

University of Missouri - St. Louis
Course Syllabus
FIN 3520 - 001 / FIN 6520 G01 (in-person)
Investments / Security Analysis
Spring 2022 (Jan 18 - Mar 12)
MoWe 6:00 - 8:30 pm, ABH 004

Professor Yiuman Tse

Office: AB Hall 229

Phone: 314 516 6828

Email: tseyi@umsl.edu

Office Hours: MoWe 5:30 - 6:00 pm and by appointment

Zoom link: <https://umssystem.zoom.us/j/3145166828>

Text: Lecture notes and supplementary videos posted on Canvas
Reference: *Essentials of Investment* 11th/12th Ed, Bodie, Kane, and Marcus, McGraw-Hill/Irwin;
Investments, 11th/12th Ed, Bodie, Kane, and Marcus, McGraw-Hill/Irwin.

Prerequisite: Finance 6500 or 3500

Syllabus: A course in the areas of investments and portfolio management. Topics include Equilibrium in Capital Markets, Market Efficiency, Utility Maximization, Fixed-Income Securities, Securities Analysis, Diversification, Options and Futures, International Financial Markets.

Prerequisites: A minimum campus GPA of 2.0 and Finance 3500.

Class Attributes: Junior & Senior Level, College of Business

Credits: 3

Grade:	Exam I (Feb 7 Mon, 6:00 pm)	25%
	Exam II (Feb 28 Mon, 6:30 pm)	25%
	Exam III (Mar 7, 6:00 pm)	30%
	Final Assignment (Mar 9 Wed, 6:00 pm)	10%
	Attendance, Class Participation, Quiz	10% + bonus points

No make-up exams and quizzes will be given and late assignments are not accepted. All exams and quizzes are closed-book and conducted in class.

Students at the University of Missouri-St. Louis are expected to exhibit the highest standards of academic integrity. Any form of cheating and plagiarism in the exams, quizzes, and final assignment will earn you a failing grade for the entire course.

Attendance Policy: Students are expected to attend 100% of all classes and bonus points may be given for perfect attendance. Please turn off your cell phone or place it on silent mode. Students caught looking at their cell phones and computers (for no good reason) during class may be considered absent and be dismissed.

Time Requirement: This is an intensive 8-week in-class course that requires 5 to 6 hours to prepare for the exams, assignments, and quizzes per week. Compared with a regular 16-week course, this 8-week course is much faster paced. Time management plays an important role in the success in this course.

Technology Requirement:

At a minimum, you need a computer with an updated operation system (e.g., Windows and Mac), updated internet browsers, ability to navigate Canvas, and a webcam and microphone. The exam policies may be revised.

It is your responsibility to have reliable internet access throughout the course, including taking the exams and quizzes on specific schedules. If you have any technological problems, please contact technology support center, <http://www.umsl.edu/technology/tsc/> Office number: 314-516-6034. See other student resources on next page.

Class Schedule (Subject to Change):

<u>Week</u>	<u>Module</u>	<u>Topics</u>
Jan 18 - Jan 23	1	Introduction Asset Allocation Stock Index and Stock Split Securities Markets
Jan 24 - Jan 30	2	Basic Investment Knowledge Efficient Diversification Risk and Return
Jan 31 - Feb 6	3	Capital Asset Pricing Model Equity Valuation
Feb 7 - Feb 13	4	Exam I (Monday, Feb 7) Efficient Market Hypothesis
Feb 14 - Feb 20	5	Bond Prices, Yields, and Yield Curve Managing Bond Portfolios Credit Default Swaps

Feb 21 - Feb 27	6	Financial Statement Analysis* An Introduction to Futures and Options Options Diagrams and Protective Puts Option Valuation*
Feb 28 - Mar 6	7	Exam II (Monday, Feb 28) Options Strategies Forward Rates and Spot Rates
Mar 7 - Mar 9	8	Exam III (Mar 7) Final Assignment (Mar 9 Wed)

*topics may not be covered.

Student Resources

Health, Counseling and Disability Access Services

“Health, Counseling & Disability Access Services is your one-stop shop for many of your medical and mental health needs. We're conveniently located on the lower level of the Millennium Student Center on UMSL's North Campus. We also offer accommodations to help bolster the learning experience for students with diagnosed disabilities.”
<http://www.umsl.edu/hcdas/> Office number: 314-516-5671

Office of International Students and Scholar Services

“The International Student & Scholar Services (ISSS) unit coordinates services for undergraduate and graduate international students & scholars, including visa and immigration matters, information requests, credential evaluations, non-academic advising, initial campus arrival, orientation, and referrals to other campus academic and non-academic departments.” <https://www.umsl.edu/global/issss/index.html> Office number: 314-516-5229

Student Enrichment and Achievement

“The Office of Student Enrichment & Achievement provides comprehensive academic support and intervention strategies to students within targeted populations on their road to graduation!” <https://www.umsl.edu/services/sea/> Office number: 314-516-5300

Office of Multicultural Student Services

“MSS strives to create a strong inclusive community amongst students, faculty, and staff that personifies respect, accountability and acceptance, while supporting and empowering students to achieve degree completion. Strong partnerships and collaborations across the campus and the St. Louis community are established to promote student learning and development, engagement, persistence and success to aid in closing the achievement gap.”
<https://www.umsl.edu/~mcraa/> Office number: 314-516-6807