:				
Center for Transportation Studies	(79,114)	(76,216)	(73,011)	(950,934)
NET INCOME	(38,999)	(37,745)	(36,144)	(432,706)
Transfer (to) from Endowment	39,069	37,662	36,155	432,724
Fund Balance, Beginning	20	90	7	-
Fund Balance, End	90	7	18	18

