990-PF

Return of Private Foundation

CHANGE OF ACCOUNTING
PERIOD OMB NO. 1545-0052

Department of the Treasury Internal Revenue Service

or Section 4947(a)(1) Nonexempt Charitable Trust

Treated as a Private Foundation

Note: The organization may be able to use a copy of this return to satisfy state reporting requirements.

Fo	r ca	lendai	year 1997, or tax year beginni	ing 01/	01/1998 .	, <u>"nd</u>	ending ()	6/30 ,19 98
			Name of organization		•	•	A Employe	er identification number
U	se th	e IRS						
	lab	el.	ST. LOUIS MERCANTIL	E LIBRARY AS:	SOCIATION	<i>-</i>	43-0	694564
C	ther	wise,	Number and street (or P.O. box number	if mail is not delivered to	street address)	Room/s	uite B State re	gistration number (see
nl	ease	print					instructi	on F)
ρ,	or ty	•	8001 NATURAL BRIDGE	ROAD		1	1	
٥,	-	ecific	City or town, state, and ZIP + 4			1	If exemption applic pending, check her	
		tions.	,			١,) 1. Foreign organi	
	Struc	, tions.	ST. LOUIS, MO 63121]]	Organizations i	meeting the 85% test, 🛌
_	Cha	ak tuna	of organization: X Section 501(c)(3) exempt private for	oundation			d attach computation
'n	_		947(a)(1) nonexempt charitable trust	Other taxable pri				on status was terminated (b)(1)(A), check here
<u>.</u>			t value of assets at end J Acco	unting method: Ca				
'			m Part II, col. (c), line	Other (specify)				in a 60-month termination (b)(1)(B), check here
	16)		404,261. (Part I.	column (d) must be on	cash basis.)			d, check here
_			is of Revenue and Expenses	(a) Revenue and				(d) Disbursements
Pa		(The tot	al of amounts in columns (b), (c), and	expenses per	(b) Net investment	(c)	Adjusted net	for charitable
		ld) mav	not necessarily equal the amounts in	, ,	income	ŀ	income	purposes (cash basis only)
-			(a) (see page 9 of the instructions).)	books		-		(CCC) CCC CONT
			ions, gifts, grants, etc., received (attach schedule)		, , , , , , , , , , , , , , , , , , ,			"
			utions from split-interest trusts			-		
ĺ	3		on savings and temporary cash investments	22,108.	22,108		22,108.	
1	4		nds and interest from securities	ZZ, 100.	££,100	`	-LL, 100.	
			ents		4	-	·	
			tal income or (loss))			+		
의	6	-	or (loss) from sale of assets not on line 10			 		
틹	7	Capital	gain net income (from Part IV, line 2)				111-1	
evenue	8		ort-term capital gain		· · · · · · · · · · · · · · · · · · ·			
œ	9	Income	e modifications					
	TUA	and all	ales less returns owances		***			
			ost of goods sold					-
	C	Gross s	profit or (loss) (attach schedule)					
	11	Other i	ncome (attach schedule)			 		
				00.400	00 100	,	22 100	
	12		Add lines 1 through 11 • • • • • •	22,108.	22,108	5.	22,108.	
	13	Compe	nsation of officers, directors, trustees, etc.			-		
ũ	14		employee salaries and wages		-		· · · · · · · · · · · · · · · · · · ·	-
nse	15		n plans, employee benefits			 	.	
er.	16a	Legal f	ees (attach schedule)					
Expe			nting fees (attach schedule)			1	·· ·	594.
	c	Other	professional fees (attach schadil 🏻 🛴 🧵	594.				594.
Administrative	17	Interes				 		
ij	18	Taxes (attach schedule) (see page 12 of the instructions)					
Ĕ	19	Depre	ciation (attach schedule) and depletion					
Ξ	20	Occup	ancy					
Å	21	Travel,	conferences, and meetings		<u></u>			
덛	22		g and publications					4 500
Operating and	23	Other	expenses (attach schedule)STMT. 2.	4,500.				4,500.
ä	24		operating and administrative expenses.					5 004
rat			nes 13 through 23	5,094.				5,094.
pe	25	Contri	butions, gifts, grants paid					
Ō	26		expenses and disbursements. Add lines					5 00.
			125	5,094.				5,094.
_	27		act line 26 from line 12:			* .		
			s of revenue over expenses and			ľ		
			sements	17,014.				
	ь		vestment income (if negative, enter -0-)		22,108	3	<u></u>	
	Į.		ted net income (if negative, enter -0-)				22,108	5 000 PE /1097
_								non ut (1447)

Form 990-PF (1997) 43-0694564 Page 2

D 11	D-lanca Chasta	Attached schedules and amounts in the	Beginning of year	End of	f year
Part II	Balance Sheets	description column should be for end-of-year amounts only. (See instructions.)	(a) Book Value	(b) Book Value	(c) Fair Market Value
1	Cash - non-interest-beari	ng	17,853.	8,188.	8,188.
2	Savings and temporary of	eash investments	2,694.		
	Accounts receivable				
	Less: allowance for doub	otful accounts			
4	Pledges receivable				
	Less: allowance for doub				
5					
6		ficers, directors, trustees, and other			
"		nch schedule) (see page 14 of the instructions)			
7		eceivable (attach schedule)			
		otful accounts			
Assets ®		se			
		eferred charges	-""		
9		=		····	
		government obligations (attach schedule)			
	•	stock (attach schedule)			
ł	Investments - corporate Investments - land, building	bonds (attach schedule)			
11	and equipment: basis Less: accumulated deprecia (attach schedule)	ation			
12	Investments - mortgage		0.47.004	070 074	200 072
13 14	Investments - other (atta Land, buildings, and equipment: basis	ech schedule) STMT 3	347,301.	376,674.	396,073.
	Less: accumulated deprecia (attach schedule)				
15	Other assets (describe				
16	•	pleted by all filers - see page 15 of			
	the instructions) · · ·	<u> </u>	367,848.	384,862,	404,261.
17		accrued expenses			
18	• •				
			1		
Liabilities 20 19		ors, trustees, and other disqualified persons			
21		otes payable (attach schedule)			
22	Other liabilities (describ				
	 ,,,				
23	Total liabilities (add line	es 17 through 22) • • • • • • • •			
		ollow SFAS 117, check here ► X		-	
	_	24 through 26 and lines 30 and 31.			
۵ 9 24			367,848.	384,862.	
O i					
Fund Balan 98 98	•				
8 20		lo not follow SFAS 117,			
5	=	plete lines 27 through 31.			
៦ 27		cipal, or current funds			
		or land, bldg., and equipment fund			
29 29 28 28	· · · · · · · · · · · · · · · · · · ·	ulated income, endowment, or other funds			
₹ 20		nd balances (see page 15 of the			
ž S			367,848.	384,862.	
		t assets/fund balances (see page 15 of	007,040.		
31		t assets/fund natalices (see page 10 of	367,848.	384,862	
_!				001,1002	N 1 5 V 1
Part I		nanges in Net Assets or Fund			
		alances at beginning of year - Part II,		1	367,848.
		ear figure reported on prior year's ret			
		line 27a		2	17,014.
		ded in line 2 (itemize) ▶			
					384,862.
5 Dec	reases not included in	ı line 2 (itemize) ▶		5	
6 Tota	al net assets or fund b	alances at end of year (line 4 minus l	ine 5) - Part II, column (b)), line 30 6	384,862.

2-story bri	describe the kind(s) of property solotick warehouse; or common stock, 20	d, (e.g., real estate, 00 shs. MLC Co.)	(b) How acquired P-Purchase D-Donation	I (mo day yr i	(d) Date sold (mo., day, yr.
a					
b					
С					
d					
e				th) Cain as the	1
(e) Gross sales price minus expense of sale	(f) Depreciation allowed (or allowable)	(g) Cost or other basis		(h) Gain or (k (e) plus (f) min	
a					
b					
С					
d					
е					
Complete only for assets show		ned by the foundation on 12/31/69		Gains (Col. (h) g (k), but not less	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	CO1.	Losses (from co	•
а					
b					
c			<u> </u>		
d					1
If gain, also enter in Part I, I If (loss), enter-0- in Part I, Ii	(net capital loss) or (loss) as defined in sections 1 line 8, column (c) (see pages 11 ine 8	and 16 of the instructions).	2		
Part V Qualification Under	Section 4940(e) for Reduc	ed Tax on Net Investment Incor	ne		
If section 4940(d)(2) applies,	leave this part blank.	ne section 4940(a) tax on net investr			
If section 4940(d)(2) applies, l Was the organization liable fo If "Yes," the organization does	leave this part blank. If the section 4942 tax on the dis Is not qualify under section 4940	stributable amount of any year in the (e). Do not complete this part.	base per	riod?	
If section 4940(d)(2) applies, l Was the organization liable fo If "Yes," the organization does	leave this part blank. If the section 4942 tax on the dis Is not qualify under section 4940	stributable amount of any year in the	base per	riod?	
Was the organization liable fo If "Yes," the organization does Enter the appropriation (a) Base period years Calendar year (or tax year beginning in)	leave this part blank. If the section 4942 tax on the dis Is not qualify under section 4940	stributable amount of any year in the (e). Do not complete this part.	base per	riod?	entries.
If section 4940(d)(2) applies, I Was the organization liable fo If "Yes," the organization does 1 Enter the appropria (a) Base period years Calendar year (or tax year beginning in) 1996	leave this part blank. If the section 4942 tax on the disonot qualify under section 4940 ate amount in each column for e	stributable amount of any year in the (e). Do not complete this part. each year; see page 16 of the instruc	base per	riod? ore making any (d) Distribution o	entries.
If section 4940(d)(2) applies, Was the organization liable fo If "Yes," the organization does 1 Enter the appropria (a) Base period years Calendar year (or tax year beginning in) 1996 1995	leave this part blank. If the section 4942 tax on the disonot qualify under section 4940 ate amount in each column for e	stributable amount of any year in the (e). Do not complete this part. each year; see page 16 of the instruc	base per	riod? ore making any (d) Distribution o	atio
Was the organization liable fo If "Yes," the organization does Enter the appropria (a) Base period years Calendar year (or tax year beginning in) 1996 1995 1994	leave this part blank. If the section 4942 tax on the disonot qualify under section 4940 ate amount in each column for e	stributable amount of any year in the (e). Do not complete this part. each year; see page 16 of the instruc	base per	riod? ore making any (d) Distribution o	entries.
If section 4940(d)(2) applies, Was the organization liable fo If "Yes," the organization does 1 Enter the appropria (a) Base period years Calendar year (or tax year beginning in) 1996 1995	leave this part blank. If the section 4942 tax on the disonot qualify under section 4940 ate amount in each column for e	stributable amount of any year in the (e). Do not complete this part. each year; see page 16 of the instruc	base per	riod? ore making any (d) Distribution o	entries.
Was the organization liable fo If "Yes," the organization does 1 Enter the appropria (a) Base period years Calendar year (or tax year beginning in) 1996 1995 1994 1993 1992 2 Total of line 1, column (d) 3 Average distribution ratio the number of years the fo	leave this part blank. In the section 4942 tax on the distribution 4940 ate amount in each column for e (b) Adjusted qualifying distributions for the 5-year base period-divide oundation has been in existence acharitable-use assets for 1997 for	stributable amount of any year in the (e). Do not complete this part. each year; see page 16 of the instruction (c) Net value of noncharitable-use assets e the total on line 2 by 5, or by if less than 5 years from Part X, line 5	base per tions before	riod? ore making any (d) Distribution o	entries.
Was the organization liable for the appropriation of the section 4940(d)(2) applies, the organization liable for the section of the organization does the section of the organization of the number of years Calendar year (or tax year beginning in) 1996 1995 1994 1993 1992 Total of line 1, column (d) Average distribution ration the number of years the form of the section of the s	leave this part blank. If the section 4942 tax on the distribution 4940 ate amount in each column for e (b) Adjusted qualifying distributions for the 5-year base period-divide oundation has been in existence archaritable-use assets for 1997 for the 5-year basets for 1997 for the 1997 for	stributable amount of any year in the (e). Do not complete this part. Pach year; see page 16 of the instruction (c) Net value of noncharitable-use assets The total on line 2 by 5, or by if less than 5 years Trom Part X, line 5	tions before	riod? ore making any (d) Distribution o	entries.
If section 4940(d)(2) applies, I Was the organization liable fo If "Yes," the organization does 1 Enter the appropria (a) Base period years Calendar year (or tax year beginning in) 1996 1995 1994 1993 1992 2 Total of line 1, column (d) 3 Average distribution ratio the number of years the form 4 Enter the net value of non 5 Multiply line 4 by line 3	leave this part blank. In the section 4942 tax on the distribution 4940 ate amount in each column for e (b) Adjusted qualifying distributions for the 5-year base period-divide oundation has been in existence acharitable-use assets for 1997 for	stributable amount of any year in the (e). Do not complete this part. each year; see page 16 of the instruction (c) Net value of noncharitable-use assets et the total on line 2 by 5, or by if less than 5 years from Part X, line 5	tions before	riod? ore making any (d) Distribution o	entries.
Was the organization liable fo If "Yes," the organization liable fo If "Yes," the organization does 1 Enter the appropria (a) Base period years Calendar year (or tax year beginning in) 1996 1995 1994 1993 1992 2 Total of line 1, column (d) 3 Average distribution ratio the number of years the formula of the number of years the number of years the formula of the number of years the	leave this part blank. In the section 4942 tax on the distribution 4940 attention 4940 attentio	stributable amount of any year in the (e). Do not complete this part. each year; see page 16 of the instruction (c) Net value of noncharitable-use assets et the total on line 2 by 5, or by if less than 5 years from Part X, line 5	base per tions before 2 2 3 4 5 6 7 8	ore making any (d) Distribution (col. (b) divided by	entries. atio y col. (c))

Form 9	90-PF (1997) 43-0694564		Pa	age 4
Part	· · · · · · · · · · · · · · · · · · ·	s)		
	Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1.			
	Date of ruling letter: 09/23/85 (attach copy of ruling letter if necessary - see instructions)			
b	Domestic organizations that meet the section 4940(e) requirements in Part V, check		442	<u>. </u>
	here and enter 1% of Part I, line 27b			
	All other domestic organizations, enter 2% of line 27b. Exempt foreign organizations enter 4% of line 27b			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
3	Add lines 1 and 2		442	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		NON	
5	Tax on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		442	<u> </u>
6	Credits/Payments:			
a	1997 estimated tax payments and 1996 overpayment credited to 1997			
ь	Exempt foreign organizations - tax withheld at source			
c	Tax paid with application for extension of time to file (Form 2758) 6c NONE			
	Backup withholding erroneously withheld			
7	Total credits and payments. Add lines 6a through 6d		NON	IE_
8	Enter any PENALTY for underpayment of estimated tax. Check here if Form 2220 is attached			
9	TAX DUE. If the total of lines 5 and 8 is more than line 7, enter AMOUNT OWED.		442	<u>}</u> ,
10	OVERPAYMENT. If line 7 is more than the total of lines 5 and 8, enter the AMOUNT OVERPAID			
11	Enter the amount of line 10 to be: Credited to 1998 estimated tax ▶ Refunded ▶ 11			
	VII-A Statements Regarding Activities			
	During the tax year, did the organization attempt to influence any national, state, or local legislation or did		Yes	No
	it participate or intervene in any political campaign?	1a		X
ь	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page			
_	17 of the instructions for definition)?	1ь		Χ
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials			.11
	published or distributed by the organization in connection with the activities.			
	Did the organization file Form 1120-POL for this year?	1c	N.	/ A
	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:			A
-	(1) On the organization. ▶ \$ NONE (2) On organization managers. ▶ \$ NONE		: 4	lier.
	Enter the reimbursement (if any) paid by the organization during the year for political expenditure tax imposed	1 1.	44,1	
Ū	on organization managers. \$ NONE			ļ., .,
2	Has the organization engaged in any activities that have not previously been reported to the IRS?	2_		<u>X</u>
_	If "Yes," attach a detailed description of the activities.			
3	Has the organization made any changes, not previously reported to the IRS, in its governing instrument, articles			
_	of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	Х	
4 a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	4a		Х
ь	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	N	Α
5	Was there a liquidation, termination, dissolution or substantial contraction during the year?	5		Х
_	If "Yes," attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:			
_	By language in the governing instrument, or			
	By state legislation that effectively amends the governing instrument so that no mandatory directions] :		
	that conflict with the state law remain in the governing instrument?	6	Х	
7	Did the organization have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II. col. (c), and Part XV.	7	X	
, 8 a	Enter the states to which the foundation reports or with which it is registered (see page 17 of the			_
	instructions) MISSOURI			
ь	If the answer is "Yes" to line 7, has the organization furnished a copy of Form 990-PF to the Attorney			
_	General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	l
9	Is the organization claiming status as a private operating foundation within the meaning of section 4942(j)(3)			
_	or 4942(j)(5) for calendar year 1997 or the taxable year beginning in 1997 (see instructions for Part XIV on			
	page 23)? If "Yes." complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.			
11a	Did anyone request to see either the organization's annual return or its exemption application (or both)?			Х
-	If "Yes," did the organization comply pursuant to the instructions? (See General Instruction Q.)	11b		/ A
12	The books are in care of ▶ VIRGINIA S. DILL Telephone no. ▶ 314-5			
14	The books are in care of ► VIRGINIA S. DILL Located at ► 8001 NATURAL BRIDGE ROAD Telephone no. ► 314-5′ ZIP code ► 63121			
13	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 Check here		ī	
	and enter the amount of tax-exempt interest received or accrued during the year			

Pa	rt V	/II-B Statements Regarding Activities for Which Form 4720 May Be Required			
	Fil	le Form 4720 if any Item is checked in the "Yes" column unless an exception applies.		Yes	No
		dealing (section 4941):			
a		uring the year did the organization (either directly or indirectly):			
) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes X No	-		
	(2	Borrow money from, lend money to, or otherwise extend credit to (or accept it from)	4.		41,14
		a disqualified person? Yes X No			
	(3	Purnish goods, services, or facilities to (or accept them from) a disqualified person? Yes X No			No. 1
	{4	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes X No			144
		i) Transfer any income or assets to a disqualified person (or make any of either available	i ya		Řæ.
	•	for the benefit or use of a disqualified person)?			
	10	3) Agree to pay money or property to a government official? (Exception. Check "No"			
	,,	if the organization agreed to make a grant to or to employ the official for a period	MA		
		after termination of government service, if terminating within 90 days.)			Maria -
		after termination of government solvings, we community and the solving of the sol	414		hilli
ì		any answer is "Yes" to 1a(1)-(6), did ANY of the acts fail to qualify under the exceptions described in		, id. 1	
		egulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 18 of the	1b	N	/Δ
		structions)?	1 1944	in the second	
		organizations relying on a current notice regarding disaster assistance check here			
(id the organization engage in a prior year in any of the acts described in 1a, other than excepted acts,	\$ 17 m		V
		nat were not corrected before the first day of the tax year beginning in 1997?	1c		
2		axes on failure to distribute income (section 4942) (does not apply for years the organization			
		vas a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
		it the end of the tax year 1997, did the organization have any undistributed income (lines 6d			
		nd 6e, Part XIII) for tax year(s) beginning before 1997? Yes X No			
	lf	"Yes," list the years > 19 19 19 19			
- 1	ь А	are there any years listed in 2a for which the organization is NOT applying the provisions of section 4942(a)(2)			
		relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2)			
		o ALL years listed, answer "No" and attach statement - see page 18 of the instructions.)	2b		_X_
		the provisions of section 4942(a)(2) are being applied to ANY of the years listed in 2a, list the years here.			
		40 40			
_		→ 19 19 19 19 axes on excess business holdings (section 4943):			
3				<u> </u>	
		old the organization hold more than a 2% direct or indirect interest in any business			
		interprise at any time during the years.			
1		"Yes," did it have excess business holdings in 1997 as a result of (1) any purchase by the organization			
		or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved			
		y the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3)			
		he lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine	43 508	Period N	/ A
		f the organization had excess business holdings in 1997.)	3b	IN Section	// A
4		axes on investments that jeopardize charitable purposes (section 4944):			
	a D	Did the organization invest during the year any amount in a manner that would jeopardize its charitable	greet in		
		ourposes?	4a	: ::::::::::::::::::::::::::::::::::::	<u> X </u>
		Did the organization make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable			
	р	purpose that had not been removed from jeopardy before the first day of the tax year beginning in 1997?	4ь	- <u>- 381.11</u>	X
5	Т	Taxes on taxable expenditures (section 4945) and political expenditures (section 4955):			
	a D	During the year did the organization pay or incur any amount to:			
	ť	1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes X No			
	i:	2) Influence the outcome of any specific public election (see section 4955); or to carry on,		\$.5	
	1,	directly or indirectly, any voter registration drive?		15.14	
	,	3) Provide a grant to an individual for travel, study, or other similar purposes?			
		4) Provide a grant to an organization other than a charitable, etc., organization described			
	1,	in section 509(a)(1), (2), or (3), or section 4940(d)(2)?			
		in section coolegy is, test to the section of the section of the section coolegy is the section of the section			
	C	5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?			
	_	educational purposes, or for the prevention of street, or street,			
		f any answer is "Yes" to 5a(1)-(5), did ANY of the transactions fail to qualify under the exceptions described in	5b	N	V/A
		Regulations section 53.4945 or in a current notice regarding disaster assistance (see page 18 of the instructions)?	JU	Survis	1
		Organizations relying on a current notice regarding disaster assistance check here			
	c li	f the answer is "Yes" to question 5a(4), does the organization claim exemption from the tax			1
	b	pecause it maintained expenditure responsibility for the grant?		9.1	
	,	If "Ver." attach the statement required by Regulations section 53.4945-5(d).		10.5	140 (1474)

Part VIII

1 List all officers, directors, trustees, foundation ma				ctions):
(a) Name and address	(b) Title and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7	_	-0	-0-	-0-
	-		-	**
Compensation of five highest-paid employees (ot if none, enter "NONE."	her than those incl	uded on line 1 - sec	page 19 of the inst	ructions).
(a) Name and address of each employee paid more than \$50,000	(b) Title and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE	devoted to position		and deletted compensation	OBIOT BIOTYSTICES
Total number of other employees paid over \$50,000 .				► NON
Five highest-paid independent contractors for pro "NONE."	ofessional services	- (see page 19 of t	he instructions). If no	one, enter
(a) Name and address of each person paid more t	han \$50,000	(b) Ty	pe of service	(c) Compensation
NONE				
			••	
12.14				
Total number of others receiving over \$50,000 for pro				· · · · · ▶ NON
Part IX-A Summary of Direct Charitable Activit	ties			
List the foundation's four largest direct charitable activities during the t			ch as the number	Expenses
<u>of organizations and other beneficiaries served, conferences convened,</u> 1	research papers produce	d, etc.		
NONE				
NONE 2				
_				
3	,,,	B7. II		
4				
		<u>.</u> .		

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees,

Part	IX-B Summary of Program-Related Investments (see page 20 of the instructions)		
Des	cribe any program-related investments made by the foundation during the tax year.		Amount
1 -	NONE		
2 _			
-			
3			
Par	TX Minimum Investment Return (All domestic foundations must complete this par	t. Fo	reign foundations,
1	see page 20 of the instructions.) Fair market value of assets not used (or held for use) directly in carrying out charitable, etc.,	<u> </u>	
•	purposes:		
а	Average monthly fair market value of securities	1a	379,581.
b	Average of monthly cash balances	1b	14,219.
c	Fair market value of all other assets (see page 21 of the instructions)	1c	NONE
d	Total (add lines 1a, b, and c)	1d	393,800.
е	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detalied explanation)		NONE
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	393,800.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see	4	5,907.
5	page 21 of the instructions)	5	387,893.
Ð	Het value of noticitalitable-use assets. Subtract life 4 from life 5. Enter field and on Fact 4, find 4	<u> </u>	307,3001
6	Minimum investment return. Enter 5% of line 5	6	19,395.
	t XI Distributable Amount (see page 21 of the instructions) (Section 4942(j)(3) and (j)(5) private	oper	
	and certain foreign organizations check here 🕨 📗 and do not complete this part.)		
1	Minimum investment return from Part X, line 6	1	19,395.
2 a	Tax on investment income for 1997 from Part VI, line 5 2a 442.		
ь	Income tax for 1997. (This does not include the tax from Part VI.) 2b		
C	Add lines 2a and 2b	2c	442.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	18,953.
	Recoveries of amounts treated as qualifying distributions 4a NONE		
	Income distributions from section 4947(a)(2) trusts	_	NONE
_	Add lines 4a and 4b	4c	18,953.
5	Add lines 3 and 4c	6	NONE
6	Deduction from distributable amount (see page 22 of the instructions)	Ť	HOME
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	18,953.
	t XII Qualifying Distributions (see page 22 of the instructions)	•	
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	l	
·	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	5,094.
b	Program-related investments - total of lines 1-3 of Part IX-B	1b	NONE
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc.,		
	purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
а	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3ь	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8 and Part XIII, line 4	4	5,094.
5	Organizations that qualify under section 4940(e) for the reduced rate of tax on net investment		A1 / A
	income. Enter 1% of Part I, line 27b (see page 22 of the instructions)	5	N/A 5,094.
6	Adjusted qualifying distributions. Subtract line 5 from line 4 Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating we	6	
	• NOTE: The amount on line o win be used in Fart V, column (b), in subsequent years when calculating W	. 10 0 10	i ala loulluduoli

qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see page 22 of the instructions)

_			(6)	(2)	/ ₄ /\
		(a)	(b) Years prior to 1996	(c) 1996	(d) 1997
1	Distributable amount for 1997 from Part XI,	Corpus	rears prior to 1990		18,953.
_	line 7				10,000.
2	Undistributed income, if any, as of the end of 1996:			NONE	
	Enter amount for 1996 only		NONE		
	Total for prior years: , 1995.		HOHL		
3	Excess distributions carryover, if any, to 1997: NONE NONE				
	NONE				
	NONE				
_	NONE				
	From 1995	* ***********************************			
	Total of lines 3a through e	NONE			
4 '	Qualifying distributions for 1997 from Part				
-	XII, line 4: ► 5,094.				
a	Applied to 1996, but not more than line 2a			NONE	
	Applied to undistributed income of prior years				
E.	(Election required - see page 23 of the instructions)		NONE		
	Treated as distributions out of corpus (Election				
	required - see page 23 of the instructions)	NONE			
•	Applied to 1997 distributable amount				5,094.
	Remaining amount distributed out of corpus				
5		NONE			NONE
	amount must be shown in column (a).)				
6	Enter the net total of each column as				
	indicated below:	None			
ě	Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
1	Prior years' undistributed income. Subtract		NONE		
	line 4b from line 2b Enter the amount of prior years' undistributed		NONE		
	income for which a notice of deficiency has been				
	issued, or on which the section 4942(a) tax has		NONE		
	been previously assessed		NONE		
•	d Subtract line 6c from line 6b. Taxable		NONE		
	amount - see page 23 of the instructions		HONE		
	from line 2a. Taxable amount - see page 23 of the instructions			NONE	
	manuctions				
	f Undistributed income for 1997 . Subtract lines				
	4d and 5 from line 1. This amount must be distributed in 1998				13,859.
7					
	satisfy requirements imposed by section 170(b)(1)(E) or 4942(g)(3) (see page 23 of the instructions)	NONE			
8					
ø	on line 5 or line 7 (see page 23 of the instructions)	NONE			
9	Excess distributions carryover to 1998.				
	Subtract ines 7 and 8 from line 6a	NONE			
10	Analysis of line 9:				
	a Excess from 1993 NON				
	b Excess from 1994 NON				
	c Excess from 1995 NON				
	d Excess from 1996 NON				
_	e Excess from 1997 NON	<u> </u>			

	90-PF (1997)			1.5 43.01 A	43-068	94564 Page FAPPLICABLE
Part				uctions and Part VII-A, qu	estion 9) NO	I APPLICABLE
	the foundation has receive				•	
	oundation, and the ruling					4942(j)(5)
ь	heck box to indicate whe		private operating four	dation described in section	4942(j)(3) or	1 4342())(0)
2 a E	nter the lesser of the	Tax year		Prior 3 years		-
	djusted net income from	(a) 1997	(b) 1996	(c) 1995	(d) 1994	(e) Total
	art I or the minimum	į				
×	for each year listed		·	+		
b i	85% of line 2a					
c (tualifying distributions from Part					
	III, line 4 for each year listed		<u> </u>			
- u	mounts included in line 2c not sed directly for active conduct fexempt activities					
e (Qualifying distributions made					
	irectly for active conduct of xempt activities. Subtract					
	ne 2d from line 2c · · · ·					
	complete 3a, b, or c for the					
a	Iternative test relied upon:					!
a ·	Assets* alternative test - enter:					
	1) Value of all assets					
	2) Value of assets qualifying					
	under section 4942(j)(3)(B)(i)					
	Endowment alternative test					
	inter 2/3 of minimum nvestment return shown in					
	Part X, line 6 for each year					
	Support" alternative test - enter:					
(1) Total support other than					
	gross investment income (interest, dividends, rents,			ļ		
	payments on securities					
	ioans (section 512(a)(5)). or royalties)					
ł	(2) Support from general					
	public and 5 or more exempt organizations					
	as provided in section					
	4942(j)(3)(B)(iii) * * * * * * * (3) Largest amount of support	,				
	from an exempt					
	(4) Gross investment income					
Part	YV Supplementar	y Information (Compl	ete this part only if	the organization had \$5	,000 or more in	
	assets at any	time during the year -	- see page 24 of th	e instructions.)		
1	Information Regarding	Foundation Manage	rs:	. E al a - a - l - a - a - ila - ai - a - a - a	animad by the foundati	inn
a	List any managers of the t	oundation who have con vivear (but only if they ha	tributed more than 2%	of the total contributions re nan \$5,000). (See section 50	07(d)(2).)	OH
	belove the close of arry ta.	a your (but only it alloy ha		NONE	, ,, ,,	
h	List any managers of the	foundation who own 10%	or more of the stock	of a corporation (or an equa	ly large portion of the	ownership
	of a partnership or other				· - ·	•
	or a partitionship or other t	intro of the land		NONE		
2	Information Regarding	Contribution Grant	Gift, Loan, Scholar	ship, etc., Programs:		
				charitable organizations and do	es not accept unsolicited	requests for funds.
				viduals or organizations under o		
				ations should be addressed:		
a	me name, address, and to	Cophono number of the p		N/A		
	The forms in subject applies	tions should be submitte	nd and information and	materials they should include	de:	
D	The form in which applica	ations should be submitte	d and implimation and	N/A	 -	
	Any submission deadline	s:			****	
				N/A		
	Any restrictions or limitat	ions on awards, such as i	by geographical areas,	charitable fields, kinds of in:	stitutions, or other	
	factors:			N/A		
			<u>.</u>			

Part XV Supplementary Information	on (continued)			
3 Grants and Contributions Paid Du	iring the Year or <i>i</i>	Approved	for Future Payment	
Recipient	If recipient is an individual, show any relationship to	Foundation	Purpose of grant or	
Name and address (home or business)	any foundation manager	status of recipient	contribution	Amount
Name and address (home or business)	or substantial contributor	recipient		
a Paid during the year				
NONE				
		1		
			1	
Total		<u> </u>	▶3a	
b Approved for future payment				<u> </u>
NONE				
			!	
Total			▶ 3b	

Part XVI-A	Analysis of	Income-Producing	Activities
rai Lavia	~!!!!! ! ! ! ! ! !		

nter gross amounts unless otherwise indicated.		Unrelate	d business income	Excluded by section	(e) Related or exempt	
		(a) Business	(b)	(c)	(d)	function income (See page 24 of
1	Program service revenue:	code	Amount	Exclusion code	Amount	the instructions.)
	a				,,,,	
	b	ļ				
	c					
	d					
	e					
	f					
	g Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	22,108.	
5	Net rental income or (loss) from real estate:					<u> </u>
	a Debt-financed property					
	b Not debt-financed property					,
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventor	/				
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue: a					
	b					
	c					
	d					
	6					
12	Subtotal. Add columns (b), (d), and (e)			1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	22,108.	
13	Total. Add line 12, columns (b), (d), and (e)				▶13	22,108
-	se worksheet in line 13 instructions on page 25					

Relationship of Activities to the Accomplishment of Exempt Purposes Part XVI-B

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the organization's exempt purposes (other than by providing funds for such purposes). (See page 25 of the instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable **Exempt Organizations**

b	501(c) Transfe (1) Ca (2) Other (1) Sa (2) Pu (3) Re (4) Re (5) Lo (6) Pe Sharing If the a value of	of the Code (other than ers from the reporting organisms of the assets of a noncharchases of assets from a ental of facilities or equiprimbursement arrangements or loan guarantees of of facilities, equipment, answer to any of the about the goods, other assets	section 501(c)(3 ganization to a n pritable exempt of monocharitable exempt ment mts membership or mailing lists, or ye is "Yes," comps, or services give	oncharitable exempt organizations or in section on the section of	on 527, redization of:	dating to p		c X
	receive	i			. 1			
(a) L	ine no.	(b) Amount involved	(c) Name of	noncharitable exempt organiza	ition	(d) Desc	ription of transfers, transactions, a	nd sharing arrangements
			<u> </u>	<u> </u>				
					+			
								
								_
					· · · · · · · · · · · · · · · · · · ·			
			-					
								×
	descrit	bed in section 501(c) of t ," complete the following	the Code (other schedule.	d with, or related to, one or than section 501(c)(3)) or i			organizations	Yes X No
		(a) Name of organization	1	(b) Type of organ	ization		(c) Description of r	elationship
								
			,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		•			
Par	t XVII	II Public Inspec	tion					
1	Enter 1	the date the notice of ave	ailability of the a	nnual return appeared in a	newspane	er 🕨	07/17/98	
2			•	LOUIS DAILY F				
3		-					red by the instructions on page	
-								
Under penalties of perjury. I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge. Signature of officer or trustee Date Title Preparer's social sect								
<u></u>	, 31	gnature of officer or trustee	·	<u> </u>	Data	Date	Title Prop	parer's equip equipment
	ر د ره	Preparer's			Date		Check if self-	arer's social security no.
Please	aid arer's Only	signature Firm's name (or					- emoloved	
<u></u>	Paid Preparer Use Only	yours if self-employed) and address						di di
			A STATE OF THE PARTY AND ADDRESS OF THE PARTY	A design in the same of the sa				

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FORM 990PF,	PART I -	OTHER	PROFESSIONAL	FEES
	 ===	-= == =	=========	==== =

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
PRINCIPAL FINACIAL GROUP-PENSION ADMINISTRATION	594.	594.
TOTALS	594. 	594. =========

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
INSURANCE		4,470.	4,470.
MISCELLANEOUS		30.	30.
	TOTALS	4,500.	4,500. =========

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ST.	LOUIS	MERCANTILE	LIBRARY	ASSOCIATION

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	ENDING BOOK VALUE	END I NG FMV
UNIV. OF MO BALANCED POOL	376,674.	396,073.
TOTALS	376,674.	396,073.

TITLE AND
TIME DEVOTED
TO POSITION

NAME AND ADDRESS

RUTH A. BRYANT

625 S. SKINKER, #202 ST. LOUIS, MO 63105

PETER F. MACKIE

COMMERCE BANK OF ST. LOUIS 8000 FORSYTH BOULEVARD ST LOUIS, MO 63015

KAY MICHAEL KRAMER 17 ORCHARD LANE

ST LOUIS, MO 63122

JOHN W. BARRIGER, IV
TRANSPORTION CONSULTING

332 S. MICHIGAN AVENUE, #700

CHICAGO, IL 60604

PETER FANCHI JR 7679 CARSWOLD DR

ST LOUIS, MO 63105

PETER A GLEICH

SIGMA-ALDRICH 3050 SPRUCE STREET

ST LOUIS, MO 63103

MARSHALL HIER

BERTRAM, PEPER, HIER
720 OLIVE STREET, SUITE 617

ST. LOUIS, MO 63101

PRESIDENT

DIRECTOR

DIRECTOR

DIRECTOR

DIRECTOR

DIRECTOR

VICE PRES

TITLE AND
TIME DEVOTED
TO POSITION

NAME AND ADDRESS

CECILE K LOWENHAUPT 4950 LINDELL BLVD, APT 5W ST LOUIS, MO 63108 DIRECTOR

DIRECTOR

EUGENE MACKEY
MACKEY MITHCELL ASSOCIATES
800 S. UNION STATION #200
ST LOUIS, MO 63103

ELLEN E. JONES 750 S. HANLEY

ST. LOUIS, MO 63105

DIRECTOR

ERIC P. NEWMAN
ERIC P. NERMAN NUMISMATIC EDUC SOC
6450 CECIL
ST. LOUIS, MO 63105

DIRECTOR

ANNE S. MCALP!N #2 UPPER LADUE ROAD ST. LOUIS, MO 63124 VICE PRES

NICHOLAS V.V. FRANCHOT III CHRISTY REFRACTORIES 4641 MCREE ST. LOUIS, MO 63110 DIRECTOR

JOHN NEAL HOOVER ST. LOUIS MERCANTILE LIBRARY 8001 NATURAL BRIDGE ROAD ST. LOUIS, MO 63121 EX OFFICIO

TITLE AND
TIME DEVOTED
TO POSITION

TREASURER

VIRGINIA S. DILL

NAME AND ADDRESS

216 CARLYSLE LAKE DRIVE

ST. LOUIS, MO 63141

SECRETARY

JAMES H. GROVE 20 SOUTH CENTRAL, SUITE 200

ST. LOUIS, MO 63105

WALTER F. BALLINGER, M.D. DIRECTOR

800 BARNES ROAD LADUE, MO 63124

MARIE C. BRAUER DIRECTOR

ROYAL ALLIANCE ASSOCIATES, INC 9247 CLAYTON ROAD

ST. LOUIS, MO 63124

HUGH MCPHEETERS DIRECTOR

319 NORTH FOURTH ST. LOUIS, MO 63102

MARY M. OTT DIRECTOR

22 ALGONQUIN LANE ST. LOUIS, MO 63119

KATHARINE AMBERG SMITH DIRECTOR

39 RIO VISTA DRIVE ST. LOUIS, MO 63124

NINA SMILEY WILKINS DIRECTOR

12364 TOPSFIELD COURT

20

TITLE AND
TIME DEVOTED
TO POSITION

NAME AND ADDRESS

ST. LOUIS, MO 63141

BLANCHE M. TOUHILL CHANCELLOR, UNIVERSITY OF MISSOUR! 401 WOODS HALL 8001 NATURAL BRIDGE ROAD ST. LOUIS, MO 63121 EX OFFICIO

GRAND TOTALS

21

AFFIDAVIT OF PUBLICATION

STATE OF MISSOURI CITY OF ST. LOUIS.

Before the undersigned, a Notary Public in and for the City of St. Louis Missouri, personally appeared Sara Sue Tedesco one of the publishers of the ST. LOUIS DAILY RECORD, a daily newspaper published in the City of St. Louis, Missouri; who, being duly twenter code the Rentin of the St. Louis swom on her oath, say that the ST. LOUIS DAILY RECORD has complied with all of the Mercantile Library Association for the provisions of the laws of this state regulating newspapers and the publication of legal year ended June 30, 1998 is available at notices, and is qualified to publish the annexed notice or advertisement; and that it was published in the ST. LOUIS DAILY RECORD for one issue the first publication being on ling regular business hours by any citithe 17th day of July, 1998, and the last publication being on the 17th day of July 1998 to zen who request it within 180 days are wit::

DO HOCH FOR TRAIL PRINTENSES. 3001 St. Louis Mercantile 12 Library_Association 80012 Natural Bridge Rd: St. Wouls: Missouri 6 312

to Section 6104(d) of the Internal Re-510 Locust Street, for inspection durto-witt or still are stated by C

RUTH BRYANT, President Board of Direction St. Louis, Mo., July 17, 1998.

17th 1st time July_____

Subscribed and sworn to before me this

(SEAL)

NOTARY SEAL MARY L. PATTERSON Notary Public - STATE OF MISSOURI ST. LOUIS COUNTY My Commission Expires: May 28, 2000

totary Public

By-Law Ammendments

Change #1 -

BE IT RESOLVED that Article V(a)(5) of the by-laws of the Association, which presently reads the powers and duties of the board shall include "the engagement of a qualified firm of certified public accountants to perform auditing services for the Association."

Should be changed in its entirety to read:

"The engagement of a qualified firm of certified public accountants to perform auditing services for the Association, or, in the Board's discretion, the engagement of the auditing staff of the University of Missouri to perform such auditing services."

Change #2 -

BE IT RESOLVED that Article X of the Association bylaws which presently reads "The fiscal year of the Association shall begin January I and end on December 31."

Shall be changed in its entirety to read:

"The fiscal year of the Association shall begin July 1 and end on June 30."

Approved by Mercantile Library Board of Direction May 11, 1998