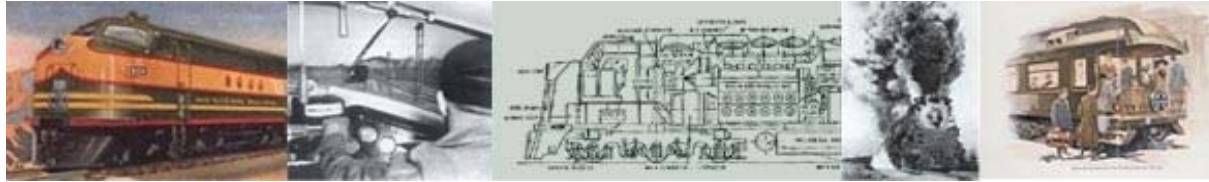


*John W. Barriger III National Railroad Library*

AT THE UNIVERSITY OF MISSOURI - ST. LOUIS



**Report on the Barriger Library Funds  
Supplementary Information**

for the Period from the Effective Affiliation Date of  
April 1, 1997 through February 29, 2012

June 19, 2012

John W. Barriger III National Railroad Library  
Special Collection of the St. Louis Mercantile Library at UM-St. Louis  
**Supplementary Information - June 19, 2012**  
**Table of Contents**

DESCRIPTION	PAGE	PAGE
	Balance Sheet	Income Statement and Changes in Fund Balance
<i><b>Barriger Library Funds</b></i>		
John W. Barriger III Library Endowed Fund	1	12
John W. Barriger III Library Expendable Funds	1	12
Elizabeth T. Barriger Book Endowed Fund	2	13
Elizabeth T. Barriger Book Expendable Fund	2	13
Oral History Project Expendable Fund	3	14
Photo Negative Project Expendable Fund (CLOSED IN 1999)	3	14
AAR Scholarship Endowed Fund	4	15
AAR Scholarship Expendable Fund	4	15
Union Pacific Acquisitions Endowed Fund	5	16
Union Pacific Acquisitions Expendable Fund	5	16
CN Acquisitions Endowed Fund	6	17
CN Acquisitions Expendable Fund	6	17
Robert McKnight Curatorship Endowed Fund	7	18
Robert McKnight Curatorship Expendable Fund	7	18
Barriger Campaign for Excellence & Growth Expendable Fund	8	19
The West the Railroads Made Expendable Fund	8	19
Robert McKnight Manuscript Expendable Fund	9	20
John W. Barriger IV Endowed Funds	9	20
<i><b>Funds Not Available to Barriger Library</b></i>		
Robert McKnight Unitrust	10	21
John W. Barriger Professorship Endowed Fund	11	22
John W. Barriger Professorship Expendable Fund	11	22

John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

	April 1, <u>1997</u>	<u>June 30,</u>			Feb. 29, <u>2012</u>
		<u>2009</u>	<u>2010</u>	<u>2011</u>	

***John W. Barriger III Library Endowed Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	-	-	-	-
Investment-UM Balanced Pool	201,269	317,357	333,805	380,660	353,880
Total Assets	201,269	317,357	333,805	380,660	353,880
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	201,269	317,357	333,805	380,660	353,880
Total Liabilities and Fund Balance	201,269	317,357	333,805	380,660	353,880

***John W. Barriger III Library Expendable Funds\****

<b>Assets:</b>					
Cash and Short-term Funds	-	16,262	32,228	36,089	47,026
Investment-UM Balanced Pool	-	-	-	-	-
Total Assets	-	16,262	32,228	36,089	47,026
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	836	-	322	22
Fund Balance	-	15,426	32,228	35,767	47,004
Total Liabilities and Fund Balance	-	16,262	32,228	36,089	47,026

\* From FY 1997 to FY 2000, there were two separate John W. Barriger III Library Expendable Funds

John W. Barriger III National Railroad Library  
Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

	April 1, 1997	June 30, 2009	June 30, 2010	2011	Feb. 29, 2012
--	------------------	------------------	------------------	------	------------------

***Elizabeth T. Barriger Book Endowed Fund***

	April 1, 1997	June 30, 2009	June 30, 2010	2011	Feb. 29, 2012
<b>Assets:</b>					
Cash and Short-term Funds	-	-	-	-	-
Investment-UM Balanced Pool	70,499	94,956	99,878	113,897	105,884
<b>Total Assets</b>	<b>70,499</b>	<b>94,956</b>	<b>99,878</b>	<b>113,897</b>	<b>105,884</b>
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	70,499	94,956	99,878	113,897	105,884
<b>Total Liabilities and Fund Balance</b>	<b>70,499</b>	<b>94,956</b>	<b>99,878</b>	<b>113,897</b>	<b>105,884</b>

***Elizabeth T. Barriger Book Expendable Fund***

	April 1, 1997	June 30, 2009	June 30, 2010	2011	Feb. 29, 2012
<b>Assets:</b>					
Cash and Short-term Funds	-	102	3,494	148	5,197
Investment-UM Balanced Pool	-	-	-	-	-
<b>Total Assets</b>	<b>-</b>	<b>102</b>	<b>3,494</b>	<b>148</b>	<b>5,197</b>
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	102	3,494	148	5,197
<b>Total Liabilities and Fund Balance</b>	<b>-</b>	<b>102</b>	<b>3,494</b>	<b>148</b>	<b>5,197</b>

John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

	April 1, <u>1997</u>	<u>2009</u>	<u>June 30,</u> <u>2010</u>	<u>2011</u>	<u>Feb. 29,</u> <u>2012</u>
--	-------------------------	-------------	--------------------------------	-------------	--------------------------------

***Oral History Project Expendable Fund***

<b>Assets:</b>					
Cash and Short-term Funds	7,953	13,860	14,120	14,405	14,598
Investment-UM Balanced Pool	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Total Assets	7,953	13,860	14,120	14,405	14,598
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	<u>7,953</u>	<u>13,860</u>	<u>14,120</u>	<u>14,405</u>	<u>14,598</u>
Total Liabilities and Fund Balance	7,953	13,860	14,120	14,405	14,598

***Photo Negative Project Expendable Fund (CLOSED IN 1999)***

<b>Assets:</b>					
Cash and Short-term Funds	882	-	-	-	-
Investment-UM Balanced Pool	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Total Assets	882	-	-	-	-
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	<u>882</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
Total Liabilities and Fund Balance	882	-	-	-	-

John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

	April 1, 1997	June 30,			Feb. 29, 2012
		2009	2010	2011	

***AAR Scholarship Endowed Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	-	-	-	-
Investment-UM Balanced Pool	-	10,261	10,793	12,308	11,442
<b>Total Assets</b>	-	10,261	10,793	12,308	11,442
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	10,261	10,793	12,308	11,442
<b>Total Liabilities and Fund Balance</b>	-	10,261	10,793	12,308	11,442

***AAR Scholarship Expendable Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	3,721	4,410	5,070	5,684
Investment-UM Balanced Pool	-	-	-	-	-
<b>Total Assets</b>	-	3,721	4,410	5,070	5,684
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	3,721	4,410	5,070	5,684
<b>Total Liabilities and Fund Balance</b>	-	3,721	4,410	5,070	5,684

John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

	April 1, 1997	June 30, 2009	2010	2011	Feb. 29, 2012
--	------------------	------------------	------	------	------------------

***Union Pacific Acquisitions Endowed Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	-	-	9,500	-
Investment-UM Balanced Pool	-	48,018	50,507	58,207	63,985
<b>Total Assets</b>	-	48,018	50,507	67,707	63,985
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	48,018	50,507	67,707	63,985
<b>Total Liabilities and Fund Balance</b>	-	48,018	50,507	67,707	63,985

***Union Pacific Acquisitions Expendable Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	7,571	10,549	5,442	27
Investment-UM Balanced Pool	-	-	-	-	-
<b>Total Assets</b>	-	7,571	10,549	5,442	27
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	7,571	10,549	5,442	27
<b>Total Liabilities and Fund Balance</b>	-	7,571	10,549	5,442	27

John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

	April 1, 1997	June 30, 2009	June 30, 2010	2011	Feb. 29, 2012
--	------------------	------------------	------------------	------	------------------

***CN Acquisitions Endowed Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	-	-	-	-
Investment-UM Balanced Pool	-	20,131	21,174	24,146	22,447
<b>Total Assets</b>	-	20,131	21,174	24,146	22,447
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	20,131	21,174	24,146	22,447
<b>Total Liabilities and Fund Balance</b>	-	20,131	21,174	24,146	22,447

***CN Acquisitions Expendable Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	5,567	6,874	8,135	85
Investment-UM Balanced Pool	-	-	-	-	-
<b>Total Assets</b>	-	5,567	6,874	8,135	85
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	5,567	6,874	8,135	85
<b>Total Liabilities and Fund Balance</b>	-	5,567	6,874	8,135	85



John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

	April 1, 1997	June 30,			Feb. 29,
		2009	2010	2011	2012

***Robert McKnight Curatorship Endowed Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	-	-	-	-
Investment-UM Balanced Pool	-	1,025,925	1,079,097	1,243,609	1,166,697
<b>Total Assets</b>	-	1,025,925	1,079,097	1,243,609	1,166,697
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	1,025,925	1,079,097	1,243,609	1,166,697
<b>Total Liabilities and Fund Balance</b>	-	1,025,925	1,079,097	1,243,609	1,166,697

***Robert McKnight Curatorship Expendable Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	1,296	897	2,650	25,007
Investment-UM Balanced Pool	-	-	-	-	-
<b>Total Assets</b>	-	1,296	897	2,650	25,007
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	1,296	897	2,650	25,007
<b>Total Liabilities and Fund Balance</b>	-	1,296	897	2,650	25,007

John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

	April 1, 1997	June 30, 2009	2010	2011	Feb. 29, 2012
--	------------------	------------------	------	------	------------------

***Barriger Campaign for Excellence & Growth Expendable Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	80,922	79,335	91,810	113,855
Investment-UM Balanced Pool	-	-	-	-	-
<b>Total Assets</b>	-	80,922	79,335	91,810	113,855
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	80,922	79,335	91,810	113,855
<b>Total Liabilities and Fund Balance</b>	-	80,922	79,335	91,810	113,855

***The West the Railroads Made Expendable Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	13,847	14,460	12,570	28
Investment-UM Balanced Pool	-	-	-	-	-
<b>Total Assets</b>	-	13,847	14,460	12,570	28
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	13,847	14,460	12,570	28
<b>Total Liabilities and Fund Balance</b>	-	13,847	14,460	12,570	28

John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

	April 1, 1997	June 30, 2009	June 30, 2010	2011	Feb. 29, 2012
--	------------------	------------------	------------------	------	------------------

***Robert McKnight Manuscript Expendable Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	9,199	9,425	9,615	9,744
Investment-UM Balanced Pool	-	-	-	-	-
Total Assets	-	9,199	9,425	9,615	9,744
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	9,199	9,425	9,615	9,744
Total Liabilities and Fund Balance	-	9,199	9,425	9,615	9,744

***John W. Barriger IV Endowment Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	-	-	-	-
Investment-UM Balanced Pool	-	7,520	13,590	22,036	21,620
Total Assets	-	7,520	13,590	22,036	21,620
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	7,520	13,590	22,036	21,620
Total Liabilities and Fund Balance	-	7,520	13,590	22,036	21,620

John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

	<u>April 1,</u> <u>1997</u>	<u>June 30,</u>			<u>Feb. 29,</u>
		<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>2012</u>

***Robert McKnight Unitrust***

<b>Assets:</b>					
Investment-Unitrust	-	1,279,198	1,355,614	1,525,944	1,498,699
Total Assets	-	1,279,198	1,355,614	1,525,944	1,498,699
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	17,439	-	-
Fund Balance	-	1,279,198	1,338,175	1,525,944	1,498,699
Total Liabilities and Fund Balance	-	1,279,198	1,355,614	1,525,944	1,498,699

John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

	April 1, 1997	June 30, 2009	2010	2011	Feb. 29, 2012
--	------------------	------------------	------	------	------------------

***John W. Barriger Professorship Endowed Fund***

	April 1, 1997	June 30, 2009	2010	2011	Feb. 29, 2012
<b>Assets:</b>					
Cash and Short-term Funds	-	-	-	-	-
Investment-UM Balanced Pool	550,000	688,199	723,867	825,473	767,400
<b>Total Assets</b>	<b>550,000</b>	<b>688,199</b>	<b>723,867</b>	<b>825,473</b>	<b>767,400</b>
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	550,000	688,199	723,867	825,473	767,400
<b>Total Liabilities and Fund Balance</b>	<b>550,000</b>	<b>688,199</b>	<b>723,867</b>	<b>825,473</b>	<b>767,400</b>

***John W. Barriger Professorship Expendable Fund***

	April 1, 1997	June 30, 2009	2010	2011	Feb. 29, 2012
<b>Assets:</b>					
Cash and Short-term Funds	-	1,319	1,192	1,183	16,243
Investment-UM Balanced Pool	-	-	-	-	-
<b>Total Assets</b>	<b>-</b>	<b>1,319</b>	<b>1,192</b>	<b>1,183</b>	<b>16,243</b>
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	1,299	1,102	1,176	-
Fund Balance	-	20	90	7	16,243
<b>Total Liabilities and Fund Balance</b>	<b>-</b>	<b>1,319</b>	<b>1,192</b>	<b>1,183</b>	<b>16,243</b>

John W. Barriger III National Railroad Library  
Special Collection of the St. Louis Mercantile Library at UM-St. Louis  
**INCOME STATEMENT AND CHANGES IN FUND BALANCE**  
For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			<u>8 Months Ended Feb. 29,</u>	<u>April 1, 1997 Through Feb. 29,</u>
	<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2012</u>
<b>John W. Barriger III Library Endowed Fund</b>					
<b>Revenues:</b>					
Gifts	-	-	-	-	77,693
Investment Earnings	(67,740)	34,464	67,696	(6,822)	310,980
Total Revenues	(67,740)	34,464	67,696	(6,822)	388,673
<b>Expenses:</b>					
Endowment Management Fee	-	-	(3,474)	(3,285)	(6,759)
<b>NET INCOME</b>	(67,740)	34,464	64,222	(10,107)	381,914
Transfer to Expendable Fund	(18,767)	(18,016)	(17,367)	(16,673)	(229,303)
Fund Balance, Beginning	403,864	317,357	333,805	380,660	201,269
Fund Balance, End	317,357	333,805	380,660	353,880	353,880

**John W. Barriger III Library Expendable Funds\***

<b>Revenues:</b>					
Gifts	750	775	-	-	74,226
Investment Earnings	846	781	885	652	9,365
Other Revenue	-	-	-	-	27
Total Revenues	1,596	1,556	885	652	83,618
<b>Expenses:</b>					
Curator Salary & Benefits	-	-	-	-	(78,831)
Operating Expenses	(11,084)	(2,770)	(12,620)	(5,711)	(163,640)
Library Acquisitions	(10,983)	-	(2,093)	(377)	(23,446)
Total Expenses	(22,067)	(2,770)	(14,713)	(6,088)	(265,917)
<b>NET INCOME</b>	(20,471)	(1,214)	(13,828)	(5,436)	(182,299)
Transfer from Endowment	18,767	18,016	17,367	16,673	229,303
Fund Balance, Beginning	17,130	15,426	32,228	35,767	-
Fund Balance, End	15,426	32,228	35,767	47,004	47,004

\* From FY 1997 to FY 2000, there were two separate John W. Barriger III Library Expendable Funds

John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis  
**INCOME STATEMENT AND CHANGES IN FUND BALANCE**  
 For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			<u>8 Months Ended Feb. 29,</u>	<u>April 1, 1997 Through Feb. 29,</u>
	<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2012</u>
<b>Elizabeth T. Barriger Book Endowed Fund</b>					
<b>Revenues:</b>					
Gifts	-	-	-	-	13,971
Investment Earnings	(20,269)	10,313	20,254	(2,041)	89,899
Total Revenues	(20,269)	10,313	20,254	(2,041)	103,870
<b>Expenses:</b>					
Endowment Management Fee	-	-	(1,039)	(983)	(2,022)
<b>NET INCOME</b>	(20,269)	10,313	19,215	(3,024)	101,848
Transfer to Expendable Fund	(5,615)	(5,391)	(5,196)	(4,989)	(66,463)
Fund Balance, Beginning	120,840	94,956	99,878	113,897	70,499
Fund Balance, End	94,956	99,878	113,897	105,884	105,884

<b>Elizabeth T. Barriger Book Expendable Fund</b>					
<b>Revenues:</b>					
Gifts	-	60	-	-	9,310
Investment Earnings	140	118	88	60	7,618
Total Revenues	140	178	88	60	16,928
<b>Expenses:</b>					
Operating Expenses	(55)	-	(147)	-	(14,955)
Library Acquisitions	(10,121)	(2,177)	(8,483)	-	(63,239)
Total Expenses	(10,176)	(2,177)	(8,630)	-	(78,194)
<b>NET INCOME</b>	(10,036)	(1,999)	(8,542)	60	(61,266)
Transfer from Endowment	5,615	5,391	5,196	4,989	66,463
Fund Balance, Beginning	4,523	102	3,494	148	-
Fund Balance, End	102	3,494	148	5,197	5,197



John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis  
**INCOME STATEMENT AND CHANGES IN FUND BALANCE**  
 For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			<b>8 Months Ended Feb. 29,</b>	<b>April 1, 1997 Through Feb. 29,</b>
	<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2012</u>
<b>Oral History Project Expendable Fund</b>					
<b>Revenues:</b>					
Gifts	-	-	-	-	2,750
Investment Earnings	430	339	285	193	8,259
Total Revenues	<u>430</u>	<u>339</u>	<u>285</u>	<u>193</u>	<u>11,009</u>
<b>Expenses:</b>					
Operating Expenses	(15)	(79)	-	-	(4,364)
Library Acquisitions	-	-	-	-	-
Total Expenses	<u>(15)</u>	<u>(79)</u>	<u>-</u>	<u>-</u>	<u>(4,364)</u>
<b>NET INCOME</b>	415	260	285	193	6,645
Fund Balance, Beginning	<u>13,445</u>	<u>13,860</u>	<u>14,120</u>	<u>14,405</u>	<u>7,953</u>
Fund Balance, End	13,860	14,120	14,405	14,598	14,598

**Photo Negative Project Expendable Fund (CLOSED IN 1999)**

<b>Revenues:</b>					
Gifts	-	-	-	-	-
Investment Earnings	-	-	-	-	169
Total Revenues	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>169</u>
<b>Expenses:</b>					
Operating Expenses	-	-	-	-	(1,051)
Library Acquisitions	-	-	-	-	-
Total Expenses	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>(1,051)</u>
<b>NET INCOME</b>	-	-	-	-	(882)
Fund Balance, Beginning	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>882</u>
Fund Balance, End	-	-	-	-	-



John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis  
**INCOME STATEMENT AND CHANGES IN FUND BALANCE**  
 For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			<u>8 Months Ended Feb. 29,</u>	<u>April 1, 1997 Through Feb. 29,</u>
	<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2012</u>
<b>AAR Scholarship Endowed Fund</b>					
<b>Revenues:</b>					
Gifts - Campaign for Excellence & Growth	-	-	-	-	10,000
Investment Earnings	(2,190)	1,115	2,189	(221)	6,554
Total Revenues	(2,190)	1,115	2,189	(221)	16,554
<b>Expenses:</b>					
Endowment Management Fee	-	-	(112)	(106)	(218)
<b>NET INCOME</b>	(2,190)	1,115	2,077	(327)	16,336
Transfer to Expendable Fund	(607)	(583)	(562)	(539)	(4,894)
Fund Balance, Beginning	13,058	10,261	10,793	12,308	-
Fund Balance, End	10,261	10,793	12,308	11,442	11,442

<b>AAR Scholarship Expendable Fund</b>					
<b>Revenues:</b>					
Gifts - Campaign for Excellence & Growth	-	-	-	-	-
Investment Earnings	115	106	98	75	790
Total Revenues	115	106	98	75	790
<b>Expenses:</b>					
Operating Expenses	-	-	-	-	-
Scholarships	-	-	-	-	-
Total Expenses	-	-	-	-	-
<b>NET INCOME</b>	115	106	98	75	790
Transfer from Endowment	607	583	562	539	4,894
Fund Balance, Beginning	2,999	3,721	4,410	5,070	-
Fund Balance, End	3,721	4,410	5,070	5,684	5,684



John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis  
**INCOME STATEMENT AND CHANGES IN FUND BALANCE**  
 For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			<b>8 Months Ended Feb. 29,</b>	<b>April 1, 1997 Through Feb. 29,</b>
	<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2012</u>
<b>Union Pacific Acquisitions Endowed Fund</b>					
<b>Revenues:</b>					
Gifts - Campaign for Excellence & Growth	-	-	9,500	-	57,000
Investment Earnings	(10,249)	5,215	10,328	(1,175)	25,907
Total Revenues	(10,249)	5,215	19,828	(1,175)	82,907
<b>Expenses:</b>					
Endowment Management Fee	-	-	-	-	-
<b>NET INCOME</b>	(10,249)	5,215	19,828	(1,175)	82,907
Transfer to Expendable Fund	(2,840)	(2,726)	(2,628)	(2,547)	(18,922)
Fund Balance, Beginning	61,107	48,018	50,507	67,707	-
Fund Balance, End	48,018	50,507	67,707	63,985	63,985

**Union Pacific Acquisitions Expendable Fund**

<b>Revenues:</b>					
Gifts - Campaign for Excellence & Growth	-	-	-	-	2,500
Investment Earnings	251	252	261	65	1,639
Total Revenues	251	252	261	65	4,139
<b>Expenses:</b>					
Operating Expenses	-	-	-	-	(75)
Library Acquisitions	(2,138)	-	(7,996)	(8,027)	(22,959)
Total Expenses	(2,138)	-	(7,996)	(8,027)	(23,034)
<b>NET INCOME</b>	(1,887)	252	(7,735)	(7,962)	(18,895)
Transfer from Endowment	2,840	2,726	2,628	2,547	18,922
Fund Balance, Beginning	6,618	7,571	10,549	5,442	-
Fund Balance, End	7,571	10,549	5,442	27	27

John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis  
**INCOME STATEMENT AND CHANGES IN FUND BALANCE**  
 For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			<u>8 Months Ended Feb. 29,</u>	<u>April 1, 1997 Through Feb. 29,</u>
	<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2012</u>
<b>Revenues:</b>					
Gifts - Campaign for Excellence & Growth	-	-	-		20,000
Investment Earnings	(4,297)	2,186	4,294	(433)	11,110
Total Revenues	(4,297)	2,186	4,294	(433)	31,110
<b>Expenses:</b>					
Endowment Management Fee	-	-	(220)	(208)	(428)
<b>NET INCOME</b>	(4,297)	2,186	4,074	(641)	30,682
Transfer to Expendable Fund	(1,190)	(1,143)	(1,102)	(1,058)	(8,235)
Fund Balance, Beginning	25,618	20,131	21,174	24,146	-
Fund Balance, End	20,131	21,174	24,146	22,447	22,447

***CN Acquisitions Expendable Fund***

<b>Revenues:</b>					
Gifts - Campaign for Excellence & Growth	-	-	-	-	-
Investment Earnings	173	164	159	73	1,031
Total Revenues	173	164	159	73	1,031
<b>Expenses:</b>					
Operating Expenses	-	-	-	-	-
Library Acquisitions	-	-	-	(9,181)	(9,181)
Total Expenses	-	-	-	(9,181)	(9,181)
<b>NET INCOME</b>	173	164	159	(9,108)	(8,150)
Transfer from Endowment	1,190	1,143	1,102	1,058	8,235
Fund Balance, Beginning	4,204	5,567	6,874	8,135	-
Fund Balance, End	5,567	6,874	8,135	85	85

John W. Barriger III National Railroad Library  
Special Collection of the St. Louis Mercantile Library at UM-St. Louis  
**INCOME STATEMENT AND CHANGES IN FUND BALANCE**  
For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			<u>8 Months Ended Feb. 29,</u>	<u>April 1, 1997 Through Feb. 29,</u>
	<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2012</u>
<b>Robert McKnight Curatorship Endowed Fund</b>					
<b>Revenues:</b>					
Gifts - Campaign for Excellence & Growth	-	-	-	-	1,000,000
Investment Earnings	(218,984)	111,414	220,655	(22,490)	482,264
Total Revenues	(218,984)	111,414	220,655	(22,490)	1,482,264
<b>Expenses:</b>					
Endowment Management Fee	-	-	-	-	-
<b>NET INCOME</b>	(218,984)	111,414	220,655	(22,490)	1,482,264
Transfer to Expendable Fund	(60,669)	(58,242)	(56,143)	(54,422)	(391,487)
Transfer from Barriger Campaign for E&G	-	-	-	-	75,920
Fund Balance, Beginning	1,305,578	1,025,925	1,079,097	1,243,609	-
Fund Balance, End	1,025,925	1,079,097	1,243,609	1,166,697	1,166,697

**Robert McKnight Curatorship Expendable Fund**

<b>Revenues:</b>					
Gifts - Campaign for Excellence & Growth	-	-	-	-	-
Investment Earnings	1,031	707	550	511	6,541
Total Revenues	1,031	707	550	511	6,541
<b>Expenses:</b>					
Curator Salary & Benefits	(64,581)	(59,348)	(54,940)	(32,576)	(373,021)
Library Acquisitions	-	-	-	-	-
Total Expenses	(64,581)	(59,348)	(54,940)	(32,576)	(373,021)
<b>NET INCOME</b>	(63,550)	(58,641)	(54,390)	(32,065)	(366,480)
Transfer from Endowment	60,669	58,242	56,143	54,422	391,487
Fund Balance, Beginning	4,177	1,296	897	2,650	-
Fund Balance, End	1,296	897	2,650	25,007	25,007

John W. Barriger III National Railroad Library  
Special Collection of the St. Louis Mercantile Library at UM-St. Louis  
**INCOME STATEMENT AND CHANGES IN FUND BALANCE**  
For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			<u>8 Months Ended Feb. 29,</u>	<u>April 1, 1997 Through Feb. 29,</u>
	<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2012</u>
<b>Revenues:</b>					
Gifts - Campaign for Excellence & Growth	63,725	49,220	34,693	22,838	509,795
Investment Earnings	2,747	2,119	1,832	1,274	34,703
Other Revenue	-	853	1,399	-	2,252
Total Revenues	<u>66,472</u>	<u>52,192</u>	<u>37,924</u>	<u>24,112</u>	<u>546,750</u>
<b>Expenses:</b>					
Curator Salary & Benefits	-	-	-	-	-
Operating Expenses	(45,683)	(49,725)	(24,474)	(2,067)	(237,217)
Library Acquisitions	(62,110)	(4,054)	(975)	-	(119,758)
Total Expenses	<u>(107,793)</u>	<u>(53,779)</u>	<u>(25,449)</u>	<u>(2,067)</u>	<u>(356,975)</u>
<b>NET INCOME</b>	(41,321)	(1,587)	12,475	22,045	189,775
Transfer to Robert McKnight Curatorship					
Endowed Fund	-	-	-	-	(75,920)
Fund Balance, Beginning	<u>122,243</u>	<u>80,922</u>	<u>79,335</u>	<u>91,810</u>	<u>-</u>
Fund Balance, End	80,922	79,335	91,810	113,855	113,855

***The West the Railroads Made Expendable Fund***

<b>Revenues:</b>					
Gifts	20,000	-	-	-	254,111
Investment Earnings	466	347	281	105	10,895
Other Revenue	-	2,346	805	289	3,440
Total Revenues	<u>20,466</u>	<u>2,693</u>	<u>1,086</u>	<u>394</u>	<u>268,446</u>
<b>Expenses:</b>					
Operating Expenses	(23,575)	(2,080)	(2,976)	(144)	(255,626)
Library Acquisitions	-	-	-	(12,792)	(12,792)
Total Expenses	<u>(23,575)</u>	<u>(2,080)</u>	<u>(2,976)</u>	<u>(12,936)</u>	<u>(268,418)</u>
<b>NET INCOME</b>	(3,109)	613	(1,890)	(12,542)	28
Transfer from Endowment	-	-	-	-	-
Fund Balance, Beginning	<u>16,956</u>	<u>13,847</u>	<u>14,460</u>	<u>12,570</u>	<u>-</u>
Fund Balance, End	13,847	14,460	12,570	28	28

John W. Barriger III National Railroad Library  
Special Collection of the St. Louis Mercantile Library at UM-St. Louis  
**INCOME STATEMENT AND CHANGES IN FUND BALANCE**  
For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			<b>8 Months Ended Feb. 29,</b>	<b>April 1, 1997 Through Feb. 29,</b>
	<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2012</u>
<b>Revenues:</b>					
Gifts	5,000	-	-	-	15,000
Investment Earnings	237	226	190	129	1,524
Total Revenues	<u>5,237</u>	<u>226</u>	<u>190</u>	<u>129</u>	<u>16,524</u>
<b>Expenses:</b>					
Operating Expenses	(1,668)	-	-	-	(6,780)
Library Acquisitions	-	-	-	-	-
Total Expenses	<u>(1,668)</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>(6,780)</u>
<b>NET INCOME</b>	3,569	226	190	129	9,744
Transfer from Endowment	-	-	-	-	-
Fund Balance, Beginning	<u>5,630</u>	<u>9,199</u>	<u>9,425</u>	<u>9,615</u>	<u>-</u>
Fund Balance, End	9,199	9,425	9,615	9,744	9,744

***John W. Barriger IV Endowed Fund***

<b>Revenues:</b>					
Gifts	2,970	5,351	5,032	-	18,683
Investment Earnings	(622)	719	3,414	(416)	2,937
Total Revenues	<u>2,348</u>	<u>6,070</u>	<u>8,446</u>	<u>(416)</u>	<u>21,620</u>
<b>Expenses:</b>					
Endowment Management Fee	-	-	-	-	-
Total Expenses	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
<b>NET INCOME</b>	2,348	6,070	8,446	(416)	21,620
Transfer to Expendable Fund	-	-	-	-	-
Fund Balance, Beginning	<u>5,172</u>	<u>7,520</u>	<u>13,590</u>	<u>22,036</u>	<u>-</u>
Fund Balance, End	7,520	13,590	22,036	21,620	21,620

John W. Barriger III National Railroad Library  
Special Collection of the St. Louis Mercantile Library at UM-St. Louis  
**INCOME STATEMENT AND CHANGES IN FUND BALANCE**  
For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			<u>Ended</u>	<u>Through</u>
	<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>Feb. 29,</u>	<u>Feb. 29,</u>
				<u>2012</u>	<u>2012</u>
<b>Robert McKnight Unitrust</b>					
<b>Revenues:</b>					
Gift to Unitrust 4/20/98	-	-	-	-	1,729,375
Investment Earnings	(170,005)	124,405	260,420	9,070	852,183
Total Revenues	(170,005)	124,405	260,420	9,070	2,581,558
<b>Expenses:</b>					
Payments to Beneficiary	(70,985)	(65,428)	(72,651)	(36,315)	(1,082,859)
<b>NET INCOME</b>	(240,990)	58,977	187,769	(27,245)	1,498,699
Fund Balance, Beginning	1,520,188	1,279,198	1,338,175	1,525,944	-
Fund Balance, End	1,279,198	1,338,175	1,525,944	1,498,699	1,498,699

John W. Barriger III National Railroad Library  
Special Collection of the St. Louis Mercantile Library at UM-St. Louis  
**INCOME STATEMENT AND CHANGES IN FUND BALANCE**  
For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			<u>8 Months Ended Feb. 29,</u>	<u>April 1, 1997 Through Feb. 29,</u>
	<u>2009</u>	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2012</u>
<b>John W. Barriger Professorship Endowed Fund</b>					
<b>Revenues:</b>					
Gifts	-	-	-	-	-
Investment Earnings	(146,896)	74,737	146,801	(14,793)	664,782
Total Revenues	(146,896)	74,737	146,801	(14,793)	664,782
<b>Expenses:</b>					
Endowment Management Fee	-	-	(7,533)	(7,125)	(14,658)
<b>NET INCOME</b>	(146,896)	74,737	139,268	(21,918)	650,124
Transfer (to) from Expendable	(40,697)	(39,069)	(37,662)	(36,155)	(432,724)
Fund Balance, Beginning	875,792	688,199	723,867	825,473	550,000
Fund Balance, End	688,199	723,867	825,473	767,400	767,400

**John W. Barriger Professorship Expendable Fund**

<b>Revenues:</b>					
Gifts	-	-	-	-	-
Investment Earnings	755	721	496	339	26,104
State Match	41,036	39,394	37,975	36,456	492,023
Other Revenue	-	-	-	-	29
Total Revenues	41,791	40,115	38,471	36,795	518,156
<b>Expenses:</b>					
Center for Transportation Studies	(82,934)	(79,114)	(76,216)	(56,714)	(934,637)
<b>NET INCOME</b>	(41,143)	(38,999)	(37,745)	(19,919)	(416,481)
Transfer (to) from Endowment	40,697	39,069	37,662	36,155	432,724
Fund Balance, Beginning	466	20	90	7	-
Fund Balance, End	20	90	7	16,243	16,243