

A high-resolution infrared spectral survey of Comet C/1999 H1 Lee

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Abstract

We obtained high-resolution ($\lambda/\Delta\lambda \sim 25,000$) spectra of Comet C/1999 H1 (Lee) on UT 1999 August 19.6 and 21.6 using the cross-dispersed Near InfraRed SPECTrometer (NIRSPEC) at the Keck Observatory atop Mauna Kea, HI. Here we present spectra of Comet Lee between 2.874 and 3.701 μm ($3479\text{--}2702\text{ cm}^{-1}$) representing the most complete high-resolution infrared survey of a comet to date in this wavelength region. Using published line lists and laboratory spectra we have identified 444 of the 545 distinct emission features present in these spectra. We have tabulated the rest frequencies, assignments, relative intensities, and signal-to-noise ratios of all detected emissions. In addition to gaining insights into the chemistry of Comet Lee, this survey provides a valuable tool for planning future high-resolution infrared observations of comets and other astronomical targets, and for retrospective comparison to existing high-resolution infrared datasets.

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1. Introduction

Comets are volatile-rich, relatively unaltered remnants from the birth of the Solar System, so knowledge of their composition and structure gives information on the formation and evolution of volatile material within our Planetary System. The volatile compositions of comet nuclei have generally been inferred from the spectral signatures of gases forming their comae. While molecular dissociation fragments have been studied for nearly one hundred years (cf. Swings et al., 1941), the determination of their native precursors is often difficult. For example, despite the presence of strong CN and C₂ emissions in cometary spectra, the identity and source (from ice

or dust) of their native precursors is still uncertain and in fact may differ among comets (cf. Manfroid et al., 2005; Combi and Fink, 1997).

The ability to study molecules directly released from the comet nucleus (parent or native volatiles) was first demonstrated with the detection of CO in Comets West 1976 VI and Bradfield 1979 X (Feldman, 1983), although CO can also be produced in significant amounts as a dissociation fragment. Later, parent volatiles HCN (Despois et al., 1986; Schloerb et al., 1986) and H₂O (Mumma et al., 1986) were detected in Comet Halley. Close spacecraft encounters with Comet Halley also provided insights into the volatile content of the coma and the nucleus. Abundances of several parent volatiles were determined from data obtained from the neutral gas mass spectrometer (NMS) aboard Giotto (Eberhardt et al., 1987a; Eberhardt, 1999). A low spectral resolution infrared spectrom-

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eter on the Vega 1 spacecraft (IKS), achieved detections of H₂O, CO₂, H₂CO, and possibly CO (Combes et al., 1986, 1988; Mumma and Reuter, 1989).

IKS also detected a broad unknown spectral signature in the 3.2–3.6 μm region attributed primarily to C–H stretching vibrations in one or more organic compounds (Combes et al., 1988). Greenberg and Hage (1990) suggested that fluffy organic (CHON) grains could be the source for this X–CH emission, however, subsequent studies of additional comets suggested that this feature was primarily the result of infrared fluorescence from volatile species, with CH₃OH being a major contributor (Hoban et al., 1991; Reuter, 1992; Davies et al., 1993; DiSanti et al., 1995; Bockelée-Morvan et al., 1995).

Despite a demonstrated contribution from CH₃OH, evidence suggested that other gas phase species (likely organic) contributed significantly to the X–CH emission. Isolating and quantifying the individual molecules contributing to the X–CH feature was impossible at the low spectral resolving power ($R \equiv \lambda/\Delta\lambda \sim 10^3$ or less) delivered by the spectrometers available at that time.

Since the apparition of Halley, improvements in instrumental capabilities have permitted the routine detection of an increasing number of parent volatiles especially at infrared and radio wavelengths (cf. Biver et al., 2002; Mumma et al., 2003; Bockelée-Morvan et al., 2004). Recently, more than two-dozen parent volatiles were detected in the exceptionally bright Comet C/1995 O1 Hale–Bopp (cf. Crovisier, 1998). At infrared wavelengths, ground-based studies can now be done with greater sensitivity and higher spectral resolving power. High-resolution ($\lambda/\Delta\lambda > 10^4$) infrared spectroscopy has enabled individual ro-vibrational lines from cometary molecules to be resolved and distinguished from telluric absorptions, other molecular emissions, and the continuum. This permits the diagnostic detection of multiple unblended molecular emissions, making it possible to identify species that cannot be resolved or detected with low-resolution spectral observations. The first high-resolution ground-based spectrometer to operate between 2 and 5 μm was CSHELL (Tokunaga et al., 1990; Greene et al., 1993), at the NASA Infrared Telescope Facility (IRTF).

Comets C/1996 B2 Hyakutake, and C/1995 O1 Hale–Bopp were the first bright comets observed with CSHELL. Detections of methane (CH₄), ethane (C₂H₆), and acetylene (C₂H₂) established the importance of these symmetric hydrocarbons in comets and the need for high-resolution infrared spectroscopy to detect them (Mumma et al., 1996; Brooke et al., 1996, 2003; Magee-Sauer et al., 1999, 2002a; Dello Russo et al., 2001, 2002a; Gibb et al., 2003). The strength of ro-vibrational emissions in these comets demonstrated that CH₄ and C₂H₆ contribute significantly to the X–CH feature in at least some comets; so determining their abundances is essential for interpreting low-resolution infrared spectral data near 3.3 μm . CSHELL has been a workhorse in the study of comets, enabling the acquisition of valuable data for more than ten years. However, each grating setting only encompasses about 2.3×10^{-3} times its central frequency (in cm^{-1}), or $\sim 7 \text{ cm}^{-1}$ in the L-band region (near 3.3 μm). This makes CSHELL a powerful instrument for studies focused on a limited number of important re-

gions in the infrared spectrum, but inefficient for more complete spectral surveys.

A major advance in ground-based infrared spectroscopy was made with the commissioning of the near-infrared spectrometer NIRSPEC at the Keck II 10-m telescope in 1999 (McLean et al., 1998). By cross-dispersing the echelle grating and using a larger-format detector array, NIRSPEC combined the high spectral resolution of CSHELL with the greater spectral coverage of low-resolution spectrometers. NIRSPEC is equipped with a 1024×1024 InSb detector array, allowing greater spectral coverage per order (by about a factor of four) compared to CSHELL. NIRSPEC enjoys a further advantage in spectral coverage by employing a low-dispersion grating perpendicular to its echelle grating, allowing multiple orders (six in the L-band) to be cross-dispersed along the array (Fig. 1). NIRSPEC thereby achieves ~ 25 times greater spectral coverage per echelle/cross-disperser setting in the L-band compared with a CSHELL grating setting. In addition, spectra obtained with NIRSPEC at Keck II are a factor of 3–5 more sensitive than those obtained with CSHELL at the IRTF. This increased spectral coverage and sensitivity permits studies of fainter comets, deeper searches for trace volatiles, and more complete spectral surveys that were previously impossible (or at least impractical) with CSHELL.

This paper reports results from a high-resolution ($\lambda/\Delta\lambda \sim 25,000$) spectral survey of Comet C/1999 H1 Lee between 2.874 and 3.701 μm ($3479\text{--}2702 \text{ cm}^{-1}$) obtained with NIRSPEC. This spectral region contains ro-vibrational transitions for many molecules that are critical for interpreting the overall volatile composition of comets. Results from a subset of the Comet Lee data have been published elsewhere (Mumma et al., 2001a; Gibb et al., 2003; Bonev et al., 2004; Dello Russo et al., 2005; DiSanti et al., in preparation). This study will focus on identifying emissions observed in this spectral region, including H₂O, C₂H₂, C₂H₆, CH₄, HCN, NH₃, CH₃OH, H₂CO, OH, and NH₂. In this work we identify 444 of the 545 distinct emission features present in these spectra on two dates. We present extracted spectral residuals and tabulate the rest frequencies, assignments, relative intensities, and signal-to-noise ratios of all detected emissions. These data can help in the interpretation L-band spectra of other comets, and they constitute a valuable tool for future infrared studies of comets and other objects of astrophysical interest.

2. Observations and data reduction

We observed Comet Lee in August 1999 using NIRSPEC at the Keck II Telescope (Table 1). On UT August 19, we obtained three grating/cross-disperser settings (KL_A, KL_B, and KL_C) in order to obtain an overall survey of the L-band. On UT August 21, deeper searches for key organic species were done using two grating/cross-disperser settings (KL_D and KL_E). The wavelength range covered by these settings in orders 21–26 is given in Table 2 and Fig. 2. The slit was oriented east–west on the sky for all of these observations.

At each grating/cross-disperser setting, comet spectra were acquired using sequences of four scans with an integration time

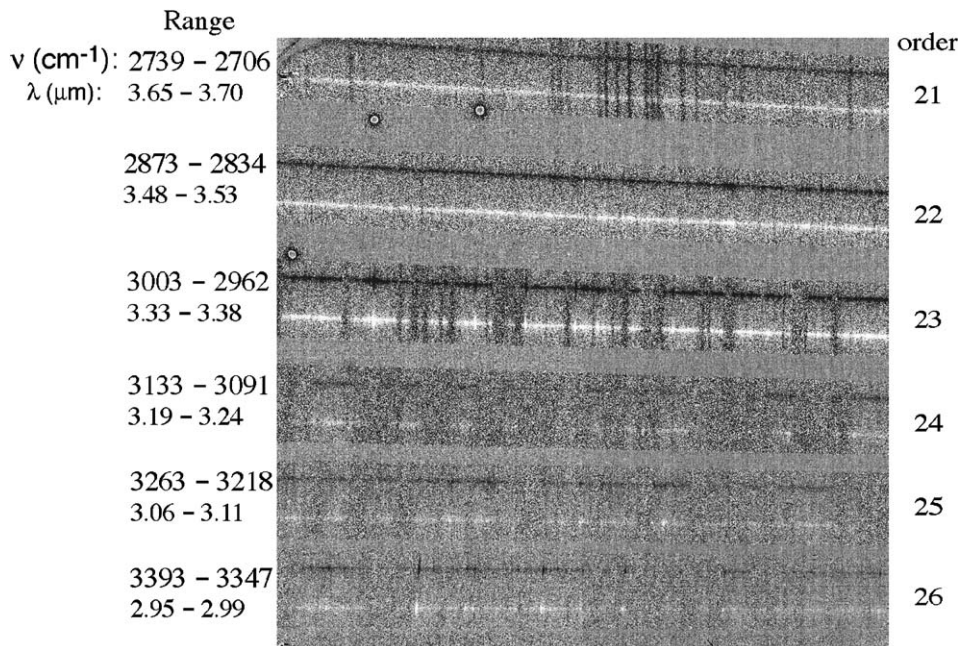


Fig. 1. A spectral difference (A–B) of Comet C/1999 H1 Lee obtained with NIRSPEC representing a single echelle/cross-disperser setting ($\lambda/\Delta\lambda \sim 25,000$). The telescope was nodded $12''$ along the $24''$ long slit, keeping the comet in the slit for both the A and B exposures. Each echelle/cross-disperser setting encompasses multiple orders (6 in this case) allowing an almost complete survey of the entire C–H stretch region with only three settings. In this study we are presenting spectra for 5 such settings between orders 21–26. Comet continuum and molecular line emissions are apparent in this raw spectral difference.

Table 1
Observing log for Comet C/1999 H1 Lee

UT date 1999 ^a	R (AU) ^a	Δ (AU) ^a	Δ_{dot} (km s ⁻¹) ^a	$\lambda/\Delta\lambda^{\text{ab}}$	Setting ^c	On-source time (min)
Aug. 19.6	1.049	1.381	-28.35	25,000	KL_A	8
					KL_B	8
					KL_C	10
Aug. 21.6	1.076	1.348	-29.03	25,000	KL_D	24
					KL_E	24

^a R is the heliocentric distance, Δ is the geocentric distance, Δ_{dot} is the geocentric velocity, and $\lambda/\Delta\lambda$ is the spectral resolving power.

^b High-dispersion spectra were acquired with a 3-pixel wide slit ($0.43'' \times 24''$ on the sky).

^c The spectral range of each setting is given in Table 2.

of 1 min on-source per scan (4 min for the total sequence). For all comet observations we used a slit length of $24''$ (~ 125 pixels) and a slit width of $0.43''$ (3 pixels) on the sky. The telescope was nodded $12''$ along slit such that the comet remained in the slit for both A- and B-beam integrations (Fig. 1). The observations were acquired in the sequence ABAB on UT Aug. 19, and in the sequence ABBA on UT Aug. 21.

Data processing included removal of cosmic ray hits and high dark current pixels. Taking a difference of A and B frames removes background sky and telescope emissions, revealing the comet emissions as tilted horizontal signatures at the A- (white) and B- (black) beam positions (Fig. 1). The tilted dark vertical features extending across an order are incompletely canceled sky emission lines (e.g., see order 21 in Fig. 1). Each order within a grating/cross-disperser setting was “clipped-out” and analyzed independently. We resample each order such that the spectral dimension falls along rows and the spatial dimen-

sion falls along columns (see, e.g., Fig. 2B in Mumma et al., 2001a).

We achieve spectral registration along slit and establish accurate wavelength calibration by use of the Spectrum Synthesis Program (SSP; Kunde and Maguire, 1974), which accesses the HITRAN-1992 molecular database (Rothman et al., 1992). We generate a fully-resolved synthetic spectrum of radiant sky emission, and a transmittance spectrum of the terrestrial atmosphere based on an initial estimate of the column burdens of absorbing molecules. The positions of sky lines in a radiance spectrum are matched to corresponding sky line emissions in an A (or B) frame at various positions along the slit, thereby converting the spectral dimension from pixels to wavenumbers and providing row-by-row spectral registration along the entire length of the slit. The calibration solution is applied to an extracted one-dimensional comet spectrum, which contains comet continuum and line emissions. The atmospheric transmittance model is optimized by matching the column burdens for atmospheric species to those in the observed comet spectrum. The optimized synthetic transmittance model is then binned to the instrumental sampling interval, convolved to the spectral resolution of the comet data, and scaled to the comet continuum. The synthetic transmittance model is scaled to continuum points in the comet spectrum that are chosen in regions of high atmospheric transmittance and also free of any apparent cometary molecular emission (see, e.g., Figs. 2c and 3 in Mumma et al., 2001a; see also Appendix 2 in Bonev, 2005, for a more detailed guide to NIRSPEC comet data reduction).

Volatile emission features were isolated from the continuum by subtracting the scaled atmospheric model from the comet spectrum, yielding the net observed molecular emissions (residuals)—these are still convolved with the atmospheric

Table 2
Spectral grasp of the NIRSPEC settings

Setting	Spectral grasp (cm^{-1}) ^a					
	Order 26	Order 25	Order 24	Order 23	Order 22	Order 21
KL_A	3387–3347	3257–3216	3128–3085	2998–2957	2868–2830	2731–2702
KL_B	3478–3430	3347–3299	3216–3168	3084–3036	2951–2905	2813–2774
KL_C	3433–3384	3302–3255	3171–3125	3040–2995	2908–2866	2772–2736
KL_D	3393–3347	3263–3218	3133–3091	3003–2962	2873–2834	2739–2706
KL_E	3466–3413	3333–3283	3201–3152	3068–3022	2935–2891	2798–2760

^a The spectral grasp is given to the nearest wavenumber.

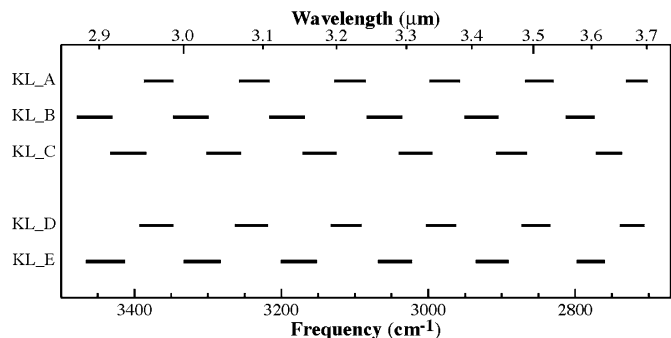


Fig. 2. A graphic representation of the spectral grasp (dark bars) achieved for each grating setting used in this survey (see also Table 2).

transmittance function. The true line flux incident at the top of the terrestrial atmosphere can be determined from the observed flux by correcting for the monochromatic transmittance at the Doppler-shifted line position (obtained from the fully resolved SSP model). Residual spectra of Comet Lee from the five grating settings on two dates comprising this survey, and the normalized atmospheric models (showing atmospheric transmittance as a function of wavelength) are displayed in Fig. 3 (Fig. 3 is shown in its entirety in electronic Supplemental materials).

The residual spectra displayed in Fig. 3 are 9-row ($1.74''$) extracts centered on the peak comet continuum intensity; at the comet, these spectra represent $\sim 410 \times 1700$ km extracts on these dates. This aperture size was chosen to maximize the signal-to-noise ratio of most emission features. Because we are sampling (predominantly) the inner coma, we are most sensitive to the detection of parent species. All distinct emission features (between 3479.0 and 2702.0 cm^{-1}) detected in Comet Lee on two dates are identified by molecule and labeled in Fig. 3 (some are single lines and some are blends of more than one line). These spectra are shifted in wavelength from their actual Doppler-shifted position to the comet rest frame position to facilitate direct comparison with line positions from molecular line atlases and/or laboratory spectra.

Detailed line positions, relative line intensities, signal-to-noise ratios (SNRs), widths, and assignments (where possible) are given in Tables 3 and 4 for UT 1999 Aug. 19.6 and 21.6, respectively (these tables are shown in their entirety in electronic Supplemental materials). SNRs in Tables 3 and 4 are a measure of the emission strengths including effects of atmospheric extinction (dependent on the geocentric Doppler-shift and column burden of absorbing atmospheric species) and wavelength dependent noise variations. The relative line intensities in Tables 3

and 4 (given in arbitrary units) are proportional to line fluxes incident at the top of the terrestrial atmosphere and are a measure of intrinsic line strengths. We emphasize that the conversion of line fluxes within nucleus-centered extracts to absolute production rates and relative abundances is not straightforward—a Q-curve analysis is required (cf. Dello Russo et al., 1998, 2005). Moreover, strong terrestrial absorption features may obscure many additional lines.

Two criteria are used to identify “real” emission features in our spectra. First, a real emission must have a $\text{SNR} \geq 5.0$ when summed over at least 5-pixels in the spectral dimension (i.e., over 5 columns or ~ 0.18 – 0.26 cm^{-1}). The estimated background-limited noise ($\pm 1\sigma$; this is also referred to as photon noise, or stochastic noise) is shown channel-by-channel in Fig. 3. The noise shown in Fig. 3 does not include errors introduced by misfits between the comet spectra and the (scaled) atmospheric model. These errors are difficult to quantify, but they are generally small compared to the background noise except in the cores (and to a lesser extent the wings) of strong atmospheric lines (these are generally regions of low atmospheric transmittance). For this reason, our second “reality” criterion is that the atmospheric transmittance be $\geq 25\%$ (at line center). Based on these criteria we have 545 real emission features in our survey of Comet Lee, many of which were detected on both dates (Tables 3 and 4; note that emissions detected on both dates are counted twice in the total). Molecular progenitors have been identified for 444 of these emissions.

3. Molecular identifications

Molecules within the survey were generally identified and assigned (vibrationally and rotationally) by comparison to published laboratory molecular line lists. In the case of methanol (CH_3OH), where the spectrum is complex and many relevant lines are unassigned, we compared the Lee data directly to laboratory spectra to obtain molecular identifications and line positions. Emissions due to known unresolved components (possibly from the same species) are considered “blended” (the C_2H_6 ν_7 Q-branches are an exception to this; they are a pileup of lines but for simplicity are considered single component in this survey). Blended emissions with known contributions from more than one species are considered multi-species emissions, while any emission (blended or not) that is due to a single molecule is considered a single-species emission (Tables 5, 6). A breakdown of the number and strength of emissions seen in the

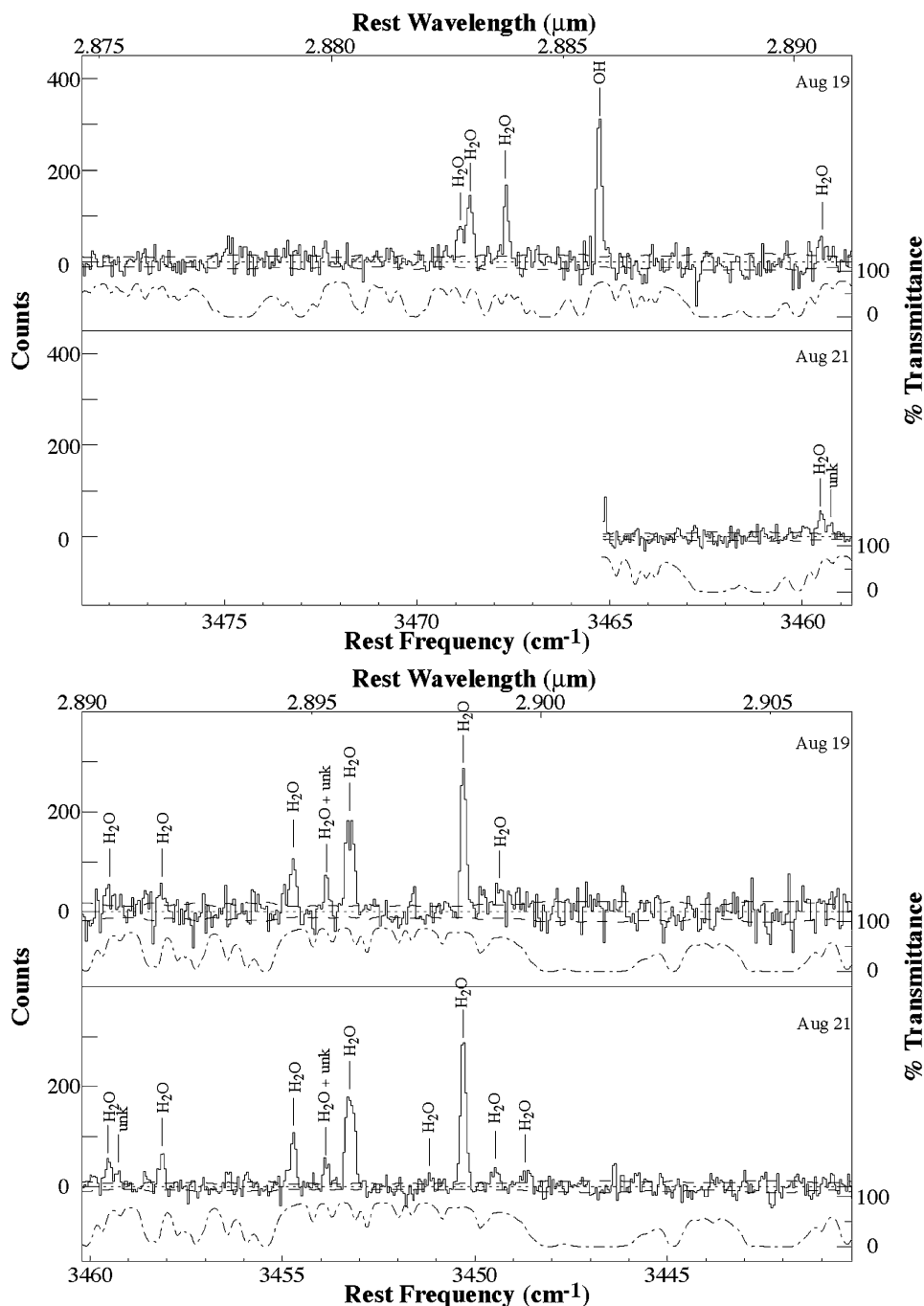


Fig. 3. Example spectra from a high-resolution infrared spectral survey of Comet C/1999 H1 Lee between 2.874 and 3.701 μm on two dates. Continuum-subtracted spectral residuals (solid traces) remain convolved with the atmospheric transmittance. Emission features are noted and molecular assignments are given (unk = unidentified feature). The dashed curves superimposed on the comet residuals are the estimated $\pm 1\sigma$ channel-by-channel “photon” noise; the curves of alternating dashes and dots represent the percent transmittance through the terrestrial atmosphere (right abscissa) as a function of wavelength. The signal intensity for the spectral residuals is given on the left abscissa in counts (arbitrary units). Comet spectra are shifted in wavelength from their actual position (Doppler-shifted) to the comet rest frame position (in cm^{-1} and μm) to facilitate direct comparison to line positions from molecular line atlases and laboratory spectra. The amount that the spectra were shifted (in cm^{-1}) is $(\nu/c)(\Delta_{\text{dot}})$, where ν is the frequency (cm^{-1}), c is the speed of light (km/s), and Δ_{dot} is the geocentric Doppler-shift (km/s). The wavelength scale for the synthetic transmittance spectrum is shifted by the same amount. This figure appears in its entirety in Icarus electronic Supplemental material.

Comet Lee survey spectra by molecule is given in Tables 5 and 6.

Several regions have a high density of spectral lines leading to a significant number of multi-species emissions (160 out of 545) within the survey. In general, obtaining quanti-

tative information from multi-species emissions is difficult. However, many multi-species emissions consist of a single dominant component (e.g., some C_2H_6 Q-branches and HCN ν_3 lines); possible minor components are noted for completeness. Multi-species emissions with a dominant component can

Table 3
Examples of detected emissions in Comet C/1999 H1 (Lee) on UT Aug. 19.6, 1999

Rest position ^a		Line int. ^b	SNR ^c	Line width ^d	Molecular assignment ^e			(cm ⁻¹) ^h
(cm ⁻¹)	(μm)				Molecule	Vib. ^f	Rot. ^g	
3468.88	2.88277	9.0 (m)	11.7	5	H ₂ O	101–100 111–110	5 ₁₅ –6 ₁₆ 3 ₁₂ –4 ₁₃	3468.87 3468.75
3468.62	2.88299	12.4 (s)	19.7	5	H ₂ O	200–001 101–100 101–001	1 ₀₁ –0 ₀₀ 5 ₀₅ –6 ₀₆ 2 ₀₂ –2 ₁₁	3468.65 3468.63 3468.53
3467.70	2.88376	17.0 (s)	14.4	8	H ₂ O	101–100	4 ₁₃ –5 ₁₄	3467.68
3465.25	2.88579	21.5 (vs)	35.4	7	OH	1 → 0	P2.5 2 ⁺ P2.5 2 ⁻	3465.26 3465.26
3459.48 ⁱ	2.89061	4.7 (w)	6.7	6	H ₂ O	101–001 101–100	1 ₁₁ –2 ₀₂ 4 ₃₁ –5 ₃₂	3459.53 3459.49
3458.11 ⁱ	2.89175	5.7 (w)	5.0	6	H ₂ O	101–001	0 ₀₀ –1 ₁₁	3458.12
3454.72 ⁱ	2.89459	5.0 (s)	13.6	6	H ₂ O	101–001	2 ₁₁ –2 ₂₀	3454.69
3453.85 ⁱ	2.89532	2.7 (w)	7.9	5	H ₂ O	110–010	3 ₁₃ –4 ₂₂	3453.88
					Unknown			
3453.25 ⁱ	2.89582	11.5 (vs)	26.9	9 (br)	H ₂ O	200–100 101–100	1 ₁₀ –2 ₂₁ 2 ₀₂ –3 ₂₁	3453.30 3453.15
3450.29 ⁱ	2.89831	13.3 (vs)	33.9	6	H ₂ O	200–001	1 ₁₀ –1 ₁₁	3450.29
3449.36 ⁱ	2.89909	3.4 (w)	6.7	6	H ₂ O	101–100	5 ₂₄ –6 ₂₅	3449.38

Note. This table appears in its entirety in Icarus electronic Supplemental materials.

^a The observed rest frequency and wavelength for emissions in the Comet Lee residual extracts.

^b The observed line intensity incident at the top of the terrestrial atmosphere in arbitrary units (line intensities in flux units can be obtained by contacting NDR) and strength of the emission in the residuals still convolved with the atmospheric transmittance function (in parentheses) over the specified line width: w = 5–8σ; m = 8–12σ; s = 12–20σ; vs > 20σ. Stochastic errors in the line intensity = intensity/SNR, however, there is additional uncertainty (estimated to be at least 10%) in the absolute flux calibration for each order within a setting. In some cases we have (somewhat arbitrarily) treated emissions that are not fully resolved as separate emission lines, the positions of all separately assigned lines are given in this table and indicated by vertical tick marks in Fig. 3.

^c The signal-to-noise ratio of the detected emissions over the specified line width in the residual spectrum.

^d The observed width (in pixels) of emission lines in the residual extracts where line intensities were determined. An emission line was considered real when SNR ≥ 5.0 for line widths ≥ 5 pixels and transmittance through the atmosphere at line center was ≥ 25%; (br) = line width ≥ 9 pixels. The spectral dispersion (Δν_{pix}) in this wavelength range was between –0.036 and –0.052 cm⁻¹/pixel.

^e Molecular assignments and line positions were obtained from the following references: H₂O positions and assignments (Tennyson et al., 2001; Dello Russo et al., 2004); OH (Maillard et al., 1976; Abrams et al., 1990); NH₃ (Kleiner et al., 1999); HCN (Rothman et al., 1992); NH₂ (Amano et al., 1982a); C₂H₂ (Vander Auwera et al., 1993); CH₂ (Jensen, 1988); CH₃ (Amano et al., 1982b); CH₄ (Féjard et al., 2000; Rothman et al., 1992; Ghérisi et al., 1981; Dang-Nhu et al., 1979); C₂H₆ (Mélen et al., 1993; Pine and Lafferty, 1982); CH₃OH (Xu et al., 1997; Xu, Wang, and Perry, personal communication; Hunt et al., 1991); CH (Bernath, 1987); H₂CO (Reuter et al., 1989). Possible spurious unknowns are labeled (unknown?) (see Section 3.12).

^f Vibrational assignment (from above references).

^g Rotational assignment (from above references).

^h Rest frequencies of assigned molecular emissions (from above references).

ⁱ Denotes emissions also detected on UT August 21.6.

generally be treated as single-species emissions in quantitative analysis without introducing significant error. Molecular production rates from some detected species in this survey have been previously reported and are summarized in Table 7. Molecules detected in the survey and prospects for detecting and quantifying additional molecules at infrared wavelengths with high-resolution spectroscopy are now discussed.

3.1. H₂O

H₂O can be detected in comets from airborne or space observatories at infrared and submillimeter wavelengths when observing opportunities from these platforms are available (cf. Mumma et al., 1986; Crovisier et al., 1997; Chiu et al., 2001; Lecacheux et al., 2003; Bensch et al., 2004). However, high-resolution infrared spectroscopy is presently the best method for routinely detecting H₂O in comets from generally more accessible ground-based observatories. To avoid extinction from

terrestrial water lines, we target lines from non-resonance fluorescence vibrational bands (hot-bands). For more than ten years, this method has been used to target H₂O hot-bands in the K-band near 2 μm (Mumma et al., 1995, 1996; Dello Russo et al., 2002a), and in the M-band near 5 μm (Mumma et al., 1996, 2000, 2001b; Weaver et al., 1999a, 1999b; Dello Russo et al., 2000, 2002a, 2004; Brooke et al., 2003). Although the 2 and 5 μm spectral regions have been important for studying H₂O production in comets, there are several strong water hot-bands in the L-band near 2.9 μm. Water production rates, rotational temperatures and *ortho*-to-*para* ratios (OPRs) based on hot-band lines near 2.9 μm were determined in five comets including C/1999 H1 Lee (Dello Russo et al., 2005; Bonev, 2005; Table 7).

There are several advantages to studying H₂O hot-bands near 2.9 μm. (1) Most molecular species detectable with infrared spectroscopy have their strongest transitions in the L-band, and the large spectral grasp of NIRSPEC over multiple orders allows their sampling simultaneously with H₂O.

Table 4
Examples of detected emissions in Comet C/1999 H1 (Lee) on UT Aug. 21.6, 1999

Rest position ^a		Line int. ^b	SNR ^c	Line width ^d	Molecular assignment ^e			
(cm ⁻¹)	(μm)				Molecule	Vib. ^f	Rot. ^g	(cm ⁻¹) ^h
3459.53 ⁱ	2.89057	3.1 (s)	13.3	6	H ₂ O	101-001 101-100	1 ₁₁ -2 ₀₂ 4 ₃₁ -5 ₃₂	3459.53 3459.49
3459.28	2.89078	1.4 (w)	7.5	5	Unknown			
3458.12 ⁱ	2.89174	4.6 (s)	12.5	5	H ₂ O	101-001	0 ₀₀ -1 ₁₁	3458.12
3454.72 ⁱ	2.89459	4.7 (vs)	26.2	7	H ₂ O	101-001	2 ₁₁ -2 ₂₀	3454.69
3453.87 ⁱ	2.89530	2.0 (s)	13.3	5	H ₂ O	110-010	3 ₁₃ -4 ₂₂	3453.88
					Unknown			
3453.25 ⁱ	2.89582	11.8 (vs)	61.7	8	H ₂ O	200-100 101-100	1 ₁₀ -2 ₂₁ 2 ₀₂ -3 ₂₁	3453.30 3453.15
3451.18	2.89756	0.8 (w)	5.8	5	H ₂ O	101-001	4 ₁₃ -4 ₂₂	3451.09
3450.30 ⁱ	2.89830	13.0 (vs)	67.5	7	H ₂ O	200-001	1 ₁₀ -1 ₁₁	3450.29
3449.46 ⁱ	2.89900	2.2 (m)	9.5	6	H ₂ O	101-100	5 ₂₄ -6 ₂₅	3449.38
3448.69	2.89965	3.3 (w)	7.2	8	H ₂ O	101-100 111-110	6 ₀₆ -7 ₀₇ 5 ₁₅ -6 ₁₆	3448.74 3448.72

Note. This table appears in its entirety in Icarus electronic Supplemental materials.

^a The observed rest frequency and wavelength for emissions in the Comet Lee residual extracts.

^b The observed line intensity incident at the top of the terrestrial atmosphere in arbitrary units (line intensities in flux units can be obtained by contacting NDR) and strength of the emission in the residuals still convolved with the atmospheric transmittance function (in parentheses) over the specified line width: w = 5–8σ; m = 8–12σ; s = 12–20σ; vs > 20σ. Stochastic errors in the line intensity = intensity/SNR, however, there is additional uncertainty (estimated to be at least 10%) in the absolute flux calibration for each order within a setting. In some cases we have (somewhat arbitrarily) treated emissions that are not fully resolved as separate emission lines, the positions of all separately assigned lines are given in this table and indicated by vertical tick marks in Fig. 3.

^c The signal-to-noise ratio of the detected emissions over the specified line width in the residual spectrum.

^d The observed width (in pixels) of emission lines in the residual extracts where line intensities were determined. An emission line was considered real when SNR ≥ 5.0 for line widths ≥ 5 pixels and transmittance through the atmosphere at line center was ≥ 25%; (br) = line width ≥ 9 pixels. The spectral dispersion (Δν_{pix}) in this wavelength range was between –0.036 and –0.052 cm⁻¹/pixel.

^e See footnote e in Table 3.

^f Vibrational assignment (from above references, see Table 3).

^g Rotational assignment (from above references, see Table 3).

^h Rest frequencies of assigned molecular emissions (from above references, see Table 3).

ⁱ Denotes emissions also detected on UT August 19.6.

(2) There are many more hot-bands present near 2.9 μm (in this study we detect lines from six hot-bands) than in the K or M-bands (lines have been detected from two hot-bands in each of these regions). Thus, more H₂O lines are available near 2.9 μm making it easier to determine H₂O production rates, rotational temperatures and OPRs (Dello Russo et al., 2004, 2005). (3) Hot-band lines near 2.9 μm are generally the easiest H₂O lines to detect. They are about a factor of 10–100 stronger than lines near 2 μm, and comparable in strength to lines near 5 μm, however the photon noise at M-band is higher due to the much higher thermal background (telescope plus sky), which can be approximated by a ~270–290 K Planck function.

One disadvantage of targeting hot-band lines near 2.9 μm is the presence of numerous terrestrial atmospheric water lines (mostly associated with the ν₁ and ν₃ fundamental bands of H₂O) in this spectral region, increasing the chance of extinction due to coincidental overlap with an unassociated telluric feature. Although some H₂O lines in this spectral region are detectable even under conditions of high atmospheric water vapor, the number of detectable lines and the quality of the data are highly sensitive to the atmospheric water burden (Dello Russo et al., 2005). Even under extremely dry atmospheric conditions, much of this spectral region is inaccessible from the ground (see the plot of convolved atmospheric transmittance as a function of wavelength in Fig. 3). When these data were obtained

the atmospheric water burden was moderate (~4 pr-mm; Dello Russo et al., 2005).

H₂O hot-band emission lines are among the most numerous and strongest detected in this survey (Fig. 3, Tables 3–6). Lines from six H₂O hot-bands were present between ~2.88 and 3.01 μm on both dates (Fig. 3). H₂O line positions were calculated using ro-vibrational energy data (Tennyson et al., 2001). In addition to agreement in line frequency, reasonable agreement in relative line intensities based on developed fluorescence models was necessary for an emission to be identified as pure H₂O (Dello Russo et al., 2004, 2005). Emission features that are significantly stronger than expected were labeled “H₂O + unknown” in Fig. 3, and in Tables 3 and 4. However, as noted in Dello Russo et al. (2004, 2005), uncertainties in the H₂O fluorescence models may account for the discrepancies between the model and data in some cases, without invoking blends with unidentified emissions. Many additional H₂O hot-band lines, obscured by atmospheric extinction in the present survey, have been detected in other comets having geocentric Doppler-shifts different from Comet Lee on these dates (Dello Russo et al., 2004, 2005).

3.2. OH

One traditional method of indirectly determining H₂O production rates is through the detection of its photodissocia-

Table 5
Number and strength of molecular detections in Comet Lee on UT Aug. 19.6

Molecule	Number of single species emissions ^a					Number of multi-species emissions ^a				
	Total	Number of emissions by strength ^b				Total	Number of emissions by strength ^b			
		vs	s	m	w		vs	s	m	w
All	163	9	12	32	110	73	4	3	18	48
Identified	135	9	11	28	87	64	2	3	14	45
Unidentified ^c	28	0	1	4	24	9	2	0	4	3
Securely detected species										
H ₂ O	26	4	4	5	13	9	2	0	2	5
OH (1–0)	23	3	1	5	14	14	1	1	4	8
OH (2–1)	4	0	0	1	3	4	0	0	0	4
HCN	6	0	0	1	5	1	0	0	1	0
NH ₂	7	0	1	3	3	5	0	1	1	3
CH ₄	3	0	2	0	1	2	0	0	0	2
C ₂ H ₆	20	2	1	5	12	37	2	2	11	22
CH ₃ OH	41	0	2	8	31	50	2	2	13	33
H ₂ CO	4	0	0	0	4	8	0	0	1	7
Species noted but not securely detected ^d										
NH ₃	0	0	0	0	0	3	0	0	1	2
C ₂ H ₂	0	0	0	0	0	3	0	0	1	2
CH ₂	0	0	0	0	0	3	0	0	0	3
CH ₃	1	0	0	0	1	0	0	0	0	0
CH	0	0	0	0	0	4	0	0	0	4

^a Single species emissions refer to emissions due to a single molecule (emissions due to multiple lines from a single molecule are also considered single species). Single emission features with likely contributions from multiple molecules (even if there is one dominant component) are considered multi species emissions.

^b vs = very strong, s = strong, m = medium, w = weak. See footnote b in Tables 3 and 4.

^c There were 37 unidentified emissions on this date: 14 were also detected on UT Aug. 21.6, 23 were detected only on UT Aug. 19.6 (10 out of those 23 were only spectrally covered on UT Aug. 19.6).

^d There is insufficient evidence to claim detections of these species. These species are included for completeness since they are known comet constituents and they may contribute to some features.

Table 6
Number and strength of molecular detections in Comet Lee on UT Aug. 21.6

Molecule	Number of single species emissions ^a					Number of multi-species emissions ^a				
	Total	Number of emissions by strength ^b				Total	Number of emissions by strength ^b			
		vs	s	m	w		vs	s	m	w
All	222	16	26	66	114	87	9	22	33	23
Identified	170	15	24	54	77	75	8	18	31	18
Unidentified ^c	52	1	2	12	37	12	1	4	2	5
Securely detected species										
H ₂ O	20	6	4	6	4	10	1	5	2	2
OH (1–0)	20	0	8	5	7	11	2	3	5	1
OH (2–1)	11	0	0	4	7	4	0	1	1	2
HCN	11	0	1	9	1	2	1	0	1	0
C ₂ H ₂	4	0	0	0	4	3	1	0	1	1
NH ₂	10	0	1	3	6	7	1	1	2	3
CH ₄	4	2	1	0	1	2	0	1	1	0
C ₂ H ₆	15	3	3	3	6	46	5	9	22	10
CH ₃ OH	67	4	6	23	34	63	6	15	24	18
H ₂ CO	6	0	0	1	5	11	0	4	3	4
Species noted but not securely detected ^d										
NH ₃	0	0	0	0	0	1	0	0	0	1
CH ₂	1	0	0	0	1	5	0	2	3	0
CH ₃	1	0	0	0	1	0	0	0	0	0
CH	0	0	0	0	0	5	1	0	2	2

^a Single species emissions refer to emissions due to a single molecule (emissions due to multiple lines from a single molecule are also considered single species). Single emission features with likely contributions from multiple molecules (even if there is one dominant component) are considered multi species emissions.

^b vs = very strong, s = strong, m = medium, w = weak. See footnote b in Tables 3 and 4.

^c There were 64 unidentified emissions on this date: 14 were also detected on UT Aug. 19.6.

^d There is insufficient evidence to claim detections of these species. These species are included for completeness since they are known comet constituents and they may contribute to some features.

Table 7
Molecular production rates in Comet Lee

Species	Q (10^{27} s $^{-1}$) ^a	T_{rot} (K) ^b	OPR ^c	Relative abundance
H ₂ O ^d	143.1 ± 13.3	76 $^{+4}_{-3}$	2.5 ± 0.5	100
CH ₃ OH ^e	2.3 ± 0.2	(75)		1.6 ± 0.2
CH ₄ ^f	1.62 ± 0.32	(75)		1.13 ± 0.25
C ₂ H ₆ ^e	1.02 ± 0.05	80 $^{+25}_{-19}$		0.71 ± 0.07
HCN ^e	0.29 ± 0.02	72 ± 8		0.20 ± 0.02
C ₂ H ₂ ^e	0.34 ± 0.03	(75)		0.24 ± 0.03

^a Production rates are for UT Aug. 21.6. Production rates for H₂O and CH₄ were also determined on UT Aug. 19.6: Q (H₂O) = 133.5 ± 12.8, Q (CH₄) = 1.84 ± 0.42, CH₄/H₂O = (1.38 ± 0.34)% (Gibb et al., 2003; Dello Russo et al., 2005). Production rates for other species on both dates will be reported in future publications.

^b Rotational temperatures in parentheses are assumed. T_{rot} for H₂O on UT Aug. 19.6 was 80 $^{+6}_{-4}$ K (Dello Russo et al., 2005).

^c The OPR for H₂O on UT Aug. 19.6 was 2.5 ± 0.5 (Dello Russo et al., 2005). A statistical equilibrium nuclear spin temperature was assumed for other molecules with multiple nuclear spin species.

^d Values from Dello Russo et al. (2005).

^e Values from Mumma et al. (2001a). Mixing ratios are updated based on Q (H₂O) from Dello Russo et al. (2005).

^f Values from Gibb et al. (2003). CH₄ mixing ratios are updated based on Q (H₂O) from Dello Russo et al. (2005).

tion product OH at near-ultraviolet and radio frequencies (cf. Schleicher and A'Hearn, 1982; Crovisier et al., 2002). OH transitions can also be detected at infrared wavelengths either via fluorescent emission or prompt emission (Weaver and Mumma, 1984; Crovisier, 1989; Bockelée-Morvan and Crovisier, 1989). In the prompt emission mechanism, photodissociation of H₂O produces OH radicals in vibrationally-excited and rotationally-hot states (Carrington, 1964; Yamashita, 1975; Andresen et al., 1984; Hausler et al., 1987; Engel et al., 1988; Nizkorodov et al., 2003). The distribution of OH in the coma produced via prompt emission should trace that of the parent H₂O, while OH produced via fluorescence should have a more extended distribution (cf. Crovisier, 1989). With high-resolution spectroscopy, OH emission has been detected from the ground at infrared wavelengths (Brooke et al., 1996; Magee-Sauer et al., 1999; Mumma et al., 2001a; Gibb et al., 2003). A complete quantitative analysis of prompt emission from the OH multiplet near 3046 cm $^{-1}$ (including a direct comparison between the OH and the H₂O spatial distributions), and its use as a tracer for H₂O production, was done for Comet Lee on both dates covered by this survey (Bonev et al., 2004). A comprehensive analysis of OH lines in two other comets from additional detected multiplets is presented in Bonev (2005).

Multiple emission lines from two bands of OH (1–0 and 2–1), spanning the entire spectral grasp of our survey were detected in Comet Lee on both dates. Line positions and assignments for OH lines were obtained from Maillard et al. (1976) and Abrams et al. (1990). The OH emissions detected in this survey can be split into two groups; those that are entirely due to prompt emission, and those that may be a mixture of prompt and fluorescent emission. For the P-branch region of the (1–0) band, all OH lines at wavelengths longer than ~2.95 μm should be entirely due to prompt emission, while those in our survey between 2.88 and 2.95 μm may be mixed

(Bockelée-Morvan and Crovisier, 1989). These two mechanisms may be differentiated by comparing the spatial distribution of OH and H₂O lines in the coma: Prompt OH should trace the parent (H₂O) while fluorescent OH will display a flatter (more extended) spatial distribution (Bonev et al., 2004; Bonev, 2005). A study on the spatial distribution of OH is beyond the scope of this paper (see Bonev et al., 2004; Bonev, 2005, for additional details).

Models of prompt emission by OH created in rotationally excited states suggested that emission from OH (1–0) at wavelengths longer than ~3.1 μm should be negligible if OH is produced with a rotational temperature of 300 K (Bockelée-Morvan and Crovisier, 1989). We note however that earlier laboratory measurements predict a much hotter distribution in the $v' = 1$ vibrational level based on dissociation of H₂O at 300 K via absorption of Lyman- α (Carrington, 1964; Yamashita, 1975) or in the first absorption band of water (Andresen et al., 1984; Hausler et al., 1987). Detection of OH (1–0) lines out to 3.66 μm in this survey confirms a distribution much hotter than 300 K upon creation. Lines from the (2–1) band of OH were generally several times weaker than the corresponding (1–0) lines, and detection of high-J lines from the (2–1) band also suggests a prompt emission mechanism.

3.3. HCN

Comet impacts were likely an important source of organic molecules on the early Earth (cf. Delsemme, 2000). The presence of HCN in comets is significant since it is an important intermediary for synthesis of biochemical compounds (cf. Oro et al., 1992). HCN has been detected and quantified in comets at millimeter wavelengths for twenty years (Despois et al., 1986; Schloerb et al., 1986; Biver et al., 2002). Since HCN has a strong vibrational band near 3 μm, the prospect of detecting HCN in comets at infrared wavelengths was considered even before spectrometers of sufficient sensitivity and spectral resolving power were available (Crovisier, 1987). Since its initial detection at infrared wavelengths in comet Hyakutake, HCN has been routinely measured in comets at infrared wavelengths (Brooke et al., 1996, 2003; Magee-Sauer et al., 1999, 2002a, 2002b; Weaver et al., 1999a; Mumma et al., 2001a, 2001b, 2003). We note that production rates for HCN measured at millimeter and infrared wavelengths often do not agree, with infrared values generally about a factor of two higher (Magee-Sauer et al., 2002a; Biver et al., 2002). These differences are perhaps not surprising when comparing absolute production rates from different wavelength regimes where observing platform and approach, beam sizes, and modeling complexity differ (Magee-Sauer et al., 2002a). However, millimeter production rates can increase if electron collisions are included possibly removing much of the discrepancy (Lovell et al., 2004).

In addition to its biogenic importance, HCN is likely a major source of CN seen in many comets (cf. A'Hearn et al., 1995; Fink and Hicks, 1996). However, the relationship of HCN to CN in comets is not completely understood. Rauer et al. (1997) claimed that the HCN in Hale-Bopp detected during observations at large heliocentric distances could account for all of the

measured CN. Closer to perihelion, however, the HCN/CN ratio seemed to indicate too much HCN in Hale–Bopp to account for the observed amount of CN (Ziurys et al., 1999). Studies comparing isotopic ratios of HCN in Hale–Bopp with CN (in Hale–Bopp and three other comets) suggest other parents are likely important for the formation of CN in cometary comae (Manfroid et al., 2005). An in-depth study on the relationship of HCN to CN in eight comets revealed that HCN could explain the observed CN in some comets but not others (Fray et al., 2005).

In many comets there is evidence that much of the measured CN is produced from grains in the coma, rather than from nuclear ices (A'Hearn et al., 1995). It may be that a fraction of HCN itself might be produced in the coma by grains, but at this time there is no clear evidence for a significant distributed component of HCN in comets. Infrared studies of the spatial distribution of HCN along the slit have shown no evidence for a distributed source (cf. Magee-Sauer et al., 1999, 2002a). Interferometric studies of HCN at millimeter wavelengths show possible evidence for a small contribution (up to ~20%) from a distributed source (Wright et al., 1998; Veal et al., 2000), however contributions from coma chemistry or temporal variability may account for small deviations from a Haser profile. The importance of HCN in explaining the observed CN in comets must be examined on a case-by-case basis using direct comparison of CN and HCN production rates and spatial distributions (see Fray et al., 2005).

Obtaining accurate molecular production rates requires knowledge of the rotational distribution, and typically a sufficient number of HCN lines can be detected near 3.0- μm to obtain a rotational temperature (Magee-Sauer et al., 1999, 2002a; Mumma et al., 2001a). In this survey, multiple lines of the ν_3 band of HCN have been detected, and quantitative results for HCN on UT Aug. 21 have been reported elsewhere (Mumma et al., 2001a; Table 7). A preliminary comparison based on the presence and relative intensities of HCN lines on both dates (Fig. 3, Tables 3, 4) suggests that the HCN production rate may have been somewhat lower on UT Aug. 19.6 compared to Aug. 21.6. We note that H_2O production rates on these dates agree within error (Dello Russo et al., 2005), so the HCN/ H_2O ratio may have changed between these dates. A detailed quantitative study of HCN on UT Aug. 19.6 (including Q-curve analysis) will be needed to test this possibility, and is deferred to a future publication.

We note that, as was the case with H_2O hot-band lines, the number of detectable HCN lines and the data quality depend on the atmospheric water burden. Also, the 3 μm spectral region in comets has a high density of emission features, resulting in spectral confusion (Fig. 3). Many emissions from known molecules (e.g., HCN, C_2H_2 , NH_3 , NH_2 , OH), as well as unknown emissions are present in this region. Blends with known constituents are noted in Tables 3 and 4. In most cases, HCN is the dominant constituent in any blend and many HCN lines are generally detected, so blends are unlikely to significantly affect the infrared analysis of HCN in comets. Positions and assignments for HCN lines detected in this survey were obtained from the HITRAN 1992 database (Rothman et al., 1992).

3.4. C_2H_2

Acetylene (C_2H_2) is a symmetric hydrocarbon, so it can be observed only at infrared wavelengths. Reactions of CN and C_2H radicals with unsaturated hydrocarbons like C_2H_2 can form complex organics such as amino acids (cf. Kaiser and Balucani, 2002), so acetylene has potential importance to astrobiology. Also, C_2H_2 is likely a major source of the C_2 detected in a large number of comets observed since the 1970s with narrowband photometry (cf. A'Hearn et al., 1995; Fink and Hicks, 1996). Presently, the parentage of C_2 is not completely understood (cf. Combi and Fink, 1997).

High-resolution spectra of Comet Hyakutake obtained with the Hubble Space Telescope found that the C_2 had hot vibrational and rotational excitation, similar to that observed when C_2H_2 is photodissociated in the laboratory (Sorkhabi et al., 1997). However, it is possible that the hot vibrational and rotational excitation of C_2 is due to fluorescent pumping at optical wavelengths rather than being the initial distribution following photolysis of C_2H_2 . It is also possible that photodissociation of other C_2 -bearing molecules (e.g., C_2H_4 , C_2H_6) could produce electronically-excited C_2 fragments whose emission might contribute to the observed Hyakutake spectra (Crovisier, 1998). A study in Comet Hale–Bopp for heliocentric distances ≥ 2.9 AU concluded that the formation of C_2 was dominated by photodissociation and electron impact dissociation of C_2H_2 (Helbert et al., 2005). In many comets the spatial distribution of C_2 is flatter than expected from simple photodissociation of a parent molecule (cf. Combi and Delsemme, 1986), implying that release from dust grains having organic mantles may contribute to the observed C_2 (cf. Wyckoff et al., 1988; Combi and Fink, 1997). Direct comparison of C_2 abundances with potential parents such as C_2H_2 is important for resolving the parentage of cometary C_2 .

Acetylene has been detected in comets with high-resolution infrared spectroscopy near 3.0 μm (Brooke et al., 1996, 2003; Magee-Sauer et al., 1999, 2002a, 2002b; Weaver et al., 1999a; Mumma et al., 2000, 2001b). Due to Fermi-type interaction coupling between ν_3 and $\nu_2 + \nu_4 + \nu_5$ vibrational bands (Lafferty and Thibault, 1964; Vander Auwera et al., 1993), lines from these bands may rival each other in intensity, and detections of lines from both bands have been reported in comet spectra (cf. Brooke et al., 2003). C_2H_2 has *ortho* and *para* spin species (for statistical equilibrium, odd-J lines are 3 times stronger than even-J lines for these two bands), so a determination of OPR is possible if enough lines of both spin species can be detected.

Detecting multiple strong C_2H_2 lines in comets has been and likely will continue to be problematic. There are several reasons for this difficulty: (1) The low abundance of C_2H_2 in comets (typically $\leq 0.2\%$ with respect to H_2O), coupled with only moderate strengths for the two bands make these lines relatively weak in comet spectra (e.g., Fig. 3); the weaker even-J (*para*) lines are particularly difficult to detect. (2) Spectral confusion (or complexity) in the 3.0 μm region causes some of the C_2H_2 lines to be significantly blended with other species (e.g., HCN, NH_2 , OH; see Fig. 3, Tables 3, 4). (3) Atmospheric transmit-

tance is generally poor in the 3.0 μm region causing obscuration of many C_2H_2 lines (Fig. 3). In this survey, four unblended lines of C_2H_2 were detected on UT August 21.6 (Tables 4 and 6). The C_2H_2 production rate in Comet Lee on this date has been previously reported (Mumma et al., 2001a; Table 7), but an insufficient number of lines were detected for the determination of a meaningfully constrained rotational temperature, much less an OPR. No firm detections of unblended C_2H_2 emissions are seen in spectra from UT August 19.6, although three C_2H_2 lines may contribute to blended multi-species emission features (Table 5). Positions and assignments for C_2H_2 lines detected in this survey were obtained from Vander Auwera et al. (1993) (Tables 3, 4).

3.5. NH_3

Ammonia is another important biogenic molecule since it was likely an intermediary for synthesis of amino acids on the early Earth. A tentative detection of NH_3 was claimed in Comet C/1983 H1 IRAS–Araki–Alcock through its 24 GHz inversion line (Altenhoff et al., 1983). Its detection was subsequently claimed in Halley from Giotto mass spectrometer measurements (Allen et al., 1987; Meier et al., 1994), and through radio inversion lines in Comets Hyakutake and Hale–Bopp (Palmer et al., 1996; Bird et al., 1997, 1999; Hirota et al., 1999). Ammonia has been detected at infrared wavelengths near 3.0 μm in Comets Hale–Bopp, 153P/Ikeya–Zhang (C/2002 C1), and C/2002 T7 (Magee-Sauer et al., 1999; Magee-Sauer et al., in preparation). Apparently well-constrained NH_3 spin temperatures have been indirectly determined in comets through measurements of the NH_2 radical with the assumptions that OPR is conserved upon photodissociation and that NH_2 is the sole parent of NH_3 (Kawakita et al., 2001, 2002, 2004). However, it is not clear if other sources of uncertainty in addition to photon noise were considered when errors for these spin temperatures were derived (see Section 4.2 for discussion of other possible sources of error). NH_3 has two nuclear spin species (*A* ($I = 3/2$) and *E* ($I = 1/2$)), so in principal, spin temperatures can be directly determined for NH_3 in comets if a sufficient number of lines from each spin species is detected.

NH_3 was not definitively detected in this survey on either date, although it may contribute to some blended multi-species emissions (Tables 5, 6). Reasons for the difficulty in detecting NH_3 in high-resolution infrared spectra near 3.0 μm are the same as for C_2H_2 (see discussion in Section 3.4). Line positions for NH_3 used in this survey were obtained from Kleiner et al. (1999).

3.6. NH_2

Ammonia production rates in comets can be indirectly investigated from photodissociation products, and bands of NH_2 (observed at visible wavelengths) have been used for this purpose (cf. Wyckoff et al., 1991; Feldman et al., 2005). The detection of NH_2 in Comet Lee on UT August 21.6 was originally noted in Mumma et al., 2001a. Here we report the detection of multiple lines from two bands (ν_1 and ν_3) of NH_2 on both dates

of our survey between ~ 3.01 and 3.19 μm (Fig. 3, Tables 3–6). We again note that poor atmospheric transmittance and spectral confusion near 3.0 μm will limit the number of detectable and unblended lines. The density of lines from other species is lower between 3.05 and 3.19 μm so spectral confusion is less of an issue, however, atmospheric transmittance remains poor throughout much of this region (Fig. 3). Positions and assignments for NH_2 lines detected in this survey were obtained from Amano et al. (1982a) (Tables 3, 4). As in the case of OH, spatial studies of NH_2 can test whether its presence in the coma is due to a prompt or fluorescence emission mechanism; however, we note that its probable parent, NH_3 , was not definitively detected in our survey. We also note that a preliminary comparison based on the presence and relative intensities of NH_2 lines on both dates (Fig. 3, Tables 3, 4) suggests that NH_2 may have been somewhat more abundant on UT Aug. 19.6 compared to Aug. 21.6. A detailed analysis of NH_2 is deferred to a future publication.

3.7. CH_4

Methane is the simplest member of the saturated hydrocarbon homologous series $\text{C}_n\text{H}_{2n+2}$, and its abundance in comets relative to others in the series can reveal information about how hydrocarbons were formed in the early solar nebula (Mumma et al., 1996). CH_4 is also highly volatile, so its relative abundance in comets may provide clues about the region of formation and processing history of cometary ices (cf. Gibb et al., 2003). The electronic band systems of CH_4 are predissociated so it is not detectable at UV wavelengths. As a symmetric hydrocarbon, it lacks a permanent dipole moment so it is not detectable at radio wavelengths; however, it has a strong infrared fundamental band (ν_3) near 3.3 μm , which has been the target of observational searches in comets. Attempts to detect the ν_3 band of CH_4 were first made in Comets C/1973 E1 (Kohoutek) and 1P/Halley (Roche et al., 1975; Kawara et al., 1988; Drapatz et al., 1987). A more stringent upper limit in Halley was obtained from analysis of mass spectroscopic data from the Giotto spacecraft (Altwegg et al., 1994). A marginal detection of CH_4 was claimed in C/1986 P1 (Wilson) (Larson et al., 1989) and an upper limit of 0.31% relative to water was reported for Comet Levy (Brooke et al., 1991a). With high-resolution infrared spectroscopy, CH_4 was first unambiguously detected in Comet Hyakutake (Mumma et al., 1996), and subsequently in several other comets with abundances (relative to H_2O) varying by more than an order of magnitude (Weaver et al., 1999a; Mumma et al., 2001a, 2001b; Gibb et al., 2003; Brooke et al., 2003; Kawakita et al., 2003, 2005). CH_4 (both solid and gas phase) has been detected towards star-forming regions (Lacy et al., 1991; Boogert et al., 2004, 1996), and abundances can vary considerably towards massive protostars, so variations in cometary CH_4 abundances may trace physical differences in the early protoplanetary disk (Gibb et al., 2003; Boogert et al., 2004).

Initial quantitative analysis of CH_4 in Comet Lee was done for one date (Mumma et al., 2001a). Recently, a fluorescence model for CH_4 was developed and applied to data obtained on

both dates within this survey and to several other comets (Gibb et al., 2003; Table 7). In principal, CH₄ should be one of the easiest volatile species to detect at infrared wavelengths since it is generally abundant in comets (the observed range is 0.2–1.4% relative to H₂O) and its ν_3 band is strong. However, CH₄ has a strong terrestrial component, which makes it difficult to detect in comets unless the absolute value of the geocentric Doppler-shift (Δ_{dot}) of the comet is adequately large. Typically $|\Delta_{\text{dot}}|$ between ~ 15 and 40 km s^{-1} is adequate for the detection of a few low-J lines, while larger absolute Doppler-shifts are needed to detect additional lines. Also, lines with $J'' \geq 2$ have multiple components that are usually blended even at the high resolving power of spectra such as those presented in this study. For these reasons, CH₄ rotational temperatures and spin temperatures (CH₄ has three spin species) are very difficult to constrain even with relatively large absolute cometary Doppler-shifts. A well-constrained spin temperature was reported for CH₄ in Comet C/2001 Q4 (Kawakita et al., 2005), however it is not clear if uncertainties other than photon noise were included and how the rotational temperature and spin temperature (and their errors) were decoupled. In our survey ($\Delta_{\text{dot}} \sim -29 \text{ km s}^{-1}$), we detected several strong, low-J lines of CH₄ on both dates (Fig. 3, Tables 3–6). While atmospheric transmittance provides a major limitation for the detection of ν_3 CH₄ lines, spectral confusion (due to the higher density of C₂H₆ and CH₃OH lines) becomes an additional hindrance to the detection of P-branch lines (Fig. 3). Positions and assignments for the ν_3 band have been widely published (cf. Dang-Nhu et al., 1979; Ghérissi et al., 1981; Rothman et al., 1992; Féjard et al., 2000).

3.8. CH₃, CH₂, and CH

The radicals CH₃, CH₂, and CH are photodissociation products of CH₄ and other hydrocarbon species. CH bands have been detected in comets at visible wavelengths (cf. Arpigny et al., 1987; Meier et al., 1998a), and CH₂ was detected in Comet Halley from Giotto mass spectrometer data (Altwegg et al., 1994). CH₃ has transitions at vacuum ultraviolet and infrared wavelengths, but has not yet been unambiguously detected in a comet. These radicals have bands with lines in our survey region: CH₃ (ν_3), CH₂ (ν_1 , ν_3), and CH (1–0), however, definitive detections were not attained for them (Tables 5, 6). We note that our spectral extracts weight contributions from the inner coma heavily, so they are not as sensitive to daughter species. Line positions and assignments in Tables 3 and 4 were obtained from: CH₃ (Amano et al., 1982b), CH₂ (Jensen, 1988), and CH (Bernath, 1987).

3.9. C₂H₆

C₂H₆ is next in the homologous series of saturated hydrocarbons after CH₄, and like CH₄ is only detectable at infrared wavelengths. With high-resolution infrared spectroscopy, C₂H₆ was first detected in Comet Hyakutake (Mumma et al., 1996), and has been observed routinely in subsequent comets (Weaver et al., 1999a; Mumma et al., 2000, 2001a, 2001b; Dello Russo et al., 2001, 2002a, 2002b; Brooke et al., 2003; Kawakita et al.,

2003). The high abundance of C₂H₆ relative to CH₄ and C₂H₂ seen in many comets to date may suggest that C₂H₆ in comets formed via H-atom addition reactions on grains (Mumma et al., 1996). Laboratory studies confirm that C₂H₆ can be formed with high efficiency via H-atom addition reactions to C₂H₂ and C₂H₄ ices (Hiraoka et al., 2000). Alternatively, Notesco et al. (1997) showed that relative abundances of C₂H₆ and CH₄ comparable to those found in Comet Hyakutake can be simulated in the laboratory by trapping these gases in water ice at $\sim 65 \text{ K}$. However, recent laboratory studies more applicable to the interstellar and solar nebula environments than Notesco et al. (1997) suggest a temperature of $\sim 25 \text{ K}$ for ice grains that accreted into comets (Notesco and Bar-Nun, 2005). Comparison of the relative abundances of C₂H₂, CH₄, and C₂H₆ will continue to be important in constraining comet formation scenarios.

Although less abundant than many volatile constituents, C₂H₆ is generally the most easily detected species after H₂O at infrared wavelengths. The ethane ν_7 Q-branches near $3.35\text{-}\mu\text{m}$ are very strong due to a pile-up of many lines, and unlike CH₄, the terrestrial C₂H₆ component is very weak, so no specific geocentric Doppler-shift is needed for its detection. So, for faint comets with small geocentric Doppler-shifts (e.g., most short-period comets when they are near peak productivity), C₂H₆ is a primary target for sampling hydrocarbon chemistry at infrared wavelengths, and it is a major contributor (along with CH₃OH) to the $3.2\text{--}3.6 \mu\text{m}$ X–CH feature seen in low-resolution studies of comets (see Section 4.3).

Strong C₂H₆ ν_7 Q-branches are prominent in our spectral survey data on both dates (Fig. 3). Many weaker lines of C₂H₆ are also present, e.g., from ν_7 between ~ 3.31 and $3.39 \mu\text{m}$, $\nu_8 + \nu_{11}$ between ~ 3.36 and $3.40 \mu\text{m}$, and ν_5 between ~ 3.42 and $3.47 \mu\text{m}$ (Fig. 3, Tables 3, 4). In addition, there are many lines from other species in this region (CH₃OH lines being the most ubiquitous), adding to the severe spectral confusion seen in high-resolution comet data at these wavelengths (Fig. 3). As a result, blended C₂H₆ emissions outnumber pure ones on both dates of our survey (Tables 5, 6). Although many emissions in this region are due to blends, spectral confusion is less important for the Q-branches (the exception being $^R\text{Q}_3$ at 2996.87 cm^{-1}), because they are generally significantly stronger than any features with which they are blended. For analysis of other C₂H₆ lines, blends can contribute significantly, so caution must be used in any quantitative analysis of weaker C₂H₆ lines. Positions and assignments for C₂H₆ lines detected in this survey are noted in Tables 3 and 4 (Pine and Lafferty, 1982; Mélen et al., 1993).

3.10. CH₃OH

Methanol was first detected in the interstellar medium in the gas-phase (Ball et al., 1970). Gas-phase methanol has since been detected in hot cores and in icy grain mantles near embedded protostars. Its abundance relative to water ranges from $\sim 5\text{--}30\%$ in high-mass protostars (Brooke et al., 1999; Dartois et al., 1999) and $15\text{--}25\%$ in some low-mass protostars (Pontoppidan et al., 2003). Thus, the presence of CH₃OH in comets is to be expected. Low-resolution spectra of Comet

Halley revealed a new emission feature near 3.52 μm . This feature was assigned to an oxygen-containing organic molecule, possibly CH_3OH or H_2CO (Knacke et al., 1986). The presence of CH_3OH in comets was confirmed through detection of the ν_3 band in comets at infrared wavelengths (Hoban et al., 1991, 1993; Davies et al., 1993; DiSanti et al., 1995), and the detection of rotational lines near 145 GHz (Bockelée-Morvan et al., 1991, 1994). Recently, CH_3OH has been detected in many comets at radio wavelengths (cf. Biver et al., 2002) and with high-resolution infrared spectrometers (cf. Mumma et al., 2001a; DiSanti et al., 2002; Brooke et al., 2003). A CH_3OH production rate was obtained in Comet Lee on UT 1999 August 21.6 based on analysis of the ν_3 Q-branch intensities (Mumma et al., 2001a; Table 7).

Methanol lines are ubiquitous between 3.30 and 3.53 μm in our survey data, contributing to at least 220 of the 545 total emission features seen (Tables 5, 6). The CH_3OH lines seen in Lee are due to ν_2 , ν_3 , and ν_9 fundamental bands and the $2\nu_4$ overtone band. Other lines are also seen that, although unassigned, are likely due to additional overtone and combination bands (e.g., $\nu_5 + \nu_{10}$, $\nu_4 + \nu_5$, $\nu_4 + \nu_{10}$, $2\nu_5$, $2\nu_{10}$) present at these wavelengths. The 3.30–3.53 μm spectral region in comets is characterized by severe spectral confusion due to the high density of lines (primarily CH_3OH and to a lesser extent C_2H_6), which severely limits the ability to isolate and detect other species with bands in this wavelength region. Detecting other less abundant hydrocarbon species in this region is dependant on the development of accurate line-by-line low-temperature fluorescence models for all contributing CH_3OH bands. Modeling efforts are only in their initial stages, owing to the complexity of this problem (Bockelée-Morvan et al., 1994; Brooke et al., 2003).

For some relevant bands of CH_3OH , line positions and assignments are not available or incomplete, so identification and assignment of CH_3OH lines in our spectra was accomplished by two means. (1) Literature values were used when available. Positions and assignments were available for some lines of ν_3 (Xu, Wang and Perry, personal communication; Hunt et al., 1991), ν_2 , ν_9 , and $2\nu_4$ (Xu, Wang and Perry, personal communication; Xu et al., 1997). (2) We compared our comet spectra with a laboratory FTIR spectrum of CH_3OH at 190 K convolved to the approximate resolution of the comet spectra (Fig. 4). Li-Hong Xu obtained this laboratory spectrum at the National Research Council Facilities in Ottawa with a modified Bomem Fourier transform spectrometer ($\Delta\nu \sim 0.0025 \text{ cm}^{-1}$). This allowed us to confirm that many unassigned lines within our data corresponded to strong methanol features in the laboratory data. Emission lines in our dataset identified strictly by comparison to the laboratory spectrum were assigned to CH_3OH with a question mark under the columns for vibrational and rotational assignments in Tables 3 and 4. We use this technique for identifying probable CH_3OH features in our comet spectra, but we note that the rotational temperature of CH_3OH in the coma of Comet Lee during these observations was likely $\sim 75 \text{ K}$, so relative intensities of lines in comet and laboratory spectra cannot be directly compared. A laboratory spectrum of C_2H_6 at 119 K (Pine and Lafferty, 1982) was also used for comparison in some

spectral regions to help disentangle CH_3OH and C_2H_6 contributions in the survey data (Fig. 4).

3.11. H_2CO

H_2CO was tentatively detected in the IKS low-resolution spectrum of Comet Halley (Moroz et al., 1987; Combes et al., 1988), and application of new fluorescence models later confirmed its detection while also establishing its low rotational temperature (Mumma and Reuter, 1989). It is likely that both monomeric and polymeric forms of formaldehyde are present in comets, but their relative abundances are poorly constrained (Cottin et al., 2004). H_2CO has been detected in many comets at radio wavelengths (cf. Biver et al., 2002), and in some comets there is a significant distributed source in the coma, for example Halley (Eberhardt, 1999) and Hale–Bopp (Biver et al., 1999; Wink et al., 1999). H_2CO has infrared-active bands near 3.5–3.6 μm (ν_1 and ν_5), and line-by-line fluorescence models developed for a few rotational temperatures were used to interpret low-resolution spectra of Comet Halley (Reuter et al., 1989; Mumma and Reuter, 1989). Recently, H_2CO has been definitively detected at infrared wavelengths with high-resolution spectroscopy (DiSanti et al., 2002). In the wavelength regime covered by this survey, lines from both H_2CO ν_1 and ν_5 were detected (Tables 5, 6; line positions and assignments for H_2CO were taken from Reuter et al., 1989). H_2CO has many observable lines between 3.42 and 3.64 μm , however, it is very difficult to unambiguously detect it between 3.46 and 3.55 μm due to the high density of lines from the ν_3 band of CH_3OH . Emissions from the ν_1 band of H_2CO , centered near 3.6 μm are generally easier to detect since they are in a region of greatly reduced spectral confusion (order 21 in this study). Data from Comet C/2002 T7 (LINEAR) obtained with CSHELL at the NASA-IRTF was compared with modeled line intensities for a range of temperatures, thereby validating and extending the model and permitting accurate measures of rotational temperatures and production rates (DiSanti et al., 2006).

As is the case for water, H_2CO has *ortho* and *para* species, so if a sufficient number of lines from each species are detected, an OPR can be determined. We note that the lowest *ortho* level lies significantly closer to the lowest *para* level (the ground rotational state) in the case of H_2CO compared with H_2O , thus a non-equilibrium value (i.e., $\text{OPR} < 3.0$) corresponds to a lower spin temperature ($\leq 15 \text{ K}$). A more detailed and quantitative analysis of data near 3.6 μm in Comet Lee will be included in a future publication detailing infrared H_2CO detections in several comets observed with NIRSPEC and/or CSHELL (DiSanti et al., in preparation).

3.12. Unidentified features

After comparing positions of emission features to line lists and laboratory spectra, many (101 out of 545 lines in our survey) remain partially or completely unidentified (labeled “unk” in Fig. 3, see also Tables 3–6). Of the 101 unknown features, 28 were detected on both dates (14 on each date), 50 were detected only on Aug. 21.6, and 23 were detected only on Aug. 19.6

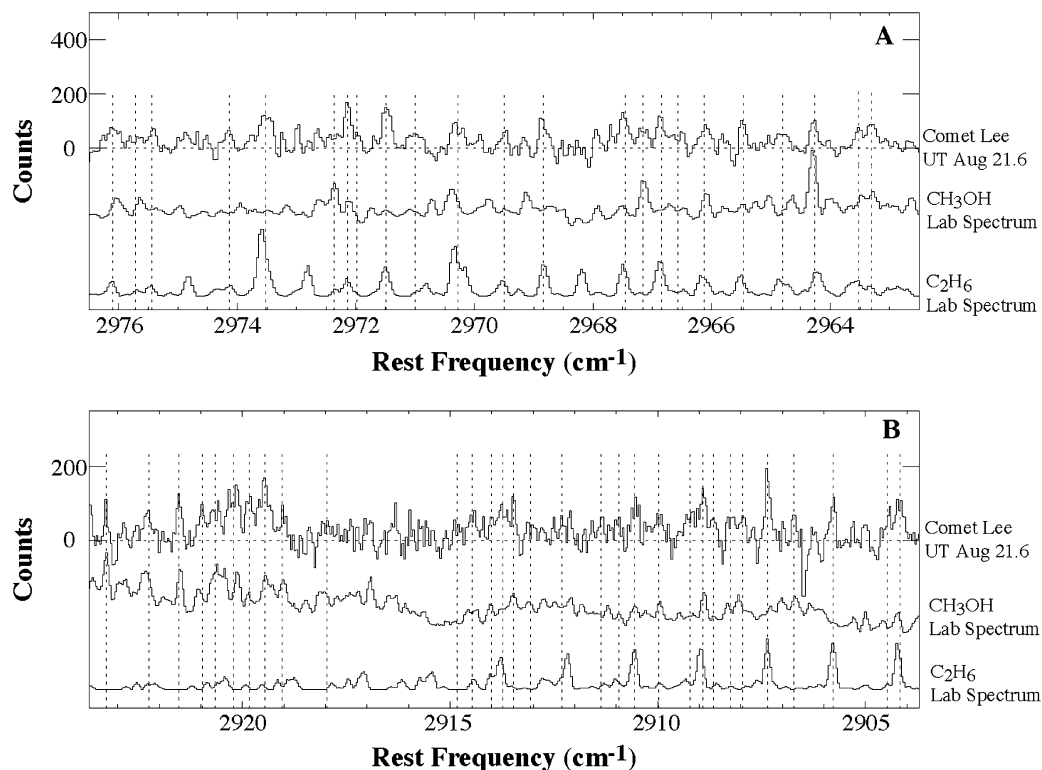


Fig. 4. A comparison of Comet Lee spectra near (A) 3.367 and (B) 3.432 μm on UT 1999 August 21.6 with laboratory spectra of CH_3OH at 190 K (Xu) and C_2H_6 at 119 K (Pine and Lafferty, 1982). Vertical lines indicate the positions of emission features as listed in Table 4. As in Fig. 3, spectra are shifted in wavelength to the comet rest frame. The CH_3OH laboratory spectrum was used for identification purposes only. Detailed fluorescence models will be needed to explain relative intensities of CH_3OH lines.

(and 13 of those had rest frequencies that were covered on both dates). Detecting additional emissions on UT Aug. 21.6 (including unknowns) is not surprising since more time on-source was obtained per grating setting as compared to Aug. 19.6 (Table 1). The 50 unknown emissions unique to Aug. 21.6 have $\text{SNR} \leq 12$ (40 of the 50 have $\text{SNR} \leq 8$), so their non-detection on Aug. 19.6 is in general not surprising. (The 10 with SNRs between 8 and 12 are expected to be detectable with SNRs between ~ 5 and 7 on Aug. 19.6 assuming the lines were of equal intrinsic strength on both dates.) The 13 unknown emission features that were covered on both dates but detected only on Aug. 19.6 are less convincingly real since they were not seen on Aug. 21.6 (with more on-source time) and all have $\text{SNR} \leq 6.4$. For these reasons, these emissions are regarded as possibly spurious and are labeled as (unknown?) in Table 3.

Most of the strong unidentified lines fall between 3300 and 3470 cm^{-1} , a region with a large number of H_2O hot-band lines. As discussed in Section 3.1, some emissions labeled as water blended with an unknown may in fact be pure H_2O , since in some cases there may be a mismatch between observed and expected relative H_2O line intensities. Generally, weaker unidentified features fall between 3060 and 3260 cm^{-1} , where emissions from radicals (mostly OH and NH_2) dominate the identified features. In the region 2702–3060 cm^{-1} , unidentified emissions are fewer, however, there is a prominent unidentified feature near 3040.3 cm^{-1} . We note that many features in this region were assigned to CH_3OH due to wavelength matches but lacking a reliable fluorescence model it is not known if the

observed relative line intensities are reasonable. Other organic species are likely present in this region, however, assessing their contributions (or even presence) is impossible at this time due to spectral confusion. Higher spectral resolving power would alleviate this to some extent, but will also likely reveal additional confusion in cases of very closely blended lines (see below).

4. Discussion

4.1. Using spectral surveys to assess the overall volatile chemistry in comets

The first step in the quantitative analysis of these comet data is identifying emission features within spectra and determining contributions (if any) from blends (whether due to single or multiple species). In addition to an overview of the volatile chemistry, this provides a guide to the specific spectral emissions that can be used for extracting quantitative information (e.g., production rates, relative abundances, rotational temperatures, and nuclear spin temperatures), and those that should not be used or need blends modeled out.

Within this spectral survey, we have definitively detected nine molecules (including radicals) that provide important information on the volatile chemistry of Comet Lee: H_2O , C_2H_2 , C_2H_6 , CH_4 , HCN , CH_3OH , H_2CO , OH, and NH_2 . Quantitative results from some data within this survey have been published elsewhere (Mumma et al., 2001a; Gibb et al., 2003; Bonev et al., 2004; Dello Russo et al., 2005; DiSanti et al., in prepa-

ration; see also Table 7). Many emission features (18.5%) are unidentified, suggesting that additional species may also be represented in our spectra. We have also noted the many blended emissions and their possible components. The strengths of contributing species need to be established before any quantitative analysis can be performed on blended emissions (e.g., C_2H_6 RQ_3 , CH_4 P3). In addition to insights gained on the chemistry of Comet Lee from the inventory of molecular emissions seen in this survey, these spectra provide a standard with which spectra of future comets (or spectra from existing datasets) can be compared. It can also be a valuable tool for planning future high-resolution infrared observations of comets or other objects of astrophysical interest.

4.2. The importance of fluorescence models for interpreting cometary spectra

Lacking fluorescence models, molecular line positions can be noted but determination of molecular abundances is not possible. Fluorescence models are also needed to determine whether flux from an emission is consistent with a single line or due to a blend (e.g., see Section 3.10). To extract quantitative information from comet spectra we developed temperature-dependent fluorescence models for both linear (e.g., HCN, C_2H_2) and non-linear molecules (e.g., H_2O , H_2CO , NH_3 , CH_4 , and C_2H_6) (cf. Reuter et al., 1989; Dello Russo et al., 2000, 2001; Gibb et al., 2003; DiSanti et al., 2004; Magee-Sauer et al., 2004). Fluorescence models of relevance to comets at infrared wavelengths have also been developed independently for C_2H_6 , CH_4 and CH_3D (Kim, 2003; Kawakita et al., 2005). For the determination of line assignments in this work, we compared relative line intensities in these spectra to those predicted from available fluorescence models. If an emission line was significantly weaker than expected we assumed it was due to inaccuracies in the fluorescence model. If an emission line was significantly stronger than expected we assumed that the emission was blended, although this could also be caused by inaccuracies in the fluorescence model. We invoked these assumptions when determining molecular assignments (Tables 3, 4).

Once a fluorescence model is available and assignments have been made, an important consideration is often overlooked when determining quantitative information (e.g., production rates, relative abundances, rotational temperatures and spin temperatures). Errors in these quantities are generally not dominated by the “photon” noise (reflected by the SNR of individual spectral lines), but by line-by-line deviations between the best-fit fluorescence model and the data. The accuracy of a fluorescence model is limited by assumptions made to simplify the model (e.g., a Boltzmann distribution for the initial ground-state population) and/or poorly constrained parameters that can cause either systematic (e.g., uncertainties in band strengths) or non-systematic (e.g., uncertainties in rotational branching ratios) errors (cf. Dello Russo et al., 2004, 2005; Bonev, 2005). Other factors such as unrecognized blends, and errors in the parameters used to generate the best-fit normalized synthetic atmospheric model can also cause errors distinct from the photon noise. Thus, these effects (not photon noise) generally limit

the extent to which quantitative data can be constrained (Dello Russo et al., 2004, 2005; Bonev, 2005). For example, errors in the water production rate should reflect the level of agreement between independent measurements of each detected water line. Also, the effects of small sample size should be incorporated when necessary; for example, when a production rate, rotational temperature or spin temperature is determined on the basis of only a few detected emission lines, the error bars should reflect the effects of small number statistics (cf. Bonev, 2005).

As more comets are observed, lines that have systematically deviant predicted intensities can be identified and the fluorescence models corrected. In this way, comets themselves can be used as laboratories to help improve fluorescence models and partially compensate for the paucity of relevant low-temperature laboratory spectra.

4.3. Using high-resolution survey data to help interpret low-resolution infrared data

High-resolution infrared survey spectra can be used to help interpret lower resolution data. One example is determining specific molecular contributors to the 3.2–3.6 μm X-CH feature seen in many comets observed over the last twenty years at low ($\lambda/\Delta\lambda \sim 100$) and moderate ($\lambda/\Delta\lambda \sim 1000$) spectral resolving power (cf. Brooke et al., 1991b; Bockelée-Morvan et al., 1995). The detection of methanol (CH_3OH) in Comet C/1989 X1 Austin (Hoban et al., 1991; Bockelée-Morvan et al., 1991) and the subsequent modeling of its ν_2 , ν_3 , and ν_9 bands (Reuter, 1992) showed that CH_3OH emission from fundamental bands could contribute some (but not all) of the integrated flux measured in the X-CH feature (see also Davies et al., 1993). A volatile source for this “excess” emission in comets was supported by the observed heliocentric dependence of this feature, which agreed with that of the modeled CH_3OH emission but not with that of dust (DiSanti et al., 1995; Bockelée-Morvan et al., 1995). However, it was apparent that higher spectral resolving power was needed to help identify other volatile species that contribute to the X-CH feature.

In addition to its high-resolution mode, NIRSPEC can obtain spectra of the entire L-band with moderate resolving power ($\lambda/\Delta\lambda \sim 2000$) allowing direct comparison with high-resolution data (Fig. 5). A moderate-resolution spectrum of Comet Lee in the L-band was obtained on UT 1999 Aug. 20.6. This spectrum shows emission present between 3.2 and 3.6 μm , however only CH_3OH (the ν_3 band near 3.52 μm) can be definitively identified (Fig. 5A). The high-resolution survey data enables the determination of other contributing species to the moderate resolution spectrum (Fig. 3). It is also apparent from the high-resolution data that CH_3OH combination and overtone bands, not considered when the X-CH feature was previously modeled (Reuter, 1992), contribute flux to this spectral region (see Section 3.10). Based on the high-resolution survey data, emissions from additional volatile species (including emission from previously unaccounted for CH_3OH bands) contribute significantly to the excess flux seen after subtracting the modeled CH_3OH contribution from low- and moderate-resolution comet

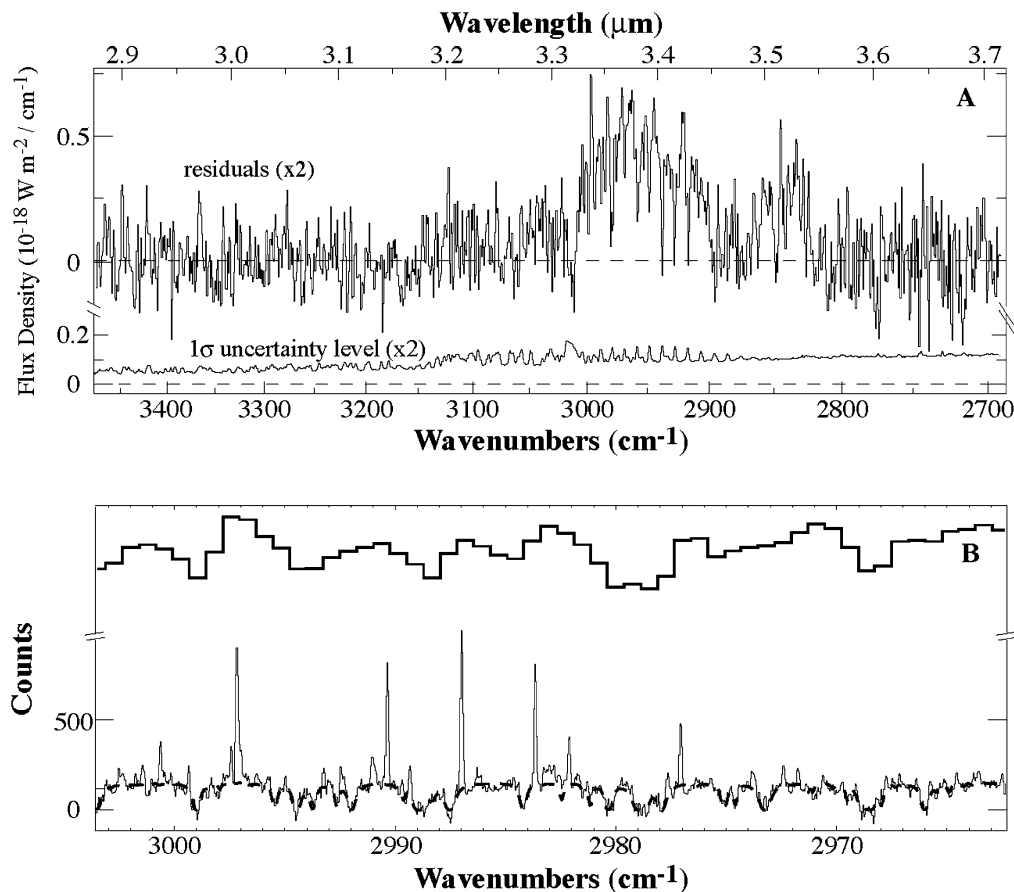


Fig. 5. Spectra of Comet Lee taken in the moderate-dispersion ($\lambda/\Delta\lambda \sim 2000$) mode on UT 1999 August 20.6, and high-dispersion ($\lambda/\Delta\lambda \sim 25,000$) mode on August 21.6. (A) Moderate-dispersion spectrum of Comet Lee. The broad feature centered at 3.52 μm is due primarily to $\text{CH}_3\text{OH } \nu_3$; the broad feature between 3.3–3.45 μm is the X–CH feature (emission primarily from CH_3OH and C_2H_6 with minor contributions from other species; see Section 4.3). The grasp of this spectrum is roughly the same as the spectral coverage obtained in this high-resolution survey (Figs. 2, 3). The estimated channel-by-channel noise (1σ) is shown below (from Mumma et al., 2001a, Fig. 1e). (B) High-dispersion spectrum (lower solid trace) of Comet Lee showing ro-vibrational emission lines from CH_3OH , C_2H_6 , CH_4 , and OH . A synthetic spectrum of the atmospheric transmittance is also shown (dashed trace). Above (upper solid trace), the moderate-dispersion spectrum in this region is shown for comparison (from Mumma et al., 2001a, Fig. 2c). Individual species cannot be uniquely identified at lower spectral resolving power, demonstrating the significant reduction in spectral confusion realized with higher resolving powers.

data (cf. Reuter, 1992; Davies et al., 1993; DiSanti et al., 1995; Bockelée-Morvan et al., 1995).

Although spectral confusion is not eliminated at the resolving power realized in this survey ($\lambda/\Delta\lambda \sim 25,000$), it is clear that high-resolving power is necessary for interpreting the volatile chemistry of comets at infrared wavelengths. High-resolution infrared data show that C_2H_6 has strong emissions that contribute to the X–CH feature (cf. Dello Russo et al., 2001). Based on published band strengths for CH_3OH and C_2H_6 , their relative contributions to the X–CH feature can be approximated assuming that atmospheric extinction will affect both species to a similar extent. At 1 AU from the Sun, the combined g-factors for fundamental bands of CH_3OH and C_2H_6 in this region are $\sim 7 \times 10^{-4}$ and $9 \times 10^{-4} \text{ s}^{-1}$, respectively (including the ν_2 , ν_9 , and ν_3 bands of methanol, Rogers, 1980; including the ν_5 and ν_7 bands of ethane, Dang-Nhu et al., 1984). Accounting for band strengths (fundamental bands only) and production rates derived for these species (Table 7), the relative contributions to the X–CH feature are $(\text{C}_2\text{H}_6)/(\text{CH}_3\text{OH}) \sim 0.6$. Comet Lee has a fairly typical $\text{C}_2\text{H}_6/\text{CH}_3\text{OH}$ ratio (cf. Mumma

et al., 2003), so C_2H_6 is expected to be a major contributor to the X–CH feature in many comets.

Additional volatile species can also contribute flux to the X–CH feature, but their contributions are generally minor compared to CH_3OH and C_2H_6 . Although CH_4 is generally abundant in comets and its ν_3 band (near 3.3 μm) is strong, its contribution to the X–CH feature seen in ground-based low-resolution spectra of comets is severely limited by atmospheric extinction (dependent on Δ_{dot}), so in general its importance does not rival CH_3OH and C_2H_6 . OH prompt emission could provide a small contribution in discrete regions between 3.0 and 3.6 μm where its multiplets are present, however its contribution to the overall X–CH feature is limited by the moderate strength and relatively small number of OH lines in this region. The ν_1 and ν_5 bands of H_2CO could contribute flux in the 3.45–3.6 μm region, however two factors make the H_2CO contribution in this region typically minor relative to $\text{CH}_3\text{OH } \nu_3$: (1) H_2CO is generally on the order of several times less abundant than CH_3OH in comets (see Fig. 3 in Biver et al., 2002), and (2) in many comets there is likely a significant or dominant distributed source for H_2CO , so less of the total abundance of H_2CO

Table 8
An example of some undetected molecules in our Comet Lee survey data

Molecule ^a	Positions for emissions (μm) ^b	Factors limiting detectability ^c	Comments
HCOOH	3.40	4, 5, 6	Has been detected in the radio in Hale–Bopp ^d
CH ₃ CN	3.32, 3.39	1, 4, 5, 6	Has been detected in the radio in comets ^d
C ₂ H ₄	3.22, 3.35	1, 2, 4, 5	Abundance ratio with C ₂ H ₂ and C ₂ H ₆ can establish importance of H-atom addition reactions. Only detectable at IR wavelengths
C ₃ H ₈	3.37, 3.46	2, 4, 5, 6	Next in the homologous saturated hydrocarbon series after CH ₄ and C ₂ H ₆
C ₂ H ₅ OH	3.37, 3.45	1, 4, 5, 6	Next in the homologous alcohol series after CH ₃ OH. Also detectable at radio wavelengths
HC ₃ N	3.01	1, 2, 4	Has been detected in the radio in Hale–Bopp ^d
CH ₃ CCH	3.00, 3.40	1, 2, 4, 5	A possible parent of C ₃ ^e
C ₄ H ₂	3.00	1, 2, 4	Only detectable at IR wavelengths
c-C ₃ H ₆	3.22, 3.31	1, 2, 5	
H ₂ CCO	3.16, 3.26	1, 2, 5	Also detectable at radio wavelengths
HDO	3.70	1	Has been detected in Comets Halley (in situ), Hyakutake (radio), and Hale–Bopp (radio) ^f
DCN	3.80	1	Has been detected in comet Hale–Bopp (radio) ^g
CH ₃ D	3.31	1, 2, 4	CH ₃ D/CH ₄ abundances 0.1 or higher ^h
H ₂ O ⁺	~2.87–3.2	2, 3, 4, 5	Detected in comets at visible wavelengths ⁱ
H ₃ O ⁺	~2.87–3.0	2, 3, 4, 5	Detected at radio wavelengths in Hale–Bopp ^j

^a Positions for bands and lines for these molecules are available from: HCOOH (Notholt et al., 1991; Ito and Nakanaga, 2000); CH₃CN (Cerseau et al., 1985); C₂H₄ (Van Lerberghe et al., 1972; Dang-Nhu et al., 1983; Bach et al., 1998); C₃H₈ (Shimanouchi, 1972); C₂H₅OH (Rogers, 1980); HC₃N (Mallinson and Fayt, 1976); CH₃CCH (Russell et al., 1971; Kerstel et al., 1994); C₄H₂ (Guelachvili et al., 1984); c-C₃H₆ (Merdes et al., 1991; Plíva et al., 1992); H₂CCO (Johns et al., 1972; Duncan et al., 1987; Escribano et al., 1994); HDO (Rothman et al., 1992); DCN (Bartunek and Barker, 1935); CH₃D (Rothman et al., 1992; Kawakita and Watanabe, 2003); H₂O⁺ (Dinelli et al., 1988; Weis et al., 1989; Huet et al., 1992); H₃O⁺ (Stahn et al., 1987; Ho et al., 1991; Tang and Oka, 1999).

^b Approximate wavelength (or range) where infrared ro-vibrational lines are expected.

^c Factors limiting the ability to detect these species are numbered as in the first paragraph in Section 4.4. (1) Line strengths or abundance; (2) atmospheric transmittance; (3) release as a distributed source; (4) spectral confusion; (5) lack of fluorescence models; (6) lack of information on line positions.

^d Bockelée-Morvan et al. (2000).

^e Helbert et al. (2005).

^f Eberhardt et al. (1987b), Bockelée-Morvan et al. (1998), Meier et al. (1998b).

^g Meier et al. (1998c).

^h Aikawa and Herbst (1999).

ⁱ Cf. Wehinger and Wyckoff (1974).

^j Lis et al. (1999).

is included within the field of view of small-aperture spectrometers compared with a predominantly native volatile such as CH₃OH. Many other more complex organics have vibrational bands between 3.2 and 3.6 μm , but abundance constraints suggest they probably provide only minor additional contributions to this feature.

4.4. Limitations of high-resolution infrared spectral data

Certain factors limit the ability to detect species with high-resolution ground-based infrared spectroscopy in the L-band. Here we list six important factors that can limit molecular detections in comets: (1) Line strengths or abundances in comets may be too low to be detected at the sensitivity limit of the spectrometer/telescope combination. (2) Some spectral regions are not observable with ground-based spectroscopy due to obscuration by the terrestrial atmosphere. An important example of this is CO₂, an abundant species in comets that has a very strong band near 4.26 μm , but is completely obscured in ground-based observations by terrestrial CO₂. (3) Nucleus-centered spectral extracts emphasize the near-nucleus environment and are thus not optimal for detecting species released in the coma as distributed sources. (4) Even at $\lambda/\Delta\lambda > 10^4$, individual lines from different species may not be fully resolved

in some spectral regions due to spectral confusion. Molecular complexity increases the number of observable spectral lines (with C₂H₆ and CH₃OH having the most complex spectra of species identified in this survey). Therefore, it is often impossible to separate the strong lines of less abundant species (e.g., C₂H₅OH) from the weak lines of a more abundant one (e.g., CH₃OH) without precise knowledge of individual line positions and intensities. (5) A means of addressing the problem of spectral confusion—development of accurate fluorescence models—quickly becomes more difficult with increasing molecular complexity. A fluorescence model is essential for modeling relative intensities expected for individual lines at the very low rotational temperatures typical of cometary comae, and for extrapolating the total band intensity (hence, production rate) from the subset of lines actually measured. (6) For more complex molecules, band positions are often known, but positions and assignments for ro-vibrational lines are unavailable. Table 8 lists some molecules that were not detected in this survey and the factors that hinder their detection.

What future molecular detections in comets are likely at L-band? With present instrumentation, some of the species listed in Table 8 could be detected with a favorable apparition of a very productive comet, however, this happens infrequently. In the near future, more powerful spectrometers and telescopes

could allow routine detection of some of these species in moderately productive comets from the ground. Ground-based spectrometers with large-format array detectors that are capable in a single setting of sampling the entire L-band with higher spectral resolving power ($\lambda/\Delta\lambda \sim 10^5$) will likely be available within the next ten years. Higher resolving power would better separate lines in regions of high spectral confusion (e.g., near 3.0 μm , and from ~ 3.3 –3.55 μm), and would improve line-to-continuum contrast (and sensitivity). Spectral confusion in the 3.0 μm region is mainly due to species with well known line positions and intensities (e.g., HCN, C₂H₂, NH₃, OH, H₂O), so ground-based instruments with increased sensitivity and resolving power could enable routine detections of several minor species with emissions near 3 μm (e.g., HC₃N, CH₃CCH, and C₄H₂).

Detecting new species in the 3.3–3.55 μm region will continue to be problematic even with better sensitivity and higher spectral resolution. The main source of spectral confusion in this region is CH₃OH, a molecule with a complex spectrum, and one for which an adequate fluorescence model for predicting line-by-line intensities (and in some cases positions) from relevant fundamental, overtone, and combination bands is currently lacking. Unless line positions and intensities for all relevant lines of CH₃OH are known (to a high degree of accuracy), spectral confusion will limit the ability to detect new species in this spectral region (e.g., HCOOH, CH₃CN, C₃H₈, C₂H₅OH), even with instruments that deliver higher resolution and sensitivity.

Increased sensitivity and resolving power at infrared wavelengths could help in determining isotopic ratios in comets. Constraining isotopic ratios can provide important information on physical conditions in the early solar nebula, and can help assess the degree to which comets seeded the Earth with volatile material (cf. Bockelée-Morvan et al., 2004). In situ mass spectroscopy of Halley and radio observations of Hyakutake and Hale–Bopp have allowed HDO/H₂O and DCN/HCN ratios to be determined in these comets (Balsiger et al., 1995; Eberhardt et al., 1995; Bockelée-Morvan et al., 1998; Meier et al., 1998b; Meier et al., 1998c). However, measurements in only three comets do not allow definitive conclusions about the formative histories of comets in general or their role in seeding Earth's oceans (cf. Delsemme, 2000).

To date, deuterated species have not been detected in comets at infrared wavelengths, although several have strong emissions in the L-band (e.g., HDO, DCN, CH₃D, C₂H₅D, HDCO). Detection of HDO, DCN, and CH₃D may be possible in a very bright comet with presently available infrared spectrometers (e.g., NIRSPEC), however their more routine detection from ground-based observatories will depend on the development of spectrometers with higher sensitivity and resolution (Table 8). In addition, spectral confusion may become an additional hindrance to the detection of some deuterated species, especially for weak features. Although infrared spectroscopy will likely not enable the routine detection of deuterated species in comets in the near future, it may help increase the sample size by enabling detection in the occasional bright comet.

A high-resolution infrared spectrometer in space would enable read noise-limited sampling without atmospheric extinc-

tion. This would enable the detection of species in regions of high atmospheric extinction when observed from the ground at infrared wavelengths (e.g., CO₂, C₂H₄). However, no such mission is presently approved.

5. Summary

We present a high-resolution spectral survey of Comet C/1999 H1 (Lee) covering (with a few small gaps) the entire L-band region from 2.874–3.701 μm (3479–2702 cm^{-1}). This spectral region contains transitions for many molecules important for characterizing the overall volatile chemistry of comets. Using published line lists and laboratory spectra (e.g., Fig. 4) we identified 444 of the 545 distinct emission features present in these spectra. For all detected emissions, we tabulate rest frequencies, relative intensities, signal-to-noise ratios, and assignments. A complete summary is given in Fig. 3 and Tables 3 and 4 (Fig. 3 and Tables 3 and 4 are displayed in their entirety in electronic Supplementary materials). A summary of detected emissions on each date is given in Tables 5 and 6.

High-resolution ($\lambda/\Delta\lambda \sim 2.5 \times 10^4$) spectroscopy with NIRSPEC has for the first time permitted a line-by-line survey of cometary emission over nearly the entire L-band, encompassing the bands of many important cometary molecules. Select regions within this broad range were targeted by earlier high-resolution searches (cf. Mumma et al., 1996; Brooke et al., 1996). Earlier low- and moderate-resolution studies revealed only general band shapes, continuum slopes, etc., however spectral confusion and generally lower line-to-continuum contrast precluded unambiguous identification of specific molecular emissions (with the exception of the ν_3 band of CH₃OH, centered at 3.516 μm). Spectral confusion remains an important issue for high-resolution data in some spectral regions, and adequate fluorescence models and relevant laboratory data are needed for all contributing species (especially CH₃OH) to fully exploit survey data such as those presented herein. This work provides a comparative database for past and future observations of comets with high-resolution ground-based infrared spectroscopy.

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Supplementary material

Supplementary data associated with this article can be found on ScienceDirect in the online version, at DOI:10.1016/j.jicarus.2006.04.020.

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