

**Return of Private Foundation  
or Section 4947(a)(1) Nonexempt Charitable Trust  
Treated as a Private Foundation**

**2000**

Department of the Treasury  
Internal Revenue Service

Note: The organization may be able to use a copy of this return to satisfy state reporting requirements.

For calendar year 2000, or tax year beginning 07/01, 2000, and ending 06/30/2001

G Check all that apply:  Initial return  Final return  Amended return  Address change  Name change

Use the IRS label. Otherwise, print or type. See Specific Instructions.

Name of organization: **ST. LOUIS MERCANTILE LIBRARY ASSOCIATION**

Number and street (or P.O. box number if mail is not delivered to street address): **8001 NATURAL BRIDGE ROAD**

City or town, state, and ZIP code: **ST. LOUIS, MO 63121**

Room/suite: \_\_\_\_\_

A Employer identification number: **43-0694564**

B Telephone number (see page 10 of the instructions): **(314) 516-5000**

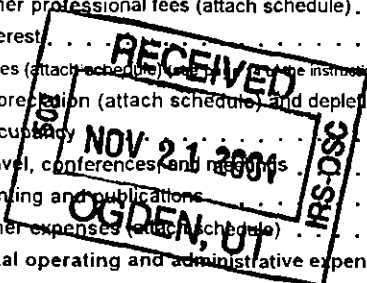
- C If exemption application is pending, check here
- D 1. Foreign organizations, check here   
2. Foreign organizations meeting the 85% test, check here and attach computation
- E If private foundation status was terminated under section 507(b)(1)(A), check here
- F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

H Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) **428,149.**

J Accounting method:  Cash  Accrual  
 Other (specify) \_\_\_\_\_

Part I Analysis of Revenue and Expenses <i>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see page 10 of the instructions).)</i>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Distributions from split-interest trusts				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	15,460.	15,460.		
5a	Gross rents				
b	(Net rental income or (loss))				
6a	Net gain or (loss) from sale of assets not on line 10				
b	Gross sales price for all assets on line 6a				
7	Capital gain net income (from Part IV, line 2)				
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	Total. Add lines 1 through 11	15,460.	15,460.		
13	Compensation of officers, directors, trustees, etc.				
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) <b>STMT 1</b>	700.	NONE	NONE	700.
c	Other professional fees (attach schedule)				
17	Interest				
18	Taxes (attach schedule)				
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meals				
22	Printing and publications				
23	Other expenses (attach schedule)				
24	Total operating and administrative expenses. Add lines 13 through 23	700.	NONE	NONE	700.
25	Contributions, gifts, grants paid	15,000.			15,000.
26	Total expenses and disbursements. Add lines 24 and 25	15,700.	NONE	NONE	15,700.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	-240.			
b	Net investment income (if negative, enter -0-)		15,460.		
c	Adjusted net income (if negative, enter -0-)				



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing	15,244.	1,919.	1,919.	
	2	Savings and temporary cash investments				
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see page 15 of the instructions)				
	7	Other notes and loans receivable (attach schedule)				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U.S. and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule)				
	c	Investments - corporate bonds (attach schedule)				
	11	Investments - land, buildings, and equipment: basis				
	Less: accumulated depreciation (attach schedule)					
12	Investments - mortgage loans					
13	Investments - other (attach schedule) STMT. 2.	423,841.	436,926.	426,230.		
14	Land, buildings, and equipment: basis					
	Less: accumulated depreciation (attach schedule)					
15	Other assets (describe)					
16	Total assets (to be completed by all filers - see page 16 of the instructions. Also, see page 1, item I)	439,085.	438,845.	428,149.		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe)				
23	Total liabilities (add lines 17 through 22)					
Net Assets or Fund Balances	Organizations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted	439,085.	438,845.		
	25	Temporarily restricted				
	26	Permanently restricted				
	Organizations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	Total net assets or fund balances (see page 17 of the instructions)	439,085.	438,845.		
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	439,085.	438,845.			

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	439,085.
2	Enter amount from Part I, line 27a	2	-240.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	438,845.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	438,845.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P-Purchase D-Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)	
<b>1a SEE PART IV SCHEDULE</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
<b>a</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2 Capital gain net income or (net capital loss)</b> . . . . .		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		<b>2</b>	<b>NONE</b>
<b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):</b> If gain, also enter in Part I, line 8, column (c) (see pages 13 and 17 of the instructions). If (loss), enter -0- in Part I, line 8 . . . . .		}		<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

N/A

If section 4940(d)(2) applies, leave this part blank.

Was the organization liable for the section 4942 tax on the distributable amount of any year in the base period? . . .  Yes  No  
 If "Yes," the organization does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see page 18 of the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
1999			
1998			
1997			
1996			
1995			

<b>2 Total of line 1, column (d)</b> . . . . .	<b>2</b>	
<b>3 Average distribution ratio for the 5-year base period-divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years</b> . . . . .	<b>3</b>	
<b>4 Enter the net value of noncharitable-use assets for 2000 from Part X, line 5</b> . . . . .	<b>4</b>	
<b>5 Multiply line 4 by line 3</b> . . . . .	<b>5</b>	
<b>6 Enter 1% of net investment income (1% of Part I, line 27b)</b> . . . . .	<b>6</b>	
<b>7 Add lines 5 and 6</b> . . . . .	<b>7</b>	
<b>8 Enter qualifying distributions from Part XII, line 4</b> . . . . .	<b>8</b>	

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions on page 18.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see page 18 of the instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here [X] and enter "N/A" on line 1. Date of ruling letter: 10/28/1998 (attach copy of ruling letter if necessary - see instructions)
b Domestic organizations that meet the section 4940(e) requirements in Part V, check here [ ] and enter 1% of Part I, line 27b
c All other domestic organizations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)
3 Add lines 1 and 2
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-
6 Credits/Payments:
a 2000 estimated tax payments and 1999 overpayment credited to 2000
b Exempt foreign organizations - tax withheld at source
c Tax paid with application for extension of time to file (Form 8868)
d Backup withholding erroneously withheld
7 Total credits and payments. Add lines 6a through 6d
8 Enter any penalty or underpayment of estimated tax. Check here [ ] if Form 2220 is attached
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid
11 Enter the amount of line 10 to be: Credited to 2001 estimated tax Refunded

Part VII-A Statements Regarding Activities

1 a During the tax year, did the organization attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?
c Did the organization file Form 1120-POL for this year?
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:
(1) On the organization. \$ NONE (2) On organization managers. \$ NONE
e Enter the reimbursement (if any) paid by the organization during the year for political expenditure tax imposed on organization managers. \$ NONE
2 Has the organization engaged in any activities that have not previously been reported to the IRS?
3 Has the organization made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments?
4 a Did the organization have unrelated business gross income of \$1,000 or more during the year?
b If "Yes," has it filed a tax return on Form 990-T for this year?
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:
• By language in the governing instrument or
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?
7 Did the organization have at least \$5,000 in assets at any time during the year?
8 a Enter the states to which the foundation reports or with which it is registered (see page 19 of the instructions) MISSOURI
b If the answer is "Yes" to line 7, has the organization furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G?
9 Is the organization claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2000 or the taxable year beginning in 2000 (see instructions for Part XIV on page 25)?
10 Did any persons become substantial contributors during the tax year?
11 Did the organization comply with the public inspection requirements for its annual returns and exemption application?
12 The books are in care of JOHN P. MULDERIG Telephone no. 314-516-5000
Located at 8001 NATURAL BRIDGE ROAD ZIP+4 63121
13 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year 13

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

Table with columns for question descriptions, Yes/No checkboxes, and a grid for Yes/No/N/A responses. Includes questions 1a through 6b regarding disqualifying activities, taxes, and business interests.

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see page 19 of the instructions):**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		-0-	-0-	-0-

**2 Compensation of five highest-paid employees (other than those included on line 1 - see page 21 of the instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 . . . . . ► NONE

**3 Five highest-paid independent contractors for professional services - (see page 21 of the instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services . . . . . ► NONE

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see page 22 of the instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
2	
All other program-related investments. See page 22 of the instructions.	
3 NONE	

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see page 22 of the instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	427,023.
b Average of monthly cash balances	1b	12,257.
c Fair market value of all other assets (see page 23 of the instructions)	1c	NONE
d Total (add lines 1a, b, and c)	1d	439,280.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2 Acquisition indebtedness applicable to line 1 assets	2	NONE
3 Subtract line 2 from line 1d	3	439,280.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see page 23 of the instructions)	4	6,589.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	432,691.
6 Minimum investment return. Enter 5% of line 5	6	21,635.

**Part XI Distributable Amount** (see page 23 of the instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	21,635.
2 a Tax on investment income for 2000 from Part VI, line 5	2a	NONE
b Income tax for 2000. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	NONE
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	21,635.
4 a Recoveries of amounts treated as qualifying distributions	4a	NONE
b Income distributions from section 4947(a)(2) trusts	4b	
c Add lines 4a and 4b	4c	NONE
5 Add lines 3 and 4c	5	21,635.
6 Deduction from distributable amount (see page 23 of the instructions)	6	NONE
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	21,635.

**Part XII Qualifying Distributions** (see page 24 of the instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	15,700.
b Program-related investments - total of lines 1-3 of Part IX-B	1b	NONE
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	NONE
b Cash distribution test (attach the required schedule)	3b	NONE
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8 and Part XIII, line 4	4	15,700.
5 Organizations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see page 24 of the instructions)	5	N/A
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	15,700.

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see page 24 of the instructions)

N/A

		(a) Corpus	(b) Years prior to 1999	(c) 1999	(d) 2000
1	Distributable amount for 2000 from Part XI, line 7				NONE
2	Undistributed income, if any, as of the end of 1999				
a	Enter amount for 1999			NONE	
b	Total for prior years: <u>1998</u>		NONE		
3	Excess distributions carryover, if any, to 2000:				
a	From 1995	NONE			
b	From 1996	NONE			
c	From 1997	NONE			
d	From 1998	22,582.			
e	From 1999	1,755.			
f	Total of lines 3a through e	24,337.			
4	Qualifying distributions for 2000 from Part XII, line 4: <u>15,700.</u>				
a	Applied to 1999, but not more than line 2a			NONE	
b	Applied to undistributed income of prior years (Election required - see page 25 of the instructions)		NONE		
c	Treated as distributions out of corpus (Election required - see page 25 of the instructions)	NONE			
d	Applied to 2000 distributable amount				NONE
e	Remaining amount distributed out of corpus	15,700.			
5	Excess distributions carryover applied to 2000 (If an amount appears in column (d), the same amount must be shown in column (a).)	NONE			NONE
6	Enter the net total of each column as indicated below:				
a	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	40,037.			
b	Prior years' undistributed income. Subtract line 4b from line 2b		NONE		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d	Subtract line 6c from line 6b. Taxable amount - see page 25 of the instructions		NONE		
e	Undistributed income for 1999. Subtract line 4a from line 2a. Taxable amount - see page 23 of the instructions			NONE	
f	Undistributed income for 2000. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2001				NONE
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3) (see page 25 of the instructions)	NONE			
8	Excess distributions carryover from 1995 not applied on line 5 or line 7 (see page 25 of the instructions)	NONE			
9	Excess distributions carryover to 2001. Subtract lines 7 and 8 from line 6a	40,037.			
10	Analysis of line 9:				
a	Excess from 1996	NONE			
b	Excess from 1997	NONE			
c	Excess from 1998	22,582.			
d	Excess from 1999	1,755.			
e	Excess from 2000	15,700.			



**Part XIV Private Operating Foundations** (see page 25 of the instructions and Part VII-A, question 9) **NOT APPLICABLE**

**1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2000, enter the date of the ruling . . . . .

**b** Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2000	(b) 1999	(c) 1998	(d) 1997	
<b>2 a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test - enter:					
<b>(1)</b> Value of all assets . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
<b>b</b> "Endowment" alternative test - Enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
<b>c</b> "Support" alternative test - enter:					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii) . . . . .					
<b>(3)</b> Largest amount of support from an exempt organization . . . . .					
<b>(4)</b> Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the organization had \$5,000 or more in assets at any time during the year -- see page 26 of the instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the organization only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the organization makes gifts, grants, etc. (see page 26 of the instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number of the person to whom applications should be addressed:

N/A

**b** The form in which applications should be submitted and information and materials they should include:

N/A

**c** Any submission deadlines:

N/A

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<p><b>a Paid during the year</b></p> <p>SEE STATEMENT 8</p>				
<b>Total . . . . .</b>				<b>▶ 3a 15,000.</b>
<p><b>b Approved for future payment</b></p> <p>NONE</p>				
<b>Total . . . . .</b>				<b>▶ 3b</b>



### Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?
- |  | Yes | No |
|--|-----|----|
| a Transfers from the reporting organization to a noncharitable exempt organization of:   |     |    |
| (1) Cash   |     | X  |
| (2) Other assets   |     | X  |
| b Other Transactions:  |     |    |
| (1) Sales of assets to a noncharitable exempt organization   |     | X  |
| (2) Purchases of assets from a noncharitable exempt organization   |     | X  |
| (3) Rental of facilities, equipment, or other assets   |     | X  |
| (4) Reimbursement arrangements   |     | X  |
| (5) Loans or loan guarantees   |     | X  |
| (6) Performance of services or membership or fundraising solicitations   |     | X  |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees   |     | X  |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting organization. If the organization received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |     |    |

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2 a Is the organization directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?  Yes  No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

ing accompanying schedules and statements, and to the best of my knowledge and belief, it is  
 or fiduciary) is based on all information of which preparer has any knowledge  
 10-19-2001 Assistant TREASURER

# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
TOTAL GAIN (LOSS) .....						NONE	

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
RBG TAX RETURNS	700.	NONE	NONE	700.
TOTALS	700.	NONE	NONE	700.

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
UNIV. OF MO BALANCED POOL	436,926.	426,230.
TOTALS	436,926.	426,230.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

NAME AND ADDRESS	TITLE AND TIME DEVOTED TO POSITION
RUTH A. BRYANT C/O ST. LOUIS MERC. LIBRARY ASSOC. 8001 NATURAL BRIDGE ROAD ST. LOUIS, MO 63121	DIRECTOR 1
JOHN W. BARRIGER, IV C/O ST. LOUIS MERC. LIBRARY ASSOC. 8001 NATURAL BRIDGE ROAD ST. LOUIS, MO 63121	DIRECTOR 1
PETER A. FANCHI III C/O ST. LOUIS MERC. LIBRARY ASSOC. 8001 NATURAL BRIDGE ROAD ST. LOUIS, MO 63121	DIRECTOR 1
ELLEN E. JONES C/O ST. LOUIS MERC. LIBRARY ASSOC. 8001 NATURAL BRIDGE ROAD ST. LOUIS, MO 63121	DIRECTOR 1
JOHN NEAL HOOVER ST. LOUIS MERCANTILE LIBRARY 8001 NATURAL BRIDGE ROAD ST. LOUIS, MO 63121	EX OFFICIO 1
JAMES H. GROVE C/O ST. LOUIS MERC. LIBRARY ASSOC. 8001 NATURAL BRIDGE ROAD ST. LOUIS, MO 63121	SECRETARY 1
WALTER F. BALLINGER, M.D.	PRESIDENT 1



FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

TITLE AND TIME  
DEVOTED TO POSITION

NAME AND ADDRESS

C/O ST. LOUIS MERC. LIBRARY ASSOC.  
8001 NATURAL BRIDGE ROAD  
ST. LOUIS, MO 63121

MARIE C. BRAUER VICE PRESIDENT  
C/O ST. LOUIS MERC. LIBRARY ASSOC. 1  
8001 NATURAL BRIDGE ROAD  
ST. LOUIS, MO 63121

HUGH MCPHEETERS DIRECTOR  
C/O ST. LOUIS MERC. LIBRARY ASSOC. 1  
8001 NATURAL BRIDGE ROAD  
ST. LOUIS, MO 63121

MARY M. OTT DIRECTOR  
C/O ST. LOUIS MERC. LIBRARY ASSOC. 1  
8001 NATURAL BRIDGE ROAD  
ST. LOUIS, MO 63121

KATHARINE AMBERG SMITH DIRECTOR  
C/O ST. LOUIS MERC. LIBRARY ASSOC. 1  
8001 NATURAL BRIDGE ROAD  
ST. LOUIS, MO 63121

NINA SMILEY WILKINS DIRECTOR  
C/O ST. LOUIS MERC. LIBRARY ASSOC. 1  
8001 NATURAL BRIDGE ROAD  
ST. LOUIS, MO 63121

BLANCHE M. TOUHILL EX OFFICIO  
CHANCELLOR, UNIVERSITY OF MISSOURI 1  
401 WOODS HALL

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

TITLE AND TIME  
DEVOTED TO POSITION

NAME AND ADDRESS

8001 NATURAL BRIDGE ROAD  
ST. LOUIS, MO 63121

MARGARET ADAMS  
C/O ST. LOUIS MERC. LIBRARY ASSOC. 1  
8001 NATURAL BRIDGE ROAD  
ST. LOUIS, MO 63121

DIRECTOR

TODD BURKE  
C/O ST. LOUIS MERC. LIBRARY ASSOC. 1  
8001 NATURAL BRIDGE ROAD  
ST. LOUIS, MO 63121

DIRECTOR

CAROL GRUEN  
C/O ST. LOUIS MERC. LIBRARY ASSOC. 1  
8001 NATURAL BRIDGE ROAD  
ST. LOUIS, MO 63121

DIRECTOR

PHILIP H. LOUGHLIN III  
C/O ST. LOUIS MERC. LIBRARY ASSOC. 1  
8001 NATURAL BRIDGE ROAD  
ST. LOUIS, MO 63121

DIRECTOR

SUSAN B. MCCOLLUM  
C/O ST. LOUIS MERC. LIBRARY ASSOC. 1  
8001 NATURAL BRIDGE ROAD  
ST. LOUIS, MO 63121

DIRECTOR

STEVE ROBERTS  
C/O ST. LOUIS MERC. LIBRARY ASSOC. 1  
8001 NATURAL BRIDGE ROAD  
ST. LOUIS, MO 63121

DIRECTOR

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

TITLE AND TIME  
DEVOTED TO POSITION

NAME AND ADDRESS

SCOTT WILSON  
C/O ST. LOUIS MERC. LIBRARY ASSOC. 1  
8001 NATURAL BRIDGE ROAD  
ST. LOUIS, MO 63121

JANE P. GLEASON  
C/O ST. LOUIS MERC. LIBRARY ASSOC. 1  
8001 NATURAL BRIDGE ROAD  
ST. LOUIS, MO 63121

JOHN R. ROBERTS  
C/O ST. LOUIS MERC. LIBRARY ASSOC. 1  
8001 NATURAL BRIDGE ROAD  
ST. LOUIS, MO 63121

RICHARD C SHAW, MD  
C/O ST. LOUIS MERC. LIBRARY ASSOC. 1  
8001 NATURAL BRIDGE ROAD  
ST. LOUIS, MO 63121

DARREN WETHERS, MD  
C/O ST. LOUIS MERC. LIBRARY ASSOC. 1  
8001 NATURAL BRIDGE ROAD  
ST. LOUIS, MO 63121

JOHN P. MULDERIG, CPA  
C/O ST. LOUIS MERC. LIBRARY ASSOC. 1  
8001 NATURAL BRIDGE ROAD  
ST. LOUIS, MO 63121

HENRY H. LANGENBERG  
DIRECTOR 1

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

TITLE AND TIME  
DEVOTED TO POSITION

NAME AND ADDRESS

C/O ST. LOUIS MERC. LIBRARY ASSOC.  
8001 NATURAL BRIDGE ROAD  
ST. LOUIS, MO 63121

JAN MACKEY  
C/O ST. LOUIS MERC. LIBRARY ASSOC. 1  
8001 NATURAL BRIDGE ROAD  
ST. LOUIS, MO 63121  
DIRECTOR

RUSSELL E. PERRY  
C/O ST. LOUIS MERC. LIBRARY ASSOC. 1  
8001 NATURAL BRIDGE ROAD  
ST. LOUIS, MO 63121  
DIRECTOR

FRANK J STOKES III  
C/O ST. LOUIS MERC. LIBRARY ASSOC. 1  
8001 NATURAL BRIDGE ROAD  
ST. LOUIS, MO 63121  
DIRECTOR

KATHY BUTTON BELL  
C/O ST. LOUIS MERC. LIBRARY ASSOC. 1  
8001 NATURAL BRIDGE ROAD  
ST. LOUIS, MO 63121  
DIRECTOR

GRAND TOTALS

FORM 990PE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR  
AND  
FOUNDATION STATUS OF RECIPIENT

RECIPIENT NAME AND ADDRESS

PURPOSE OF GRANT OR CONTRIBUTION

AMOUNT

MERCANTILE LIBRARY AT UMSL  
8001 NATURAL BRIDGE ROAD  
ST. LOUIS, MO 63121

N/A  
PUBLIC CHARITY

ENDOWMENT FUND - SEED MONEY

15,000.

TOTAL CONTRIBUTIONS PAID

15,000.

Form <b>872</b> (Rev. June 1996)	Department of the Treasury—Internal Revenue Service <b>Consent to Extend the Time to Assess Tax</b>	In Reply Refer To: SSN or EIN
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St Louis Mercantile Library Association  
(Name(s))

taxpayer(s) of 510 Locust St Louis MO 63101  
(Number, Street, City or Town, State, ZIP Code)

and the District Director of Internal Revenue or Regional Director of Appeals consent and agree to the following:

(1) The amount of any Federal Section 4940 or 4980 excise tax due on any return(s) made by  
(Kind of tax)  
or for the above taxpayer(s) for the period(s) ended June 30, 1999, June 30, 2000, June 30, 2001,  
June 30 2002, and June 30 2003

may be assessed at any time on or before November 15, 2008. However, if  
(Expiration date)

a notice of deficiency in tax for any such period(s) is sent to the taxpayer(s) on or before that date, then the time for assessing the tax will be further extended by the number of days the assessment was previously prohibited, plus 60 days.

(2) The taxpayer(s) may file a claim for credit or refund and the Service may credit or refund the tax within 6 months after this agreement ends.

MAKING THIS CONSENT WILL NOT DEPRIVE THE TAXPAYER(S) OF ANY APPEAL RIGHTS TO WHICH THEY WOULD OTHERWISE BE ENTITLED.

YOUR SIGNATURE HERE → \_\_\_\_\_ (Date signed) \_\_\_\_\_

SPOUSE'S SIGNATURE → \_\_\_\_\_ (Date signed) \_\_\_\_\_

TAXPAYER'S REPRESENTATIVE SIGN HERE → \_\_\_\_\_ (Date signed) \_\_\_\_\_

CORPORATE NAME → ST LOUIS MERCANTILE LIBRARY ASSOCIATION

CORPORATE OFFICER(S) SIGN HERE → Marshall Hies V. President June 30, 1998 (Title) (Date signed)

CORPORATE OFFICER(S) SIGN HERE → \_\_\_\_\_ (Title) \_\_\_\_\_ (Date signed)

BY [Signature] Group Manager Group Manager REGIONAL DIRECTOR OF APPEALS 10-28-98 (Signature and Title) (Date signed)

Instructions

If this consent is for income tax, self-employment tax, or FICA tax on tips and is made for any year(s) for which a joint return was filed, both husband and wife must sign the original and copy of this form unless one, acting under a power of attorney, signs as agent for the other. The signatures must match the names as they appear on the front of this form.

If this consent is for gift tax and the donor and the donor's spouse elected to have gifts to third persons considered as made one-half by each, both husband and wife must sign the original and copy of this form unless one, acting under a power of attorney, signs as agent for the other. The signatures must match the names as they appear on the front of this form.

If this consent is for Chapter 41, 42, or 43 taxes involving a partnership or is for a partnership return, only one authorized partner need sign.

If this consent is for Chapter 42 taxes, a separate Form 872 should be completed for each potential disqualified person, entity, or foundation manager that may be involved in a taxable transaction during the related tax year. See Revenue Ruling 75-391, 1975-2 C.B. 446.

If you are an attorney or agent of the taxpayer(s), you may sign this consent provided the action is specifically authorized by a power of attorney. If the power of attorney was not previously filed, you must include it with this form.

If you are acting as a fiduciary (such as executor, administrator, trustee, etc.) and you sign this consent, attach Form 56, Notice Concerning Fiduciary Relationship, unless it was previously filed.

If the taxpayer is a corporation, sign this consent with the corporate name followed by the signature and title of the officer(s) authorized to sign.