INAUGURAL YEAR

QUINNIPIAC G.A.M.E. FORUM

GLOBAL ASSET MANAGEMENT EDUCATION

March 31–April 2, 2011

Quinnipiac University
Hamden, Connecticut

WELCOME TO THE QUINNIPIAC G.A.M.E. FORUM

Over the next 2½ days, the Quinnipiac Global Asset Management Education (G.A.M.E.) Forum is bringing an international group of current and future leaders from the financial industry together to explore important developments, challenges and opportunities facing investment professionals within our global financial markets.

Industry support for this innovative investment conference is very strong. The Hartford, LPL Financial and NASDAQ OMX Group, as Quinnipiac G.A.M.E. Forum Strategic Partners, are joined by 63 additional firms in providing more than 88 keynote speakers, panelists, workshop presenters and student-managed portfolio competition judges. The global dimension of the Quinnipiac G.A.M.E. Forum is also reflected in the more than 24 countries represented by our international group of participating students and their faculty mentors.

Today, 14 keynote speakers will explore the economy, alternative assets, stock markets and corporate governance. After the keynote panelists make their initial comments, the moderator will welcome questions from students in the audience. This will be your first opportunity to interact with top leaders in the financial industry.

On day two of G.A.M.E., students and faculty will participate in a number of concurrent breakout sessions, workshop presentations and keynote perspectives that will explore investment management after the crisis, equity portfolio management, fixed-income portfolio management, forensic accounting and ethical decision making, to name a few. G.A.M.E. concludes on day three with a focus on careers and academic program development. Your G.A.M.E. experience will be rounded out through interactive career discussions with a global group of young professionals who once sat in your shoes.

Save the date! The Quinnipiac G.A.M.E. Forum will be held March 29–31, 2012, in New York City. For more information, visit qgame.quinnpiac.edu, email qgame@quinnpiac.edu or call 203-582-3888. Interest in the Quinnipiac G.A.M.E. Forum in New York City will be strong, and I encourage you to act quickly as capacity will be limited.

Sincerely,

David Sauer

DR. DAVID A. SAUER
Founder, Managing Director & Program Chair
Quinnipiac G.A.M.E. Forum

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Celebrating 200 years of helping its customers achieve what's ahead, The Hartford (NYSE: HIG) is an insurance and wealth management company. Through its unique focus on customer needs, the company serves businesses and consumers by providing the products and solutions they need to protect their assets and income from risks and manage their wealth and retirement needs. A Fortune 100 company, The Hartford is recognized widely for its service expertise and as one of the world's most ethical companies. More information on the company and its financial performance is available at www.thehartford.com.

LPL FINANCIAL

LPL Financial was founded with the vision of helping entrepreneurial financial advisors establish successful businesses through which they could offer truly independent financial guidance and advice. Today, with headquarters in Boston, Charlotte and San Diego, LPL Financial and its more than 2,500 employees support more than 12,000 financial advisors in communities across the United States, enabling them to provide objective, conflict-free financial advice to millions of Main Street Americans. For more information about LPL Financial, visit www.lpl.com.

NASDAQ OMX GROUP

The NASDAQ OMX Group, Inc. is the world's largest exchange company. It delivers trading, exchange technology and public company services across six continents, with more than 3,600 listed companies. NASDAQ OMX offers multiple capital-raising solutions to companies around the globe, including its U.S. listings market, NASDAQ OMX Nordic, NASDAQ OMX Baltic, NASDAQ OMX First North, and the U.S. 144A sector. The company offers trading across multiple asset classes including equities, derivatives, debt, commodities, structured products and exchange-traded funds. NASDAQ OMX technology supports the operations of more than 70 exchanges, clearing organizations and central securities depositories in more than 50 countries. NASDAQ OMX Nordic and NASDAQ OMX Baltic are not legal entities but describe the common offering from NASDAQ OMX exchanges in Helsinki, Copenhagen, Stockholm, Iceland, Tallinn, Riga, and Vilnius. For more information about NASDAQ OMX, visit www.nasdaqomx.com.

QUINNIPIAC UNIVERSITY

Quinnipiac University offers 70 undergraduate and graduate programs to 5,900 undergraduate and more than 2,000 graduate and law students through its schools of Business, Communications, Education, Health Sciences and Law, and the College of Arts and Sciences. Quinnipiac's 250-acre Mount Carmel Campus, next to Sleeping Giant State Park, contains academic buildings and residence halls. The nearby 250-acre York Hill Campus houses the TD Bank Sports Center, plus new residence halls for 1,800 students, a student center and 2,000-car parking garage. A third 104-acre campus in North Haven serves as home to the School of Health Sciences, School of Education, and other graduate programs. The University consistently ranks among the top regional universities in the North in U.S. News & World Report's America's Best Colleges issue and recently was ranked second in the category of universities that have made the most promising and innovative changes in academics, faculty, campus or facilities. For more information about Quinnipiac University, visit www.quinnipiac.edu.

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KEYNOTE SPEAKERS

JOSEPH V. BATTIPAGLIA
Market Strategist–Private Client Group
Stifel Nicolaus

Joe Battipaglia is market strategist–private client group for Stifel Nicolaus and is also the CIO of Washington Crossing Advisors, a Stifel Nicolaus investment advisory program. He is the former chairman of investment policy at Ryan, Beck & Co., where he conducted strategic market and economic analysis. Prior to joining Ryan, Beck & Co. in 2002, Battipaglia served over an 18-year period in a number of executive positions at Gruntal & Co. Before joining Gruntal in 1984, he was a financial analyst for the Exxon Corporation and worked as a securities analyst at Elkins & Co. He is featured frequently in the national media, including CNBC, FOX News, and "Nightly Business Report." Additionally, he speaks regularly with reporters from The New York Times, The Wall Street Journal, and other publications where his market views are often quoted. He graduated Phi Beta Kappa in economics from Boston College and earned an MBA at the Wharton School of Business, University of Pennsylvania.

RICHARD BERNSTEIN
Chief Executive Officer
Richard Bernstein Advisors, LLC

Richard Bernstein is chief executive officer of Richard Bernstein Advisors LLC, an investment firm specializing in longer-term, client-focused investments. Previously, he was head of the Investment Strategy Group at Merrill Lynch & Co., and also held positions at E.F. Hutton and Chase Econometrics/IDC. Prior to Merrill Lynch, he worked on Wall Street for more than 25 years. He is the author of "Style Investing: Unique Insight into Equity Management," and "Navigate the Noise: Investing in the New Age of Media and Hype." Bernstein was named to Institutional Investor magazine's "All-America Research Team" 18 times, and to the "First Team" 10 times. He was twice named to both Fortune magazine's "All-Star Analysts" and SmartMoney magazine's "Power 30." He earned his BA from Hamilton College and his MBA from New York University.

DR. PAUL C. BISHOP
Vice President, Research
National Association of REALTORS®

Paul Bishop is the Vice President for Research at the National Association of REALTORS®. Bishop leads the Research Division's survey and research activities, including analysis of real estate business and policy issues. He is a frequent speaker at REALTOR® association events and a commentator on market trends. Prior to joining NAR in 2001, Bishop was a senior financial economist in the Division of Insurance at the FDIC. Between 1991 and 1996, Bishop was a senior economist at the WEFA Group in the Regional Consulting and Forecasting Group, where he managed the state and metropolitan area forecasting service and worked with clients on numerous consulting projects. Bishop holds a PhD in economics from the University of Illinois at Urbana-Champaign.

VINCENT FARRELL JR.
Chief Investment Officer
Soleil Securities

Prior to joining Soleil Securities, Vincent Farrell Jr. was a principal at Scotsman, chairman of Victory Capital Management of Cleveland and chairman of Victory SBSF Capital Management in New York. He was a founding partner of Spears, Benzak, Salomon & Farrell, which was acquired by KeyCorp in 1995. Farrell held a variety of positions in his 23 years at SBSF, including chief investment officer, and he served as the portfolio manager on a number of the firm's largest client relationships. He is a regular guest on the cable network CNBC as well as other national print and broadcast media. Prior to joining SBSF, he spent nine years at Smith Barney Inc. as a vice president for sales. Farrell graduated from Princeton University and earned an MBA from the Iona College Graduate School of Business. Irish American Magazine named him as one of the 50 most influential Irish-Americans in Finance in 2003.

PHILIP GUZIEC, CFA
Derivatives Strategist, Editor,
Morningstar OptionInvestor
Morningstar, Inc.

As a derivatives strategist for Morningstar, Philip Guziec develops Morningstar's derivatives research products and uses Morningstar's fundamental option investing methodology to edit Morningstar® OptionInvestor™, an online option research service, and to manage two equity option portfolios. Guziec has developed Morningstar's proprietary volatility indexes and is crafting a suite of tools that use graphical analysis to demystify the complexities of option data and transactions and use Morningstar's proprietary stock research to identify option investment opportunities. Prior to his current role, Guziec was an equity analyst and team leader, initially covering the automotive industry and then investment banks and securities exchanges. He has a BA in economics and mechanical engineering and a master's in mechanical engineering from the University of Illinois at Urbana-Champaign and an MBA from the University of Chicago Booth School of Business.

TOM R. KEENE, CFA
Editor-at-Large
Bloomberg News

As an editor-at-large for Bloomberg News, Tom R. Keene provides economic and investment perspective for its various news divisions and founded the chart of the day article, available only on the Bloomberg Professional service and featured on Bloomberg Television. He is the host of "Bloomberg on the Economy," heard weekdays on the Bloomberg Radio network and on podcasts on Bloomberg.com and iTunes. He is co-host of "Bloomberg Surveillance," heard weekday mornings on Bloomberg Radio. Keene edited "Flying on One Engine, The Bloomberg Book of Master Market Economists," published in 2005. He is a graduate of the Rochester Institute of Technology and is enrolled in courses at the London School of Economics. He is a chartered financial analyst, a member of the CFA Institute, the National Association for Business Economics and The Economic Club of New York.

KEYNOTE SPEAKERS *continued*

JEFFREY N. KLEINTOP, CFA
Chief Market Strategist
LPL Financial

Jeffrey Kleintop is chief market strategist and executive vice president at LPL Financial. He leads the development and articulation of LPL Financial Research's market and investment strategies, leveraging his expertise in the analysis of global financial markets and asset allocation strategy. Cited by The Wall Street Journal as one of "Wall Street's Best and Brightest" and known for his keen market insights, Kleintop is regularly quoted in many national publications, such as The Wall Street Journal, Bloomberg Business Week, and The New York Times, and is a frequent guest on national business television and radio, including CNBC, Bloomberg TV, PBS and FOX Business News. He writes a weekly column on TheStreet.com and is a contributor to Forbes. He is the author of the popular investment book, "Market Evolution: How to Profit in Today's Changing Financial Markets," published in May 2006. He has a BS from the University of Delaware and an MBA from Pennsylvania State University.

EDWARD KNIGHT, JD
Executive Vice President, General Counsel & Chief Regulatory Officer
NASDAQ OMX Group

Edward Knight is executive vice president and general counsel of the NASDAQ OMX Group. Knight served as the chief legal officer of the National Association of Securities Dealers from June 1999 until becoming NASDAQ General Counsel in 2001. In his role as general counsel, Knight is responsible for providing legal counsel to senior management and for overseeing the quality of legal services across the global organization. He is also responsible for government relations, listing qualifications, market regulation and the office of economic research. Knight oversees the Office of Corporate Secretary, which is responsible for all of NASDAQ's corporate governance activities and maintaining the Corporate Record. Knight is the Chief Regulatory Officer of the NASDAQ Exchange. He holds a bachelor's degree in Latin American Studies from the University of Texas at Austin and a JD from the University of Texas School of Law.

TOBIAS M. LEVKOVICH
Chief U.S. Equity Strategist
Citi Investment Research

Tobias M. Levkovich is a managing director, chief U.S. equity strategist for Citi Investment Research and is a member of Citi's Investment Strategy Committee. Since 2001, he has been responsible for assessing the direction of the market, setting the firm's investment sector allocations, and compiling its Recommended List of specific investments that he expects to appreciate in value. Levkovich is a member of Institutional Investor's 2009 All-America Research Team, a recognition that he has received previously. SmartMoney magazine has recognized Levkovich as one of its "Power 30 Thinkers" (November 2004), its "Best Market Seer" (December 2003) and its "30 Smartest People in Investing" (December 2002). Levkovich began his career as an assistant vice president in the research department of L.F. Rothschild & Co. He holds a bachelor's in commerce from Concordia University in Montreal and attended Boston University's Graduate School of Management.

EDMUND V. MAHONEY
Vice President, Investments
Vantis Life Insurance Co.

Edmund V. Mahoney is a senior investment and treasury professional with global experience in portfolio management, investment compliance, funds management, real estate and international finance and foreign exchange risk management. As vice president for investments (chief investment officer) of Vantis Life Insurance Co., he is responsible for all investment and portfolio management activities. Before joining Vantis Life in 2009, Mahoney was senior vice president for compliance of Hartford Investment Management Company, an SEC-registered investment adviser with nearly $150 billion of assets under management. Through 1994, he was assistant vice president and assistant treasurer of Aetna Life and Casualty Company, and from 1979–84, was assistant treasurer of Urban Investment and Development Company in Chicago, Ill. He earned a bachelor's degree from Colby College, an MBA from Babson College and took postgraduate real estate finance courses at the Wharton School at the University of Pennsylvania.

RONALD H. MUHLENKAMP, CFA
Founder & President
Muhlenkamp & Company, Inc.

Ronald H. Muhlenkamp established Muhlenkamp & Company, Inc. in 1977 to manage private accounts for individuals and institutions. In 1988, the company launched a no-load mutual fund as an investment vehicle for all investors, large or small. An award-winning investment manager, featured speaker at investment shows and respected media source, Muhlenkamp has focused on extensive studies of investment management philosophies, both fundamental and technical. As a result of his research, he developed a proprietary method of evaluating both equity and fixed-income securities that Muhlenkamp & Company still uses today. In addition to publishing his quarterly newsletter, "Muhlenkamp Memorandum," he is the author of "Ron's Road to Wealth: Insights for the Curious Investor." Muhlenkamp earned a BS in engineering from MIT and an MBA from Harvard Business School.

LOUIS G. NAVELLIER
Chairman, CEO & CIO
Navellier & Associates, Inc.

Louis Navellier is chairman of the board, chief executive officer and chief investment officer of Navellier & Associates, Inc., based in Reno, Nevada. He is also editor of four leading stock advisory newsletters: "Emerging Growth," "Quantum Growth," "Blue Chip Growth," and "Global Growth." He uses a three-step, bottom-up stock selection process focusing on quantitative analysis, fundamental analysis, and optimization of the securities selected for the portfolio. In 1980, Navellier began publishing his research in his stock advisory newsletter, the "MPT Review." Since 1987, he has been active in the management of individual portfolios, mutual funds, and institutional portfolios. In addition to appearing on CNBC, Bloomberg, "The Nightly Business Report," and "Wall Street Week," he has been featured in Barron's, Forbes, Fortune, Investor's Business Daily, Money, Smart Money, The Wall Street Journal and several books. He earned a BS in business administration and an MBA in finance from California State University-Hayward.

DR. JOHN E. SILVIA
Managing Director & Chief Economist
Wells Fargo Securities, LLC

John Silvia is managing director and chief economist for Wells Fargo, a position he has held since he joined Wachovia in 2002 as the company's chief economist. Previously, he worked on Capitol Hill as senior economist for the U.S. Senate Joint Economic Committee and chief economist for the U.S. Senate Banking, Housing and Urban Affairs Committee. Before that, he was chief economist of Kemper Funds and managing director of Scudder Kemper Investments, Inc. In 2009, he was named one of the Top 10 forecasters for the last four years by Bloomberg News and the No. 2 forecaster by USA Today for 2008. He is chair of the American Bankers Association and also chairs the economic advisory committee of the SIFMA Economic Advisory Roundtable. He earned his BA and PhD from Northeastern University and has a master's degree from Brown University.

DIANE C. SWONK
Senior Managing Director &
Chief Economist
Mesirow Financial

Diane Swonk is a senior managing director and chief economist for Mesirow Financial, a diversified financial services firm based in Chicago. As one of the most sought-after economists in the world, she is frequently called upon by policymakers and business leaders. Swonk joined Mesirow Financial in 2004 after 19 years with Bank One Corporation and its predecessors. She sits on several advisory committees to the Federal Reserve Board, its regional banks and the Council of Economic Advisers for the White House. Most recently, she served two consecutive terms on the Congressional Budget Office's panel of economic advisers. As one of the most quoted economists in the financial press, Swonk is seen regularly on national and international television, and her commentary can be read in top financial news publications throughout the world. She has a BA and an MS from the University of Michigan and an MBA from the University of Chicago.

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QUINNIPIAC G.A.M.E. FORUM

THURSDAY, MARCH 31, 2011

TD Bank Sports Center, York Hill Campus

7–8:15 a.m.	Event Check-in & Continental Breakfast	**TD Bank Sports Center** Sponsored by **Stradley Ronon Stevens & Young, LLP**
8:30–8:45 a.m.	Opening Remarks	**Dr. John L. Lahey**, President, Quinnipiac University *Welcome from Quinnipiac University* **Dr. David A. Sauer**, Founder, Managing Director & Program Chair, Quinnipiac G.A.M.E. Forum, Quinnipiac University *Forum Overview*
8:45–10:15 a.m.	Keynote Panel	Topic: **Economy** *Moderator:* **Jeffrey N. Kleintop, CFA**, Chief Market Strategist, LPL Financial *Panelists:* **Dr. Paul C. Bishop**, Vice President, Research, National Association of REALTORS® **Dr. John E. Silvia**, Managing Director & Chief Economist, Wells Fargo Securities, LLC **Diane C. Swonk**, Senior Managing Director & Chief Economist, Mesirow Financial
10:15–10:45 a.m.	Break	Sponsored by **Quinnipiac University**
10:45 a.m.–12:15 p.m.	Keynote Panel	Topic: **Alternative Assets** *Moderator:* **Jeffrey N. Kleintop, CFA**, Chief Market Strategist, LPL Financial *Panelists:* **Joseph V. Battipaglia**, Market Strategist-Private Equity Group, Stifel Nicolaus **Vincent Farrell Jr.**, Chief Investment Officer, Soleil Securities **Tobias M. Levkovich**, Chief U.S. Equity Strategist, Citi Investment Research
12:15–1:45 p.m.	Networking Lunch	Sponsored by **Charter Oak Insurance & Financial Services Co.**
2–3:30 p.m.	Keynote Panel	Topic: **Stock Markets** *Moderator:* **Philip Guziec, CFA**, Derivatives Strategist, Editor, Morningstar OptionInvestor, Morningstar, Inc. *Panelists:* **Richard Bernstein**, Chief Executive Officer, Richard Bernstein Advisors, LLC **Ronald H. Muhlenkamp, CFA**, Founder & President, Muhlenkamp & Company, Inc. **Louis G. Navellier**, Chairman, CEO & CIO, Navellier & Associates, Inc.
3:30–4 p.m.	Break	Sponsored by **Options Industry Council**
4–5:30 p.m.	Keynote Panel	Topic: **Corporate Governance** *Moderator:* **Philip Guziec, CFA**, Derivatives Strategist, Editor, Morningstar OptionInvestor, Morningstar, Inc. *Panelists:* **Tom R. Keene, CFA**, Editor-at-Large, Bloomberg News **Edward Knight, JD**, Executive Vice President & General Counsel, NASDAQ OMX Group **Edmund V. Mahoney**, Chief Investment Officer, Vantis Life Insurance Co.
5:30–7 p.m.	Networking Dinner	Sponsored by **Quinnipiac University School of Business**

QUINNIPIAC G.A.M.E. FORUM

FRIDAY, APRIL 1, 2011

Mount Carmel Campus

7:45–8:45 a.m.	Continental Breakfast	**Recreation Center**
9–10:15 a.m.	**Session I**	**See sessions & locations below**
Grand Courtroom LC 218	Keynote Perspective	**The Emerging Economic Environment: Renaissance or Relapse** **Dr. Robert Goodman**, Former Senior Economic Advisor, Putnam Investments As a member of Putnam's Partners, Executive, Capital Markets and Global Asset Allocation committees, Goodman worked closely with the equity, fixed-income and international investment groups to develop a macroeconomic framework for investment decisions. He also served as a spokesman for the company before a variety of broker, financial service industry and business groups. He has appeared frequently on CNN and was a regular guest host on CNBC's "Squawk Box." He is the author of "Independently Wealthy—How to Build Financial Security in the New Economic Era." He has a BA from City College of New York and an MA degree and PhD in economics from Michigan State University.
Mancheski SB 109	Panel Discussion	**Investment Management After the Crisis** *Moderator*: **Dr. Robert A. (Bob) McLean, CFA**, Head, University Relations, CFA Institute, Charlottesville, Virginia *Panelists:* **Bob Dannhauser, CFA, FRM, CAIA**, Head, Advocacy Outreach, CFA Institute, New York, New York **Alvin Kressler**, Research Product Manager, Bloomberg Tradebook, New York, New York **Elizabeth (Liz) Miller, CFA, CFP**, President, Summit Place Financial Advisors, Summit, New Jersey
SB 123	Panel Discussion	**The Financial Crisis & Flash Crash—What Risk Management Lessons Have We Learned?** *Moderator:* **Philip H. Gocke**, Managing Director, Options Industry Council *Panelists:* **Kevin M. Fischer**, Manager, Block Execution Securities, Interactive Brokers, LLC, Greenwich, Connecticut **Walter (Bud) Haslett, CFA, FRM**, Head of Risk Management-Derivatives & Alternative Investments, CFA Institute, New York, New York **Noralyn Marshall**, Founder, Risk Management Advisors, LLC, Longboat Key, Florida **Jeff Woodring**, Executive Director-EQ Trading Derivatives, UBS Securities, Stamford, Connecticut
SB 119	Panel Discussion	**Global Corporate Development** **Adam Molina**, Senior Vice President, Lombard Odier Investment Managers, London, UK **Daniel Molina**, Director, Global Enterprise Architecture, Accenture, Cincinnati, Ohio **Roland Molina**, Senior Engineer, R&D Manufacturing Processes Design, Procter & Gamble, Cincinnati, Ohio
SB 113	Panel Discussion	**Operation & Regulation of Investment Vehicles: Open-end Funds, Closed-end Funds, Exchange-traded Funds & Hedge Funds** **Fabio Battaglia**, Senior Associate, Stradley Ronon Stevens & Young, LLP, Philadelphia, Pennsylvania **Aidan H. O'Connor**, Senior Associate, Stradley Ronon Stevens & Young, LLP, Washington, D.C. **Christopher J. Zimmerman**, Senior Associate, Stradley Ronon Stevens & Young, LLP, Washington D.C.
SB 108	Presentation	**Socially Innovative Investing** **Jason Baron**, Portfolio Manager, Bank of America Wealth Management, U.S. Trust, Boston, Massachusetts
SB 110	Presentation	**Equity Portfolio Management** **Thomas E. Burke, CFA**, Senior Investment Officer, North American Equities, Investment Management Division, United Nations Joint Staff Pension Fund, New York, New York **Casey Galligan**, Executive Director, Morgan Stanley Smith Barney Capital Markets, New York, New York

QUINNIPIAC G.A.M.E. FORUM

FRIDAY, APRIL 1, 2011 *continued*

Mount Carmel Campus

SB 111	Panel Discussion	**Proprietary Trading with Options** **Ryan Baird**, Proprietary Trader, Flotilla Partners, San Francisco, California **Scott Jacobs**, Proprietary Trader, Flotilla Partners, San Francisco, California
FTC SB 115	Panel Discussion	**Multi-Asset Portfolio Management & Flexibility** **Josh Hemmert**, Vice President, GMAG, JPMorgan Asset Management, New York, New York **Yoojin Kim**, Associate, GMAC, JPMorgan Asset Management, New York, New York **Daniel Oldroyd, CFA**, Executive Director, GMAG, JPMorgan Asset Management, New York, New York
SB 121	Panel Discussion	**Fixed Income Management** **Christopher Gunster, CFA**, Senior Vice President and Portfolio Manager, Bank of America Private Wealth Management, U.S. Trust, Stamford, Connecticut **Thomas Mathews, CFA**, Senior Vice President and Treasurer, Spencer Savings Bank, SLA, Elmwood Park, New Jersey
Buckman Theater	Panel Discussion	**Forensic Accounting** **David J. Grindle, CPA, CFE, CFF**, Manager, Konowitz, Kahn & Company, PC, North Haven, Connecticut **David L. Reynolds, CPA, CFE**, Principal, Konowitz, Kahn & Company, PC, North Haven, Connecticut **Richard A. Royston, CPA/ABV, FCA, CVA, CFE, CFF**, Founding Member, Roystons, LLC, Glastonbury, Connecticut
10:15–10:45 a.m.	Break	**Recreation Center**
10:45 a.m.–noon	**Session II**	**See sessions & locations below**
Grand Courtroom LC 218	Keynote Perspective	**The Cost of Capitalism—Understanding Mayhem & Stabilizing Our Economic Future** **Dr. Robert Barbera**, Chief Economist, Mt. Lucas Barbera has worked as a Wall Street economist since 1982. Prior to joining Mount Lucas, he was the managing director and chief economist at Investment Technology Group. He also has held leadership roles at Capital Investment International, Lehman Brothers and E.F. Hutton. Early in his career, Barbera served as an economist for U.S. Sen. Paul Tsongas and for the Congressional Budget Office. A former lecturer at MIT, he is currently a Fellow in the Economics Department of Johns Hopkins University. He is the author of "The Cost of Capitalism: Understanding Market Mayhem and Stabilizing Our Economic Future." He has a BA and PhD from Johns Hopkins University.
Mancheski SB 109	Panel Discussion	**Investment Management After the Crisis** *Moderator*: **Dr. Robert A. (Bob) McLean, CFA**, Head, University Relations, CFA Institute, Charlottesville, Virginia *Panelists:* **Bob Dannhauser, CFA, FRM, CAIA**, Head, Advocacy Outreach, CFA Institute, New York, New York **Alvin Kressler**, Research Product Manager, Bloomberg Tradebook, New York, New York **Elizabeth (Liz) Miller, CFA, CFP**, President, Summit Place Financial Advisors, Summit, New Jersey
SB 123	Panel Discussion	**The Financial Crisis & Flash Crash—What Risk Management Lessons Have We Learned?** *Moderator:* **Philip H. Gocke**, Managing Director, Options Industry Council *Panelists:* **Kevin M. Fischer**, Manager, Block Execution Securities, Interactive Brokers, LLC, Greenwich, Connecticut **Walter (Bud) Haslett, CFA, FRM**, Head of Risk Management-Derivatives & Alternative Investments, CFA Institute, New York, New York **Noralyn Marshall**, Founder, Risk Management Advisors, LLC, Longboat Key, Florida **Jeff Woodring**, Executive Director-EQ Trading Derivatives, UBS Securities, Stamford, Connecticut

QUINNIPIAC G.A.M.E. FORUM

FRIDAY, APRIL 1, 2011 *continued*

Mount Carmel Campus

SB 119	Panel Discussion	**Real Estate: Investment, Capital & Asset Management** *Moderator:* **Jason Perlroth**, Principal, Grand Run Capital, LLC, New York, New York *Panelists:* **Jeffrey Rombach**, Vice President, Wells Fargo Bank, N.A., St. Louis, Missouri **Hilary Spann**, Executive Director, JPMorgan Asset Management, New York, New York **Michael Woods**, Manager—Financial Services, Regency Centers, Los Angeles, California
SB 113	Panel Discussion	**Operation & Regulation of Investment Vehicles: Open-end Funds, Closed-end Funds, Exchange-traded Funds & Hedge Funds** **Fabio Battaglia**, Senior Associate, Stradley Ronon Stevens & Young, LLP, Philadelphia, Pennsylvania **Aidan H. O'Connor**, Senior Associate, Stradley Ronon Stevens & Young, LLP, Washington, D.C. **Christopher J. Zimmerman**, Senior Associate, Stradley Ronon Stevens & Young, LLP, Washington D.C.
SB 108	Presentation	**Socially Innovative Investing—Redefining Socially Responsible Investing** **Jason Baron**, Portfolio Manager, Bank of America Wealth Management, U.S. Trust, Boston, Massachusetts
SB 110	Presentation	**Equity Portfolio Management** **Thomas E. Burke, CFA**, Senior Investment Officer, North American Equities, Investment Management Division, United Nations Joint Staff Pension Fund, New York, New York **Casey Galligan**, Executive Director, Morgan Stanley Smith Barney Capital Markets, New York, New York
SB 111	Panel Discussion	**Proprietary Trading with Options** **Ryan Baird**, Proprietary Trader, Flotilla Partners, San Francisco, California **Scott Jacobs**, Proprietary Trader, Flotilla Partners, San Francisco, California
FTC SB 115	Panel Discussion	**Multi-Asset Portfolio Management & Flexibility** **Josh Hemmert**, Vice President, GMAG, JPMorgan Asset Management, New York, New York **Yoojin Kim**, Associate, GMAC, JPMorgan Asset Management, New York, New York **Daniel Oldroyd, CFA**, Executive Director, GMAG, JPMorgan Asset Management, New York, New York
SB 121	Panel Discussion	**Fixed Income Management** **Christopher Gunster, CFA**, Senior Vice President and Portfolio Manager, Bank of America Private Wealth Management, U.S. Trust, Stamford, Connecticut **Thomas Mathews, CFA**, Senior Vice President and Treasurer, Spencer Savings Bank, SLA, Elmwood Park, New Jersey
Noon–1:30 p.m.	Lunch	**Recreation Center** Sponsored by **Options Industry Council**
1:30–2:45 p.m.	**Session III**	**See sessions & locations below**
Grand Courtroom LC 218	Keynote Perspective	**Trends in Portfolio Management** **John Augustine, CFA**, Chief Investment Strategist, Fifth Third Bank Based in Cincinnati, Ohio, Augustine is the chief investment strategist for Fifth Third Bank, which manages more than $25 billion in assets. He is a member of the Investment Policy Committee, Investment Strategy Team and Investment Selection Team. Augustine is also the portfolio manager of the International ADR Stock Portfolio and heads the Funds Management Team. He has a BA from Ohio State University and earned the professional designation of chartered financial analyst. He also is a graduate of the Midwest Bankers Association Trust School. He has appeared frequently in the media, including CNBC and Bloomberg.
SB 110	Workshop	**Ethical Decision Making** **Dr. Michael G. McMillan, CFA, CPA**, Director, Ethics & Professional Standards, CFA Institute, Alexandria, Virginia

QUINNIPIAC G.A.M.E. FORUM

FRIDAY, APRIL 1, 2011 *continued*

Mount Carmel Campus

Mancheski SB 109	Panel Discussion	**Real Estate: Investment, Capital & Asset Management** *Moderator:* **Jason Perlroth**, Principal, Grand Run Capital, LLC, New York, New York *Panelists:* **Jeffrey Rombach**, Vice President, Wells Fargo Bank, N.A., St. Louis, Missouri **Hilary Spann**, Executive Director, JPMorgan Asset Management, New York, New York **Michael Woods**, Manager—Financial Services, Regency Centers, Los Angeles, California
SB 119	Panel Discussion	**Cross-Asset Class Derivatives & Structured Products** **David Breslin**, Director, Merrill Lynch, New York, New York **Justin Sumner**, Director, Merrill Lynch, New York, New York
SB 121	Panel Discussion	**Recruiting Process, Debunking Myths & Interview Tips** **Cristina Breslin**, Vice President, Head of North America Sales & Trading Campus Recruiting, Morgan Stanley, New York, New York **Lauren Casa**, Director, Head of U. S. Investment Banking Campus Recruiting, UBS, New York, New York **Brooke Coby**, Vice President, Head of Campus Recruitment & Analysis Development, Global Banking & Markets, Royal Bank of Scotland, New York, New York **Katie Travia**, Vice President, Head of Morgan Stanley Smith Barney Recruiting, Morgan Stanley, New York, New York
SB 123	Workshop	**Volatility—Key to Options Pricing** **Joe Burgoyne**, Director, Options Industry Council, Moorestown, New Jersey **Philip H. Gocke**, Managing Director, Options Industry Council, Philadelphia, Pennsylvania
SB 108	Workshop	**Private Equity** **Irina Tanenbaum**, Alternative Investments Analyst, YMCA Retirement Fund, New York, New York
SB 111	Workshop	**The Changing Dynamics of the Oil Industry, Energy Trading & Brokerage** **Roy Gambella**, President, GAM Options, New York, New York
FTC SB 115	Workshop	**Bloomberg—Uses & Application** **Jeff Kearns**, Equity Reporter, Bloomberg News, New York, New York
SB 113	Workshop	**Credit Default Swaps** **Judson Redmond**, Associate, James Caird Asset Management, New York, New York
2:45–3:15 p.m.	Break	**Lender School of Business Center**
3:15–4:30 p.m.	**Session IV**	**See sessions & locations below**
Grand Courtroom LC 218	Keynote Perspective	**Market Mind Games—Profiting from the New Psychology of Risk & Uncertainty** **Denise Shull**, Chief Executive Officer & Founder, The ReThink Group Shull is an internationally recognized pioneer in the new psychology of risk and uncertainty. A polymath, she uses her theory of emotional-social context to turn behavioral finance, neuroeconomics and emotion science into super human performance and competitive advantage. She is a former member of the CME group, the world's leading and most diverse derivatives marketplace. She earned a master's degree in neuropsychoanalysis from the University of Chicago and is a graduate of Harvard's executive program in Investment Decisions and Behavioral Finance. Shull has been profiled in Bloomberg Markets and Financial Times and has appeared on CNBC, the Discovery Channel, Cavuto and PBS' Nightly Business Report.
SB 110	Workshop	**Ethical Decision Making** **Dr. Michael G. McMillan, CFA, CPA**, Director, Ethics & Professional Standards, CFA Institute, Alexandria, Virginia

QUINNIPIAC G.A.M.E. FORUM

FRIDAY, APRIL 1, 2011 *continued*

Mount Carmel Campus

Mancheski SB 109	Panel Discussion	**Global Corporate Development** **Adam Molina**, Senior Vice President, Lombard Odier Investment Managers, London, UK **Daniel Molina**, Director, Global Enterprise Architecture, Accenture, Cincinnati, Ohio **Roland Molina**, Senior Engineer, R&D Manufacturing Processes Design, Procter & Gamble, Cincinnati, Ohio
SB 119	Panel Discussion	**Cross-Asset Class Derivatives & Structured Products** **David Breslin**, Director, Merrill Lynch, New York, New York **Justin Sumner**, Director, Merrill Lynch, New York, New York
SB 121	Panel Discussion	**Recruiting Process, Debunking Myths & Interview Tips** **Cristina Breslin**, Vice President, Head of North America Sales & Trading Campus Recruiting, Morgan Stanley, New York, New York **Lauren Casa**, Director, Head of U. S. Investment Banking Campus Recruiting, UBS, New York, New York **Brooke Coby**, Vice President, Head of Campus Recruitment & Analysis Development, Global Banking & Markets, Royal Bank of Scotland, New York, New York **Katie Travia**, Vice President, Head of Morgan Stanley Smith Barney Recruiting, Morgan Stanley, New York, New York
SB 123	Workshop	**Volatility—Key to Options Pricing** **Joe Burgoyne**, Director, Options Industry Council, Moorestown, New Jersey **Philip H. Gocke**, Managing Director, Options Industry Council, Philadelphia, Pennsylvania
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FTC SB 115	Workshop	**Bloomberg—Uses & Applications** **Jeff Kearns**, Equity Reporter, Bloomberg News, New York, New York
SB 113	Workshop	**Credit Default Swaps** **Judson Redmond**, Associate, James Caird Asset Management, New York, New York
Buckman Theater	Workshop	**Options Theory & Trading Strategies** **Mihir Dange**, Commodity Options Market Maker, Arbitrage, LLC, New York, New York **Douglas Wilbert**, Commodity Options Market Maker, Arbitrage, LLC, New York, New York
4:30–6 p.m.	Networking Reception	**Recreation Center** Sponsored by **Stradley Ronon Stevens & Young, LLP**
5:30–8 p.m.	Awards Dinner	**Recreation Center** **Portfolio Competition Awards** **Dr. Matthew O'Connor**, Dean & Professor of Finance, School of Business, Quinnipiac University **Dr. David A. Sauer**, Founder, Managing Director & Program Chair, Quinnipiac G.A.M.E. Forum **Dr. Mark A. Thompson**, Senior Vice President for Academic & Student Affairs, Quinnipiac University

Room designations/Mount Carmel Campus

LC	School of Law Center
SB	Lender School of Business Center
FTC	Terry W. Goodwin '67 Financial Technology Center, Lender School of Business Center

QUINNIPIAC G.A.M.E. FORUM

SATURDAY, APRIL 2, 2011

Mount Carmel Campus

7:45–8:45 a.m.	Continental Breakfast	**Recreation Center**
9–10:15 a.m.	**Session V**	**See sessions & locations below**
SB 123	Presentation	**The CFA Designation: Your Professional Passport** **Dr. Robert A. (Bob) McLean, CFA**, Head, University Relations, CFA Institute, Charlottesville, Virginia
Mancheski SB 109	Career Panel	**Sales & Trading: Fixed Income, Options & Derivatives** *Moderator:* **Ryan Baird**, Proprietary Trader, Flotilla Partners, San Francisco, California *Panelists:* **Chris Galatioto**, Trader, UBS, Stamford, Connecticut **Frank Guest, CFA**, Senior Analyst, HIMCO, Hartford, Connecticut **Scott Jacobs**, Proprietary Trader, Flotilla Partners, San Francisco, California
SB 121	Career Panel	**Hedge Fund, Fund of Funds & Alternative-Asset Management** *Moderator:* **Ned Rosenman**, Vice President, BlackRock, New York, New York *Panelists:* **Jane Rombach, CPA**, Controller, TLP Trading, Chicago, Illinois **Judson Redmond**, Associate, James Caird Asset Management, New York, New York **Jason Perlroth**, Principal, Grand Run Capital, LLC, New York, New York
SB 111	Career Panel	**Financial Media & Journalism** *Moderator:* **Jeffrey Rombach**, Vice President, Wells Fargo Bank, N.A., St. Louis, Missouri *Panelists:* **Jeff Kearns**, Equity Reporter, Bloomberg News, New York, New York **Kayla Tausche**, CNBC, New York, New York
SB 110	Career Panel	**M&A, Regulatory & Capital Markets** *Moderator:* **Aidan O'Connor, Esq.**, Senior Associate, Stradley Ronon Stevens & Young, LLP, Washington, D. C. *Panelists:* **Jason Schafer, CPA**, Senior, Ernst & Young, Financial Services Office, New York, New York **John Schafer, CPA**, Senior, Ernst & Young, Financial Services Office, New York, New York **Joseph Smith**, Analyst, Deloitte Consulting, New York, New York
SB 119	Career Panel	**Corporate Development** *Moderator:* **Adam Molina**, Senior Vice President, Lombard Odier Investment Managers, London, UK *Panelists:* **Lianna Hartford**, Assistant Director, Enterprise Planning, The Hartford, Hartford, Connecticut **Daniel Molina**, Director, Global Enterprise Architecture, Accenture, Cincinnati, Ohio **Roland Molina**, Senior Engineer, R&D Manufacturing Process Design, Procter & Gamble, Cincinnati, Ohio
FTC SB 115	Panel Discussion	**Innovations in Applied Investment Education** **Dr. John Clinebell**, Student & Foundation Fund, University of Northern Colorado, Greeley, Colorado **Kevin Mak, CFA**, Rotman Financial Research & Trading Lab, University of Toronto, Toronto, Canada **Dr. David Nawrocki**, Christopher Haley SMF, Villanova University, Villanova, Pennsylvania **Dr. David Sauer**, Quinnipiac G.A.M.E. Forum, Quinnipiac University, Hamden, Connecticut **Dr. Robert Strong, CFA**, SPIFFY (Student Portfolio Investment Fund), University of Maine, Orono, Maine **Dr. Helen Simon, CFP**, Capital Markets Lab, Florida International University, Miami, Florida

QUINNIPIAC G.A.M.E. FORUM

SATURDAY, APRIL 2, 2011 *continued*

Mount Carmel Campus

10:15–10:45 a.m.	Break	**Recreation Center**
10:45–noon	**Session VI**	**See sessions & locations below**
SB 123	Presentation	**The CFA Designation: Your Professional Passport** **Dr. Robert A. (Bob) McLean, CFA**, Head, University Relations, CFA Institute, Charlottesville, Virginia
SB 121	Career Panel	**Sales & Trading: Fixed Income, Options & Derivatives** *Moderator:* **Ryan Baird**, Proprietary Trader, Flotilla Partners, San Francisco, California *Panelists:* **Scott Jacobs**, Proprietary Trader, Flotilla Partners, San Francisco, California **Chris Galatioto**, Trader, UBS, Stamford, Connecticut **Frank Guest, CFA**, Senior Analyst, HIMCO, Hartford, Connecticut
Mancheski *SB 109*	Career Panel	**Hedge Fund, Fund of Funds & Alternative-Asset Management** *Moderator:* **Ned Rosenman**, Vice President, BlackRock, New York, New York *Panelists:* **Jason Perlroth**, Principal, Grand Run Capital, LLC, New York, New York **Judson Redmond**, Associate, James Caird Asset Management, New York, New York **Jane Rombach, CPA**, Controller, TLP Trading, Chicago, Illinois
SB 111	Career Panel	**Financial Media & Journalism** *Moderator:* **Jeffrey Rombach**, Vice President, Wells Fargo Bank, N.A., St. Louis, Missouri *Panelists:* **Jeff Kearns**, Equity Reporter, Bloomberg News, New York, New York **Kayla Tausche**, CNBC, New York, New York
SB 110	Career Panel	**M&A, Regulatory & Capital Markets** *Moderator:* **Aidan O'Connor, Esq.**, Senior Associate, Stradley Ronon Stevens & Young, LLP, Washington, D. C. *Panelists:* **Jason Schafer, CPA**, Senior, Ernst & Young, Financial Services Office, New York, New York **John Schafer, CPA**, Senior, Ernst & Young, Financial Services Office, New York, New York **Joseph Smith**, Analyst, Deloitte Consulting, New York, New York
SB 119	Career Panel	**Corporate Development** *Moderator:* **Adam Molina**, Senior Vice President, Lombard Odier Investment Managers, London, UK *Panelists:* **Lianna Hartford**, Assistant Director, Enterprise Planning, The Hartford, Hartford, Connecticut **Daniel Molina**, Director, Global Enterprise Architecture, Accenture, Cincinnati, Ohio **Roland Molina**, Senior Engineer, R&D Manufacturing Process Design, Procter & Gamble, Cincinnati, Ohio

Room designations/Mount Carmel Campus

LC	School of Law Center
SB	Lender School of Business Center
FTC	Terry W. Goodwin '67 Financial Technology Center, Lender School of Business Center

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