

John W. Barriger III National Railroad Library

AT THE UNIVERSITY OF MISSOURI - ST. LOUIS



**Report on the Barriger Library Funds
Supplementary Information**

for the Period from the Effective Affiliation Date of
April 1, 1997 through June 30, 2013

September 24, 2013

John W. Barriger III National Railroad Library
Special Collection of the St. Louis Mercantile Library at UM-St. Louis
Supplementary Information - September 24, 2013
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BALANCE SHEET
 As of the Date Indicated

	April 1,	June 30,		
	1997	2011	2012	2013

John W. Barriger III Library Endowed Fund

Assets:				
Cash and Short-term Funds	-	-	-	-
Investment-UM Balanced Pool	201,269	380,660	356,787	381,145
Total Assets	201,269	380,660	356,787	381,145
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	201,269	380,660	356,787	381,145
Total Liabilities and Fund Balance	201,269	380,660	356,787	381,145

John W. Barriger III Library Expendable Funds*

Assets:				
Cash and Short-term Funds	-	36,089	26,469	216
Investment-UM Balanced Pool	-	-	-	-
Total Assets	-	36,089	26,469	216
Liabilities and Fund Balance:				
Liabilities	-	322	-	-
Fund Balance	-	35,767	26,469	216
Total Liabilities and Fund Balance	-	36,089	26,469	216

* From FY 1997 to FY 2000, there were two separate John W. Barriger III Library Expendable Funds

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	<u>1997</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>

Elizabeth T. Barriger Book Endowed Fund

Assets:				
Cash and Short-term Funds	-	-	-	-
Investment-UM Balanced Pool	70,499	113,897	106,754	114,042
Total Assets	70,499	113,897	106,754	114,042
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	70,499	113,897	106,754	114,042
Total Liabilities and Fund Balance	70,499	113,897	106,754	114,042

Elizabeth T. Barriger Book Expendable Fund

Assets:				
Cash and Short-term Funds	-	148	5,231	7,429
Investment-UM Balanced Pool	-	-	-	-
Total Assets	-	148	5,231	7,429
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	-	148	5,231	7,429
Total Liabilities and Fund Balance	-	148	5,231	7,429

John W. Barriger III National Railroad Library
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BALANCE SHEET

As of the Date Indicated

	April 1, 1997	2011	June 30, 2012	2013
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Oral History Project Expendable Fund

Assets:				
Cash and Short-term Funds	7,953	14,405	14,696	14,918
Investment-UM Balanced Pool	-	-	-	-
Total Assets	7,953	14,405	14,696	14,918
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	7,953	14,405	14,696	14,918
Total Liabilities and Fund Balance	7,953	14,405	14,696	14,918

Photo Negative Project Expendable Fund (CLOSED IN 1999)

Assets:				
Cash and Short-term Funds	882	-	-	-
Investment-UM Balanced Pool	-	-	-	-
Total Assets	882	-	-	-
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	882	-	-	-
Total Liabilities and Fund Balance	882	-	-	-

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	<u>1997</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>

AAR Scholarship Endowed Fund

Assets:				
Cash and Short-term Funds	-	-	-	-
Investment-UM Balanced Pool	-	12,308	11,536	12,324
Total Assets	-	12,308	11,536	12,324
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	-	12,308	11,536	12,324
Total Liabilities and Fund Balance	-	12,308	11,536	12,324

AAR Scholarship Expendable Fund

Assets:				
Cash and Short-term Funds	-	5,070	5,722	6,351
Investment-UM Balanced Pool	-	-	-	-
Total Assets	-	5,070	5,722	6,351
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	-	5,070	5,722	6,351
Total Liabilities and Fund Balance	-	5,070	5,722	6,351

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BALANCE SHEET
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Union Pacific Acquisitions Endowed Fund

Assets:				
Cash and Short-term Funds	-	9,500	-	-
Investment-UM Balanced Pool	-	58,207	64,511	69,523
Total Assets	-	67,707	64,511	69,523
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	-	67,707	64,511	69,523
Total Liabilities and Fund Balance	-	67,707	64,511	69,523

Union Pacific Acquisitions Expendable Fund

Assets:				
Cash and Short-term Funds	-	5,442	9,555	22,248
Investment-UM Balanced Pool	-	-	-	-
Total Assets	-	5,442	9,555	22,248
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	-	5,442	9,555	22,248
Total Liabilities and Fund Balance	-	5,442	9,555	22,248

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CN Acquisitions Endowed Fund

Assets:				
Cash and Short-term Funds	-	-	-	-
Investment-UM Balanced Pool	-	24,146	22,632	24,177
Total Assets	-	24,146	22,632	24,177
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	-	24,146	22,632	24,177
Total Liabilities and Fund Balance	-	24,146	22,632	24,177

CN Acquisitions Expendable Fund

Assets:				
Cash and Short-term Funds	-	8,135	86	1,152
Investment-UM Balanced Pool	-	-	-	-
Total Assets	-	8,135	86	1,152
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	-	8,135	86	1,152
Total Liabilities and Fund Balance	-	8,135	86	1,152

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Robert McKnight Curatorship Endowed Fund

Assets:				
Cash and Short-term Funds	-	-	-	-
Investment-UM Balanced Pool	-	1,243,609	1,176,281	2,753,294
Total Assets	-	1,243,609	1,176,281	2,753,294
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	-	1,243,609	1,176,281	2,753,294
Total Liabilities and Fund Balance	-	1,243,609	1,176,281	2,753,294

Robert McKnight Curatorship Expendable Fund

Assets:				
Cash and Short-term Funds	-	2,650	472	27,752
Investment-UM Balanced Pool	-	-	-	-
Total Assets	-	2,650	472	27,752
Liabilities and Fund Balance:				
Liabilities	-	-	317	-
Fund Balance	-	2,650	155	27,752
Total Liabilities and Fund Balance	-	2,650	472	27,752

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Barriger Campaign for Excellence & Growth Expendable Fund

Assets:				
Cash and Short-term Funds	-	91,810	81,575	89,846
Investment-UM Balanced Pool	-	-	-	-
Total Assets	-	91,810	81,575	89,846
Liabilities and Fund Balance:				
Liabilities	-	-	487	1,100
Fund Balance	-	91,810	81,088	88,746
Total Liabilities and Fund Balance	-	91,810	81,575	89,846

The West the Railroads Made Expendable Fund

Assets:				
Cash and Short-term Funds	-	12,570	-	-
Investment-UM Balanced Pool	-	-	-	-
Total Assets	-	12,570	-	-
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	-	12,570	-	-
Total Liabilities and Fund Balance	-	12,570	-	-

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Robert McKnight Manuscript Expendable Fund

Assets:				
Cash and Short-term Funds	-	9,615	9,809	9,957
Investment-UM Balanced Pool	-	-	-	-
Total Assets	-	9,615	9,809	9,957
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	-	9,615	9,809	9,957
Total Liabilities and Fund Balance	-	9,615	9,809	9,957

John W. Barriger IV Endowment Fund

Assets:				
Cash and Short-term Funds	-	-	-	-
Investment-UM Balanced Pool	-	22,036	21,798	24,558
Total Assets	-	22,036	21,798	24,558
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	-	22,036	21,798	24,558
Total Liabilities and Fund Balance	-	22,036	21,798	24,558

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Robert McKnight Unitrust

Assets:				
Investment-Unitrust	-	1,525,944	1,441,402	-
Total Assets	-	1,525,944	1,441,402	-
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	-	1,525,944	1,441,402	-
Total Liabilities and Fund Balance	-	1,525,944	1,441,402	-

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John W. Barriger Professorship Endowed Fund

Assets:				
Cash and Short-term Funds	-	-	-	-
Investment-UM Balanced Pool	550,000	825,473	773,705	826,525
Total Assets	550,000	825,473	773,705	826,525
Liabilities and Fund Balance:				
Liabilities	-	-	-	-
Fund Balance	550,000	825,473	773,705	826,525
Total Liabilities and Fund Balance	550,000	825,473	773,705	826,525

John W. Barriger Professorship Expendable Fund

Assets:				
Cash and Short-term Funds	-	1,183	1,380	6
Investment-UM Balanced Pool	-	-	-	-
Total Assets	-	1,183	1,380	6
Liabilities and Fund Balance:				
Liabilities	-	1,176	1,362	-
Fund Balance	-	7	18	6
Total Liabilities and Fund Balance	-	1,183	1,380	6

John W. Barriger III National Railroad Library
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INCOME STATEMENT AND CHANGES IN FUND BALANCE
 For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			April 1, 1997 Through June 30, 2013
	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>2013</u>
John W. Barriger III Library Endowed Fund				
Revenues:				
Gifts	-	-	-	77,693
Investment Earnings	67,696	(3,915)	44,316	358,203
Total Revenues	<u>67,696</u>	<u>(3,915)</u>	<u>44,316</u>	<u>435,896</u>
Expenses:				
Endowment Management Fee	(3,474)	(3,285)	(3,285)	(10,044)
NET INCOME	64,222	(7,200)	41,031	425,852
Transfer to Expendable Fund	(17,367)	(16,673)	(16,673)	(245,976)
Fund Balance, Beginning	<u>333,805</u>	<u>380,660</u>	<u>356,787</u>	<u>201,269</u>
Fund Balance, End	380,660	356,787	381,145	381,145

John W. Barriger III Library Expendable Funds*

Revenues:				
Gifts	-	-	6,471	80,697
Investment Earnings	885	936	218	9,867
Other Revenue	-	-	-	27
Total Revenues	<u>885</u>	<u>936</u>	<u>6,689</u>	<u>90,591</u>
Expenses:				
Curator Salary & Benefits	-	-	-	(78,831)
Operating Expenses	(12,620)	(7,702)	(47,586)	(213,217)
Library Acquisitions	(2,093)	(19,205)	(2,029)	(44,303)
Total Expenses	<u>(14,713)</u>	<u>(26,907)</u>	<u>(49,615)</u>	<u>(336,351)</u>
NET INCOME	(13,828)	(25,971)	(42,926)	(245,760)
Transfer from Endowment	17,367	16,673	16,673	245,976
Fund Balance, Beginning	<u>32,228</u>	<u>35,767</u>	<u>26,469</u>	<u>-</u>
Fund Balance, End	35,767	26,469	216	216

* From FY 1997 to FY 2000, there were two separate John W. Barriger III Library Expendable Funds

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INCOME STATEMENT AND CHANGES IN FUND BALANCE
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	<u>Fiscal Year Ended June 30,</u>			<u>April 1, 1997 Through June 30, 2013</u>
	<u>2011</u>	<u>2012</u>	<u>2013</u>	
<i>Elizabeth T. Barriger Book Endowed Fund</i>				
Revenues:				
Gifts	-	-	-	13,971
Investment Earnings	20,254	(1,171)	13,260	104,029
Total Revenues	20,254	(1,171)	13,260	118,000
Expenses:				
Endowment Management Fee	(1,039)	(983)	(983)	(3,005)
NET INCOME	19,215	(2,154)	12,277	114,995
Transfer to Expendable Fund	(5,196)	(4,989)	(4,989)	(71,452)
Fund Balance, Beginning	99,878	113,897	106,754	70,499
Fund Balance, End	113,897	106,754	114,042	114,042

<i>Elizabeth T. Barriger Book Expendable Fund</i>				
Revenues:				
Gifts	-	-	-	9,310
Investment Earnings	88	94	84	7,736
Total Revenues	88	94	84	17,046
Expenses:				
Operating Expenses	(147)	-	-	(14,955)
Library Acquisitions	(8,483)	-	(2,875)	(66,114)
Total Expenses	(8,630)	-	(2,875)	(81,069)
NET INCOME	(8,542)	94	(2,791)	(64,023)
Transfer from Endowment	5,196	4,989	4,989	71,452
Fund Balance, Beginning	3,494	148	5,231	-
Fund Balance, End	148	5,231	7,429	7,429



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INCOME STATEMENT AND CHANGES IN FUND BALANCE
 For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			April 1, 1997 Through June 30, 2013
	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>2013</u>
Oral History Project Expendable Fund				
Revenues:				
Gifts	-	-	-	2,750
Investment Earnings	285	291	222	8,579
Total Revenues	<u>285</u>	<u>291</u>	<u>222</u>	<u>11,329</u>
Expenses:				
Operating Expenses	-	-	-	(4,364)
Library Acquisitions	-	-	-	-
Total Expenses	<u>-</u>	<u>-</u>	<u>-</u>	<u>(4,364)</u>
NET INCOME	285	291	222	6,965
Fund Balance, Beginning	<u>14,120</u>	<u>14,405</u>	<u>14,696</u>	<u>7,953</u>
Fund Balance, End	14,405	14,696	14,918	14,918

Photo Negative Project Expendable Fund (CLOSED IN 1999)

Revenues:				
Gifts	-	-	-	-
Investment Earnings	-	-	-	169
Total Revenues	<u>-</u>	<u>-</u>	<u>-</u>	<u>169</u>
Expenses:				
Operating Expenses	-	-	-	(1,051)
Library Acquisitions	-	-	-	-
Total Expenses	<u>-</u>	<u>-</u>	<u>-</u>	<u>(1,051)</u>
NET INCOME	-	-	-	(882)
Fund Balance, Beginning	<u>-</u>	<u>-</u>	<u>-</u>	<u>882</u>
Fund Balance, End	-	-	-	-

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INCOME STATEMENT AND CHANGES IN FUND BALANCE
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	<u>Fiscal Year Ended June 30,</u>			<u>April 1, 1997</u>
	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>Through</u> <u>June 30,</u> <u>2013</u>
AAR Scholarship Endowed Fund				
Revenues:				
Gifts - Campaign for Excellence & Growth	-	-	-	10,000
Investment Earnings	2,189	(127)	1,433	8,081
Total Revenues	2,189	(127)	1,433	18,081
Expenses:				
Endowment Management Fee	(112)	(106)	(106)	(324)
NET INCOME	2,077	(233)	1,327	17,757
Transfer to Expendable Fund	(562)	(539)	(539)	(5,433)
Fund Balance, Beginning	10,793	12,308	11,536	-
Fund Balance, End	12,308	11,536	12,324	12,324

AAR Scholarship Expendable Fund

Revenues:				
Gifts - Campaign for Excellence & Growth	-	-	-	-
Investment Earnings	98	113	90	918
Total Revenues	98	113	90	918
Expenses:				
Operating Expenses	-	-	-	-
Scholarships	-	-	-	-
Total Expenses	-	-	-	-
NET INCOME	98	113	90	918
Transfer from Endowment	562	539	539	5,433
Fund Balance, Beginning	4,410	5,070	5,722	-
Fund Balance, End	5,070	5,722	6,351	6,351



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INCOME STATEMENT AND CHANGES IN FUND BALANCE
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	<u>Fiscal Year Ended June 30,</u>			<u>April 1, 1997</u>
	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>Through</u> <u>June 30,</u> <u>2013</u>
<i>Union Pacific Acquisitions Endowed Fund</i>				
Revenues:				
Gifts - Campaign for Excellence & Growth	9,500	-	-	57,000
Investment Earnings	10,328	(649)	8,039	34,472
Total Revenues	19,828	(649)	8,039	91,472
Expenses:				
Endowment Management Fee	-	-	-	-
NET INCOME	19,828	(649)	8,039	91,472
Transfer to Expendable Fund	(2,628)	(2,547)	(3,027)	(21,949)
Fund Balance, Beginning	50,507	67,707	64,511	-
Fund Balance, End	67,707	64,511	69,523	69,523

<i>Union Pacific Acquisitions Expendable Fund</i>				
Revenues:				
Gifts - Campaign for Excellence & Growth	-	9,500	9,500	21,500
Investment Earnings	261	65	166	1,805
Total Revenues	261	9,565	9,666	23,305
Expenses:				
Operating Expenses	-	-	-	(75)
Library Acquisitions	(7,996)	(7,999)	-	(22,931)
Total Expenses	(7,996)	(7,999)	-	(23,006)
NET INCOME	(7,735)	1,566	9,666	299
Transfer from Endowment	2,628	2,547	3,027	21,949
Fund Balance, Beginning	10,549	5,442	9,555	-
Fund Balance, End	5,442	9,555	22,248	22,248



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	<u>Fiscal Year Ended June 30,</u>			<u>April 1, 1997</u>
	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>Through</u> <u>June 30,</u> <u>2013</u>
<i>CN Acquisitions Endowed Fund</i>				
Revenues:				
Gifts - Campaign for Excellence & Growth	-	-	-	20,000
Investment Earnings	4,294	(248)	2,811	14,106
Total Revenues	4,294	(248)	2,811	34,106
Expenses:				
Endowment Management Fee	(220)	(208)	(208)	(636)
NET INCOME	4,074	(456)	2,603	33,470
Transfer to Expendable Fund	(1,102)	(1,058)	(1,058)	(9,293)
Fund Balance, Beginning	21,174	24,146	22,632	-
Fund Balance, End	24,146	22,632	24,177	24,177

<i>CN Acquisitions Expendable Fund</i>				
Revenues:				
Gifts - Campaign for Excellence & Growth	-	-	-	-
Investment Earnings	159	74	8	1,040
Total Revenues	159	74	8	1,040
Expenses:				
Operating Expenses	-	-	-	-
Library Acquisitions	-	(9,181)	-	(9,181)
Total Expenses	-	(9,181)	-	(9,181)
NET INCOME	159	(9,107)	8	(8,141)
Transfer from Endowment	1,102	1,058	1,058	9,293
Fund Balance, Beginning	6,874	8,135	86	-
Fund Balance, End	8,135	86	1,152	1,152



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	<u>Fiscal Year Ended June 30,</u>			April 1, 1997 Through June 30, 2013
	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>2013</u>
<i>Robert McKnight Curatorship Endowed Fund*</i>				
Revenues:				
Gifts - Campaign for Excellence & Growth	-	-	1,435,742	2,435,742
Investment Earnings	220,655	(12,906)	228,872	720,720
Total Revenues	<u>220,655</u>	<u>(12,906)</u>	<u>1,664,614</u>	<u>3,156,462</u>
Expenses:				
Endowment Management Fee	-	-	-	-
NET INCOME	220,655	(12,906)	1,664,614	3,156,462
Transfer to Expendable Fund	(56,143)	(54,422)	(87,601)	(479,088)
Transfer from Barriger Campaign for E&G	-	-	-	75,920
Fund Balance, Beginning	<u>1,079,097</u>	<u>1,243,609</u>	<u>1,176,281</u>	<u>-</u>
Fund Balance, End	1,243,609	1,176,281	2,753,294	2,753,294

* The gift received during the fiscal year ended June 30, 2013 was from the Robert McKnight Unitrust. This distribution was made in accordance with the terms of the Trust upon the death of Mr. McKnight in December 2012.

Robert McKnight Curatorship Expendable Fund

Revenues:				
Gifts - Campaign for Excellence & Growth	-	-	-	-
Investment Earnings	550	616	93	6,739
Total Revenues	<u>550</u>	<u>616</u>	<u>93</u>	<u>6,739</u>
Expenses:				
Curator & Staff - Salary & Benefits	(54,940)	(57,533)	(60,097)	(458,075)
Library Acquisitions	-	-	-	-
Total Expenses	<u>(54,940)</u>	<u>(57,533)</u>	<u>(60,097)</u>	<u>(458,075)</u>
NET INCOME	(54,390)	(56,917)	(60,004)	(451,336)
Transfer from Endowment	56,143	54,422	87,601	479,088
Fund Balance, Beginning	<u>897</u>	<u>2,650</u>	<u>155</u>	<u>-</u>
Fund Balance, End	2,650	155	27,752	27,752

John W. Barriger III National Railroad Library
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INCOME STATEMENT AND CHANGES IN FUND BALANCE
 For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			<u>April 1, 1997</u>
	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>Through</u> <u>June 30,</u> <u>2013</u>
<i>Barriger Campaign for Excellence & Growth Expendable Fund</i>				
Revenues:				
Gifts - Campaign for Excellence & Growth	34,693	39,522	64,961	591,440
Investment Earnings	1,832	1,892	1,243	36,564
Other Revenue	1,399	-	-	2,252
Total Revenues	<u>37,924</u>	<u>41,414</u>	<u>66,204</u>	<u>630,256</u>
Expenses:				
Curator & Staff - Salary & Benefits	-	(28,123)	(22,395)	(50,518)
Operating Expenses	(24,474)	(24,013)	(36,151)	(295,314)
Library Acquisitions	(975)	-	-	(119,758)
Total Expenses	<u>(25,449)</u>	<u>(52,136)</u>	<u>(58,546)</u>	<u>(465,590)</u>
NET INCOME	12,475	(10,722)	7,658	164,666
Transfer to Robert McKnight Curatorship				
Endowed Fund	-	-	-	(75,920)
Fund Balance, Beginning	<u>79,335</u>	<u>91,810</u>	<u>81,088</u>	<u>-</u>
Fund Balance, End	91,810	81,088	88,746	88,746

The West the Railroads Made Expendable Fund

Revenues:				
Gifts	-	-	-	254,111
Investment Earnings	281	106	-	10,896
Other Revenue	805	289	-	3,440
Total Revenues	<u>1,086</u>	<u>395</u>	<u>-</u>	<u>268,447</u>
Expenses:				
Operating Expenses	(2,976)	(144)	-	(255,626)
Library Acquisitions	-	(12,821)	-	(12,821)
Total Expenses	<u>(2,976)</u>	<u>(12,965)</u>	<u>-</u>	<u>(268,447)</u>
NET INCOME	(1,890)	(12,570)	-	-
Transfer from Endowment	-	-	-	-
Fund Balance, Beginning	<u>14,460</u>	<u>12,570</u>	<u>-</u>	<u>-</u>
Fund Balance, End	12,570	-	-	-

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INCOME STATEMENT AND CHANGES IN FUND BALANCE
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	Fiscal Year Ended June 30,			April 1, 1997 Through June 30, 2013
	2011	2012	2013	2013

Robert McKnight Manuscript Expendable Fund

Revenues:				
Gifts	-	-	-	15,000
Investment Earnings	190	194	148	1,737
Total Revenues	190	194	148	16,737
Expenses:				
Operating Expenses	-	-	-	(6,780)
Library Acquisitions	-	-	-	-
Total Expenses	-	-	-	(6,780)
NET INCOME	190	194	148	9,957
Transfer from Endowment	-	-	-	-
Fund Balance, Beginning	9,425	9,615	9,809	-
Fund Balance, End	9,615	9,809	9,957	9,957

John W. Barriger IV Endowed Fund

Revenues:				
Gifts	5,032	-	-	18,683
Investment Earnings	3,414	(238)	2,760	5,875
Total Revenues	8,446	(238)	2,760	24,558
Expenses:				
Endowment Management Fee	-	-	-	-
Total Expenses	-	-	-	-
NET INCOME	8,446	(238)	2,760	24,558
Transfer to Expendable Fund	-	-	-	-
Fund Balance, Beginning	13,590	22,036	21,798	-
Fund Balance, End	22,036	21,798	24,558	24,558

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INCOME STATEMENT AND CHANGES IN FUND BALANCE
 For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			April 1, 1997
	<u>2011</u>	<u>2012</u>	<u>2013</u>	Through June 30, 2013
<i>Robert McKnight Unitrust</i>				
Revenues:				
Gift to Unitrust 4/20/98	-	-	-	1,729,375
Investment Earnings	260,420	(10,455)	15,908	848,566
Total Revenues	260,420	(10,455)	15,908	2,577,941
Expenses:				
Gift to Robert McKnight Curatorship Endowed Fund	-	-	(1,435,742)	(1,435,742)
Unitrust expenses	-	-	(3,410)	(3,410)
Payments to Beneficiary	(72,651)	(74,087)	(18,158)	(1,138,789)
NET INCOME	187,769	(84,542)	(1,441,402)	-
Fund Balance, Beginning	1,338,175	1,525,944	1,441,402	-
Fund Balance, End	1,525,944	1,441,402	-	-

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INCOME STATEMENT AND CHANGES IN FUND BALANCE
 For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			April 1, 1997 Through June 30, 2013
	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>2013</u>
<i>John W. Barriger Professorship Endowed Fund</i>				
Revenues:				
Gifts	-	-	-	-
Investment Earnings	146,801	(8,489)	96,099	767,185
Total Revenues	<u>146,801</u>	<u>(8,489)</u>	<u>96,099</u>	<u>767,185</u>
Expenses:				
Endowment Management Fee	(7,533)	(7,124)	(7,124)	(21,781)
NET INCOME	139,268	(15,613)	88,975	745,404
Transfer (to) from Expendable	(37,662)	(36,155)	(36,155)	(468,879)
Fund Balance, Beginning	<u>723,867</u>	<u>825,473</u>	<u>773,705</u>	<u>550,000</u>
Fund Balance, End	825,473	773,705	826,525	826,525

<i>John W. Barriger Professorship Expendable Fund</i>				
Revenues:				
Gifts	-	-	-	-
Investment Earnings	496	411	154	26,330
State Match	37,975	36,456	36,456	528,479
Other Revenue	-	-	-	29
Total Revenues	<u>38,471</u>	<u>36,867</u>	<u>36,610</u>	<u>554,838</u>
Expenses:				
Center for Transportation Studies	(76,216)	(73,011)	(72,777)	(1,023,711)
NET INCOME	(37,745)	(36,144)	(36,167)	(468,873)
Transfer (to) from Endowment	37,662	36,155	36,155	468,879
Fund Balance, Beginning	<u>90</u>	<u>7</u>	<u>18</u>	<u>-</u>
Fund Balance, End	7	18	6	6

