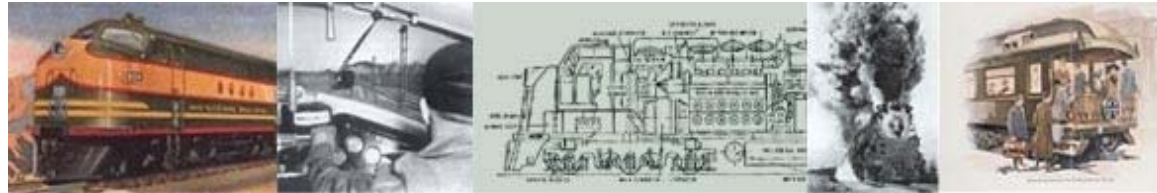


*John W. Barriger III National Railroad Library*

AT THE UNIVERSITY OF MISSOURI - ST. LOUIS



**Report on the Barriger Library Funds**

**Supplementary Information**

for the Period from the Effective Affiliation Date of  
April 1, 1997 through February 28, 2009

June 10, 2009

John W. Barriger III National Railroad Library  
Special Collection of the St. Louis Mercantile Library at UM-St. Louis  
**Supplementary Information - June 10, 2009**  
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John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

	April 1, <u>1997</u>	<u>June 30,</u>			Feb. 28, <u>2009</u>
		<u>2006</u>	<u>2007</u>	<u>2008</u>	

***John W. Barriger III Library Endowed Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	-	-	-	-
Investment-UM Balanced Pool	201,269	390,779	440,557	403,864	291,678
Total Assets	201,269	390,779	440,557	403,864	291,678
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	201,269	390,779	440,557	403,864	291,678
Total Liabilities and Fund Balance	201,269	390,779	440,557	403,864	291,678

***John W. Barriger III Library Expendable Funds\****

<b>Assets:</b>					
Cash and Short-term Funds	-	882	2,331	17,130	25,118
Investment-UM Balanced Pool	-	-	-	-	-
Total Assets	-	882	2,331	17,130	25,118
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	882	2,331	17,130	25,118
Total Liabilities and Fund Balance	-	882	2,331	17,130	25,118

\* From FY 1997 to FY 2000, there were two separate John W. Barriger III Library Expendable Funds

John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

	1997	2006	June 30,		Feb. 28,
			2007	2008	2009

***Elizabeth T. Barriger Book Endowed Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	-	-	-	-
Investment-UM Balanced Pool	70,499	116,925	131,819	120,840	87,273
Total Assets	70,499	116,925	131,819	120,840	87,273
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	70,499	116,925	131,819	120,840	87,273
Total Liabilities and Fund Balance	70,499	116,925	131,819	120,840	87,273

***Elizabeth T. Barriger Book Expendable Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	786	437	4,523	1,245
Investment-UM Balanced Pool	-	-	-	-	-
Total Assets	-	786	437	4,523	1,245
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	786	437	4,523	1,245
Total Liabilities and Fund Balance	-	786	437	4,523	1,245

John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

	April 1, 1997	June 30, 2006	June 30, 2007	2008	Feb. 28, 2009
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***Oral History Project Expendable Fund***

<b>Assets:</b>					
Cash and Short-term Funds	7,953	12,342	12,693	13,445	13,670
Investment-UM Balanced Pool	-	-	-	-	-
<b>Total Assets</b>	<b>7,953</b>	<b>12,342</b>	<b>12,693</b>	<b>13,445</b>	<b>13,670</b>
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	7,953	12,342	12,693	13,445	13,670
<b>Total Liabilities and Fund Balance</b>	<b>7,953</b>	<b>12,342</b>	<b>12,693</b>	<b>13,445</b>	<b>13,670</b>

***Photo Negative Project Expendable Fund (CLOSED IN 1999)***

<b>Assets:</b>					
Cash and Short-term Funds	882	-	-	-	-
Investment-UM Balanced Pool	-	-	-	-	-
<b>Total Assets</b>	<b>882</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	882	-	-	-	-
<b>Total Liabilities and Fund Balance</b>	<b>882</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>

John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

	April 1, 1997	June 30, 2006	June 30, 2007	2008	Feb. 28, 2009
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***AAR Scholarship Endowed Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	-	-	-	-
Investment-UM Balanced Pool	-	12,635	14,245	13,058	9,431
Total Assets	-	12,635	14,245	13,058	9,431
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	12,635	14,245	13,058	9,431
Total Liabilities and Fund Balance	-	12,635	14,245	13,058	9,431

***AAR Scholarship Expendable Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	1,609	2,259	2,999	3,670
Investment-UM Balanced Pool	-	-	-	-	-
Total Assets	-	1,609	2,259	2,999	3,670
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	1,609	2,259	2,999	3,670
Total Liabilities and Fund Balance	-	1,609	2,259	2,999	3,670

John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

	April 1, 1997	June 30, 2006	2007	2008	Feb. 28, 2009
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***Union Pacific Acquisitions Endowed Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	-	-	-	-
Investment-UM Balanced Pool	-	59,030	66,659	61,107	44,133
<b>Total Assets</b>	-	59,030	66,659	61,107	44,133
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	59,030	66,659	61,107	44,133
<b>Total Liabilities and Fund Balance</b>	-	59,030	66,659	61,107	44,133

***Union Pacific Acquisitions Expendable Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	1,527	3,642	6,618	7,467
Investment-UM Balanced Pool	-	-	-	-	-
<b>Total Assets</b>	-	1,527	3,642	6,618	7,467
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	1,527	3,642	6,618	7,467
<b>Total Liabilities and Fund Balance</b>	-	1,527	3,642	6,618	7,467

John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

	April 1, 1997	June 30,			Feb. 28,
		2006	2007	2008	2009

***CN Acquisitions Endowed Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	-	-	-	-
Investment-UM Balanced Pool	-	24,788	27,945	25,618	18,502
Total Assets	-	24,788	27,945	25,618	18,502
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	24,788	27,945	25,618	18,502
Total Liabilities and Fund Balance	-	24,788	27,945	25,618	18,502

***CN Acquisitions Expendable Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	1,648	2,846	4,204	5,490
Investment-UM Balanced Pool	-	-	-	-	-
Total Assets	-	1,648	2,846	4,204	5,490
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	1,648	2,846	4,204	5,490
Total Liabilities and Fund Balance	-	1,648	2,846	4,204	5,490

John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

	April 1, 1997	June 30, 2006	June 30, 2007	2008	Feb. 28, 2009
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***Robert McKnight Curatorship Endowed Fund***

	April 1, 1997	June 30, 2006	June 30, 2007	2008	Feb. 28, 2009
<b>Assets:</b>					
Cash and Short-term Funds	-	-	-	-	-
Investment-UM Balanced Pool	-	1,263,277	1,424,196	1,305,578	942,911
Total Assets	-	1,263,277	1,424,196	1,305,578	942,911
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	1,263,277	1,424,196	1,305,578	942,911
Total Liabilities and Fund Balance	-	1,263,277	1,424,196	1,305,578	942,911

***Robert McKnight Curatorship Expendable Fund***

	April 1, 1997	June 30, 2006	June 30, 2007	2008	Feb. 28, 2009
<b>Assets:</b>					
Cash and Short-term Funds	-	1,282	3,560	4,177	24,152
Investment-UM Balanced Pool	-	-	-	-	-
Total Assets	-	1,282	3,560	4,177	24,152
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	1,282	3,560	4,177	24,152
Total Liabilities and Fund Balance	-	1,282	3,560	4,177	24,152

John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

	April 1, 1997	June 30, 2006	2007	2008	Feb. 28, 2009
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***Barriger Campaign for Excellence & Growth Expendable Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	130,842	124,656	122,243	49,763
Investment-UM Balanced Pool	-	-	-	-	-
<b>Total Assets</b>	-	130,842	124,656	122,243	49,763
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	130,842	124,656	122,243	49,763
<b>Total Liabilities and Fund Balance</b>	-	130,842	124,656	122,243	49,763

***The West the Railroads Made Expendable Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	30,646	76,628	16,956	13,656
Investment-UM Balanced Pool	-	-	-	-	-
<b>Total Assets</b>	-	30,646	76,628	16,956	13,656
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	30,646	76,628	16,956	13,656
<b>Total Liabilities and Fund Balance</b>	-	30,646	76,628	16,956	13,656

John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

	April 1, 1997	June 30, 2006	June 30, 2007	2008	Feb. 28, 2009
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***Robert McKnight Manuscript Expendable Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	5,021	8,409	6,159	9,073
Investment-UM Balanced Pool	-	-	-	-	-
<b>Total Assets</b>	-	5,021	8,409	6,159	9,073
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	529	-
Fund Balance	-	5,021	8,409	5,630	9,073
<b>Total Liabilities and Fund Balance</b>	-	5,021	8,409	6,159	9,073

***John W. Barriger IV Endowment Fund***

<b>Assets:</b>					
Cash and Short-term Funds	-	-	-	-	-
Investment-UM Balanced Pool	-	-	-	5,172	6,911
<b>Total Assets</b>	-	-	-	5,172	6,911
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	-	-	5,172	6,911
<b>Total Liabilities and Fund Balance</b>	-	-	-	5,172	6,911

John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

April 1, 1997	2006	June 30, 2007	2008	Feb. 28, 2009
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***Robert McKnight Unitrust***

<b>Assets:</b>					
Cash and Short-term Funds	-	49,397	69,485	21,466	15,466
Investment-Unitrust	-	1,478,099	1,603,953	1,498,722	1,206,922
Total Assets	-	1,527,496	1,673,438	1,520,188	1,222,388
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	-	1,527,496	1,673,438	1,520,188	1,222,388
Total Liabilities and Fund Balance	-	1,527,496	1,673,438	1,520,188	1,222,388

John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**BALANCE SHEET**

As of the Date Indicated

	April 1, 1997	June 30, 2006	June 30, 2007	2008	Feb. 28, 2009
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***John W. Barriger Professorship Endowed Fund***

	April 1, 1997	June 30, 2006	June 30, 2007	2008	Feb. 28, 2009
<b>Assets:</b>					
Cash and Short-term Funds	-	-	-	-	-
Investment-UM Balanced Pool	550,000	847,417	955,362	875,792	632,512
Total Assets	550,000	847,417	955,362	875,792	632,512
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	-	-	-
Fund Balance	550,000	847,417	955,362	875,792	632,512
Total Liabilities and Fund Balance	550,000	847,417	955,362	875,792	632,512

***John W. Barriger Professorship Expendable Fund***

	April 1, 1997	June 30, 2006	June 30, 2007	2008	Feb. 28, 2009
<b>Assets:</b>					
Cash and Short-term Funds	-	578	926	466	19,452
Investment-UM Balanced Pool	-	-	-	-	-
Total Assets	-	578	926	466	19,452
<b>Liabilities and Fund Balance:</b>					
Liabilities	-	-	810	-	-
Fund Balance	-	578	116	466	19,452
Total Liabilities and Fund Balance	-	578	926	466	19,452

John W. Barriger III National Railroad Library  
Special Collection of the St. Louis Mercantile Library at UM-St. Louis  
**INCOME STATEMENT AND CHANGES IN FUND BALANCE**  
For the Periods Indicated

	Fiscal Year Ended June 30,			8 Months Ended Feb. 28,	April 1, 1997 Through Feb. 28,
	2006	2007	2008	2009	2009
<b>John W. Barriger III Library Endowed Fund</b>					
<b>Revenues:</b>					
Gifts	-	-	-	-	77,693
Investment Earnings	44,089	66,482	(18,988)	(93,419)	189,963
Total Revenues	44,089	66,482	(18,988)	(93,419)	267,656
<b>Expenses</b>					
	-	-	-	-	-
<b>NET INCOME</b>	44,089	66,482	(18,988)	(93,419)	267,656
Transfer to Expendable Fund	(15,744)	(16,704)	(17,705)	(18,767)	(177,247)
Fund Balance, Beginning	362,434	390,779	440,557	403,864	201,269
Fund Balance, End	390,779	440,557	403,864	291,678	291,678

**John W. Barriger III Library Expendable Funds\***

<b>Revenues:</b>					
Gifts	1,250	750	750	750	73,451
Investment Earnings	255	410	1,048	568	6,769
Other Revenue	-	-	-	-	27
Total Revenues	1,505	1,160	1,798	1,318	80,247
<b>Expenses:</b>					
Curator Salary & Benefits	-	-	-	-	(78,831)
Operating Expenses	(16,041)	(15,526)	(4,704)	(1,114)	(132,569)
Library Acquisitions	(514)	(889)	-	(10,983)	(20,976)
Total Expenses	(16,555)	(16,415)	(4,704)	(12,097)	(232,376)
<b>NET INCOME</b>	(15,050)	(15,255)	(2,906)	(10,779)	(152,129)
Transfer from Endowment	15,744	16,704	17,705	18,767	177,247
Fund Balance, Beginning	188	882	2,331	17,130	-
Fund Balance, End	882	2,331	17,130	25,118	25,118

\* From FY 1997 to FY 2000, there were two separate John W. Barriger III Library Expendable Funds

John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis  
**INCOME STATEMENT AND CHANGES IN FUND BALANCE**  
 For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			<u>8 Months</u>	<u>April 1, 1997</u>
	<u>2006</u>	<u>2007</u>	<u>2008</u>	<u>Ended</u>	<u>Through</u>
				<u>Feb. 28,</u>	<u>Feb. 28,</u>
				<u>2009</u>	<u>2009</u>
<b>Elizabeth T. Barriger Book Endowed Fund</b>					
<b>Revenues:</b>					
Gifts	-	-	-	-	13,971
Investment Earnings	13,192	19,892	(5,681)	(27,952)	53,690
Total Revenues	13,192	19,892	(5,681)	(27,952)	67,661
<b>Expenses</b>					
	-	-	-	-	-
<b>NET INCOME</b>	13,192	19,892	(5,681)	(27,952)	67,661
Transfer to Expendable Fund	(4,612)	(4,998)	(5,298)	(5,615)	(50,887)
Fund Balance, Beginning	108,345	116,925	131,819	120,840	70,499
Fund Balance, End	116,925	131,819	120,840	87,273	87,273

**Elizabeth T. Barriger Book Expendable Fund**

<b>Revenues:</b>					
Gifts	-	-	250	-	9,250
Investment Earnings	870	47	300	125	7,337
Total Revenues	870	47	550	125	16,587
<b>Expenses:</b>					
Operating Expenses	(8,390)	(774)	(883)	(55)	(14,808)
Library Acquisitions	(17,547)	(4,620)	(879)	(8,963)	(51,421)
Total Expenses	(25,937)	(5,394)	(1,762)	(9,018)	(66,229)
<b>NET INCOME</b>	(25,067)	(5,347)	(1,212)	(8,893)	(49,642)
Transfer from Endowment	4,612	4,998	5,298	5,615	50,887
Fund Balance, Beginning	21,241	786	437	4,523	-
Fund Balance, End	786	437	4,523	1,245	1,245



John W. Barriger III National Railroad Library  
Special Collection of the St. Louis Mercantile Library at UM-St. Louis  
**INCOME STATEMENT AND CHANGES IN FUND BALANCE**  
For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			<u>8 Months Ended Feb. 28,</u>	<u>April 1, 1997 Through Feb. 28, 2009</u>
	<u>2006</u>	<u>2007</u>	<u>2008</u>	<u>2009</u>	<u>2009</u>
<b>Oral History Project Expendable Fund</b>					
<b>Revenues:</b>					
Gifts	-	-	-	-	2,750
Investment Earnings	500	624	752	239	7,251
Total Revenues	<u>500</u>	<u>624</u>	<u>752</u>	<u>239</u>	<u>10,001</u>
<b>Expenses:</b>					
Operating Expenses	(522)	(273)	-	(14)	(4,284)
Library Acquisitions	-	-	-	-	-
Total Expenses	<u>(522)</u>	<u>(273)</u>	<u>-</u>	<u>(14)</u>	<u>(4,284)</u>
<b>NET INCOME</b>	<b>(22)</b>	<b>351</b>	<b>752</b>	<b>225</b>	<b>5,717</b>
Fund Balance, Beginning	<u>12,364</u>	<u>12,342</u>	<u>12,693</u>	<u>13,445</u>	<u>7,953</u>
Fund Balance, End	12,342	12,693	13,445	13,670	13,670

**Photo Negative Project Expendable Fund (CLOSED IN 1999)**

<b>Revenues:</b>					
Gifts	-	-	-	-	-
Investment Earnings	-	-	-	-	169
Total Revenues	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>169</u>
<b>Expenses:</b>					
Operating Expenses	-	-	-	-	(1,051)
Library Acquisitions	-	-	-	-	-
Total Expenses	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>(1,051)</u>
<b>NET INCOME</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>(882)</b>
Fund Balance, Beginning	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>882</u>
Fund Balance, End	-	-	-	-	-

John W. Barriger III National Railroad Library  
 Special Collection of the St. Louis Mercantile Library at UM-St. Louis

**INCOME STATEMENT AND CHANGES IN FUND BALANCE**

For the Periods Indicated

	Fiscal Year Ended June 30,			8 Months Ended Feb. 28,	April 1, 1997 Through Feb. 28,
	2006	2007	2008	2009	2009
<b>AAR Scholarship Endowed Fund</b>					
<b>Revenues:</b>					
Gifts - Campaign for Excellence & Growth	-	-	-	-	10,000
Investment Earnings	1,426	2,150	(615)	(3,020)	2,641
Total Revenues	1,426	2,150	(615)	(3,020)	12,641
<b>Expenses</b>					
	-	-	-	-	-
<b>NET INCOME</b>	1,426	2,150	(615)	(3,020)	12,641
Transfer to Expendable Fund	(510)	(540)	(572)	(607)	(3,210)
Fund Balance, Beginning	11,719	12,635	14,245	13,058	-
Fund Balance, End	12,635	14,245	13,058	9,431	9,431

**AAR Scholarship Expendable Fund**

<b>Revenues:</b>					
Gifts - Campaign for Excellence & Growth	-	-	-	-	-
Investment Earnings	70	110	168	64	460
Total Revenues	70	110	168	64	460
<b>Expenses:</b>					
Operating Expenses	-	-	-	-	-
Scholarships	-	-	-	-	-
Total Expenses	-	-	-	-	-
<b>NET INCOME</b>	70	110	168	64	460
Transfer from Endowment	510	540	572	607	3,210
Fund Balance, Beginning	1,029	1,609	2,259	2,999	-
Fund Balance, End	1,609	2,259	2,999	3,670	3,670



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**INCOME STATEMENT AND CHANGES IN FUND BALANCE**  
 For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			<u>8 Months Ended Feb. 28, 2009</u>	<u>April 1, 1997 Through Feb. 28, 2009</u>
	<u>2006</u>	<u>2007</u>	<u>2008</u>		
<b>Union Pacific Acquisitions Endowed Fund</b>					
<b>Revenues:</b>					
Gifts - Campaign for Excellence & Growth	12,500	-	-	-	47,500
Investment Earnings	6,242	10,059	(2,873)	(14,134)	7,654
Total Revenues	18,742	10,059	(2,873)	(14,134)	55,154
<b>Expenses</b>					
	-	-	-	-	-
<b>NET INCOME</b>	18,742	10,059	(2,873)	(14,134)	55,154
Transfer to Expendable Fund	(1,727)	(2,430)	(2,679)	(2,840)	(11,021)
Fund Balance, Beginning	42,015	59,030	66,659	61,107	-
Fund Balance, End	59,030	66,659	61,107	44,133	44,133

**Union Pacific Acquisitions Expendable Fund**

<b>Revenues:</b>					
Gifts - Campaign for Excellence & Growth	-	-	-	-	2,500
Investment Earnings	125	185	372	147	957
Total Revenues	125	185	372	147	3,457
<b>Expenses:</b>					
Operating Expenses	-	-	-	-	-
Library Acquisitions	(1,760)	(500)	(75)	(2,138)	(7,011)
Total Expenses	(1,760)	(500)	(75)	(2,138)	(7,011)
<b>NET INCOME</b>	(1,635)	(315)	297	(1,991)	(3,554)
Transfer from Endowment	1,727	2,430	2,679	2,840	11,021
Fund Balance, Beginning	1,435	1,527	3,642	6,618	-
Fund Balance, End	1,527	3,642	6,618	7,467	7,467



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**INCOME STATEMENT AND CHANGES IN FUND BALANCE**  
 For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			<u>8 Months</u>	<u>April 1, 1997</u>
	<u>2006</u>	<u>2007</u>	<u>2008</u>	<u>Ended</u>	<u>Through</u>
				<u>Feb. 28,</u>	<u>Feb. 28,</u>
				<u>2009</u>	<u>2009</u>
<b><i>CN Acquisitions Endowed Fund</i></b>					
<b>Revenues:</b>					
Gifts - Campaign for Excellence & Growth	-	-	-	-	20,000
Investment Earnings	2,797	4,217	(1,204)	(5,926)	3,434
Total Revenues	2,797	4,217	(1,204)	(5,926)	23,434
<b>Expenses</b>					
	-	-	-	-	-
<b>NET INCOME</b>	2,797	4,217	(1,204)	(5,926)	23,434
Transfer to Expendable Fund	(1,000)	(1,060)	(1,123)	(1,190)	(4,932)
Fund Balance, Beginning	22,991	24,788	27,945	25,618	-
Fund Balance, End	24,788	27,945	25,618	18,502	18,502

<b><i>CN Acquisitions Expendable Fund</i></b>					
<b>Revenues:</b>					
Gifts - Campaign for Excellence & Growth	-	-	-	-	-
Investment Earnings	86	138	235	96	558
Total Revenues	86	138	235	96	558
<b>Expenses:</b>					
Operating Expenses	-	-	-	-	-
Library Acquisitions	-	-	-	-	-
Total Expenses	-	-	-	-	-
<b>NET INCOME</b>	86	138	235	96	558
Transfer from Endowment	1,000	1,060	1,123	1,190	4,932
Fund Balance, Beginning	562	1,648	2,846	4,204	-
Fund Balance, End	1,648	2,846	4,204	5,490	5,490



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	<u>Fiscal Year Ended June 30,</u>			<u>8 Months Ended Feb. 28,</u>	<u>April 1, 1997 Through Feb. 28,</u>
	<u>2006</u>	<u>2007</u>	<u>2008</u>	<u>2009</u>	<u>2009</u>
<b>Robert McKnight Curatorship Endowed Fund</b>					
<b>Revenues:</b>					
Gifts - Campaign for Excellence & Growth	-	-	-	-	1,000,000
Investment Earnings	142,528	214,918	(61,381)	(301,998)	89,671
Total Revenues	142,528	214,918	(61,381)	(301,998)	1,089,671
<b>Expenses</b>					
	-	-	-	-	-
<b>NET INCOME</b>	142,528	214,918	(61,381)	(301,998)	1,089,671
Transfer to Expendable Fund	(50,775)	(53,999)	(57,237)	(60,669)	(222,680)
Transfer from Barriger Campaign for E&G	-	-	-	-	75,920
Fund Balance, Beginning	1,171,524	1,263,277	1,424,196	1,305,578	-
Fund Balance, End	1,263,277	1,424,196	1,305,578	942,911	942,911

<b>Robert McKnight Curatorship Expendable Fund</b>					
<b>Revenues:</b>					
Gifts - Campaign for Excellence & Growth	-	-	-	-	-
Investment Earnings	912	1,321	1,509	855	4,597
Total Revenues	912	1,321	1,509	855	4,597
<b>Expenses:</b>					
Curator Salary & Benefits	(50,405)	(53,042)	(58,129)	(41,549)	(203,125)
Library Acquisitions	-	-	-	-	-
Total Expenses	(50,405)	(53,042)	(58,129)	(41,549)	(203,125)
<b>NET INCOME</b>	(49,493)	(51,721)	(56,620)	(40,694)	(198,528)
Transfer from Endowment	50,775	53,999	57,237	60,669	222,680
Fund Balance, Beginning	-	1,282	3,560	4,177	-
Fund Balance, End	1,282	3,560	4,177	24,152	24,152



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	<u>Fiscal Year Ended June 30,</u>			<u>8 Months Ended Feb. 28, 2009</u>	<u>April 1, 1997 Through Feb. 28, 2009</u>
	<u>2006</u>	<u>2007</u>	<u>2008</u>		
<b>Revenues:</b>					
Gifts - Campaign for Excellence & Growth	83,235	19,850	47,765	28,325	367,644
Investment Earnings	4,142	5,933	6,765	1,866	28,597
Total Revenues	<u>87,377</u>	<u>25,783</u>	<u>54,530</u>	<u>30,191</u>	<u>396,241</u>
<b>Expenses:</b>					
Curator Salary & Benefits	-	-	-	-	-
Operating Expenses	(33,423)	(30,636)	(25,628)	(40,561)	(155,829)
Library Acquisitions	(12,250)	(1,333)	(31,315)	(62,110)	(114,729)
Total Expenses	<u>(45,673)</u>	<u>(31,969)</u>	<u>(56,943)</u>	<u>(102,671)</u>	<u>(270,558)</u>
<b>NET INCOME</b>	41,704	(6,186)	(2,413)	(72,480)	125,683
Transfer to Robert McKnight Curatorship					
Endowed Fund	-	-	-	-	(75,920)
Fund Balance, Beginning	<u>89,138</u>	<u>130,842</u>	<u>124,656</u>	<u>122,243</u>	<u>-</u>
Fund Balance, End	130,842	124,656	122,243	49,763	49,763

***The West the Railroads Made Expendable Fund***

<b>Revenues:</b>					
Gifts	30,000	98,102	106,009	-	234,111
Investment Earnings	646	3,127	5,923	275	9,971
Total Revenues	<u>30,646</u>	<u>101,229</u>	<u>111,932</u>	<u>275</u>	<u>244,082</u>
<b>Expenses:</b>					
Operating Expenses	-	(55,247)	(169,314)	(3,575)	(228,136)
Library Acquisitions	-	-	(2,290)	-	(2,290)
Total Expenses	<u>-</u>	<u>(55,247)</u>	<u>(171,604)</u>	<u>(3,575)</u>	<u>(230,426)</u>
<b>NET INCOME</b>	30,646	45,982	(59,672)	(3,300)	13,656
Transfer from Endowment	-	-	-	-	-
Fund Balance, Beginning	<u>-</u>	<u>30,646</u>	<u>76,628</u>	<u>16,956</u>	<u>-</u>
Fund Balance, End	30,646	76,628	16,956	13,656	13,656

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## INCOME STATEMENT AND CHANGES IN FUND BALANCE

For the Periods Indicated

	Fiscal Year Ended June 30,			8 Months Ended Feb. 28,	April 1, 1997 Through Feb. 28,
	2006	2007	2008	2009	2009
<b>Revenues:</b>					
Gifts	5,000	5,000	-	5,000	15,000
Investment Earnings	21	274	447	111	853
Total Revenues	<u>5,021</u>	<u>5,274</u>	<u>447</u>	<u>5,111</u>	<u>15,853</u>
<b>Expenses:</b>					
Operating Expenses	-	(1,886)	(3,226)	(1,668)	(6,780)
Library Acquisitions	-	-	-	-	-
Total Expenses	<u>-</u>	<u>(1,886)</u>	<u>(3,226)</u>	<u>(1,668)</u>	<u>(6,780)</u>
<b>NET INCOME</b>	5,021	3,388	(2,779)	3,443	9,073
Transfer from Endowment	-	-	-	-	-
Fund Balance, Beginning	-	5,021	8,409	5,630	-
Fund Balance, End	<u>5,021</u>	<u>8,409</u>	<u>5,630</u>	<u>9,073</u>	<u>9,073</u>

### *John W. Barriger IV Endowed Fund*

<b>Revenues:</b>					
Gifts	-	-	5,330	2,970	8,300
Investment Earnings	-	-	(158)	(1,231)	(1,389)
Total Revenues	<u>-</u>	<u>-</u>	<u>5,172</u>	<u>1,739</u>	<u>6,911</u>
<b>Expenses:</b>					
Student Employees	-	-	-	-	-
Operating Expenses	-	-	-	-	-
Library Acquisitions	-	-	-	-	-
Total Expenses	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
<b>NET INCOME</b>	-	-	5,172	1,739	6,911
Transfer to Expendable Fund	-	-	-	-	-
Fund Balance, Beginning	-	-	-	5,172	-
Fund Balance, End	<u>-</u>	<u>-</u>	<u>5,172</u>	<u>6,911</u>	<u>6,911</u>

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**INCOME STATEMENT AND CHANGES IN FUND BALANCE**  
For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			<u>Ended</u>	<u>Through</u>
	<u>2006</u>	<u>2007</u>	<u>2008</u>	<u>Feb. 28,</u>	<u>Feb. 28,</u>
				<u>2009</u>	<u>2009</u>
<b>Robert McKnight Unitrust</b>					
<b>Revenues:</b>					
Gift to Unitrust 4/20/98	-	-	-	-	1,729,375
Investment Earnings	<u>92,680</u>	<u>225,871</u>	<u>(71,745)</u>	<u>(257,364)</u>	<u>370,929</u>
Total Revenues	92,680	225,871	(71,745)	(257,364)	2,100,304
<b>Expenses:</b>					
Payments to Beneficiary	<u>(77,084)</u>	<u>(79,929)</u>	<u>(81,505)</u>	<u>(40,436)</u>	<u>(877,916)</u>
<b>NET INCOME</b>	15,596	145,942	(153,250)	(297,800)	1,222,388
Fund Balance, Beginning	<u>1,511,900</u>	<u>1,527,496</u>	<u>1,673,438</u>	<u>1,520,188</u>	<u>-</u>
Fund Balance, End	1,527,496	1,673,438	1,520,188	1,222,388	1,222,388

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**INCOME STATEMENT AND CHANGES IN FUND BALANCE**  
 For the Periods Indicated

	<u>Fiscal Year Ended June 30,</u>			<u>8 Months Ended Feb. 28,</u>	<u>April 1, 1997 Through Feb. 28,</u>
	<u>2006</u>	<u>2007</u>	<u>2008</u>	<u>2009</u>	<u>2009</u>
<b><i>John W. Barriger Professorship Endowed Fund</i></b>					
<b>Revenues:</b>					
Gifts	-	-	-	-	-
Investment Earnings	95,610	144,168	(41,175)	(202,583)	402,350
Total Revenues	95,610	144,168	(41,175)	(202,583)	402,350
<b>Expenses</b>	-	-	-	-	-
<b>NET INCOME</b>	95,610	144,168	(41,175)	(202,583)	402,350
Transfer (to) from Expendable	(34,173)	(36,223)	(38,395)	(40,697)	(319,838)
Fund Balance, Beginning	785,980	847,417	955,362	875,792	550,000
Fund Balance, End	847,417	955,362	875,792	632,512	632,512

***John W. Barriger Professorship Expendable Fund***

<b>Revenues:</b>					
Gifts	-	-	-	-	-
Investment Earnings	540	1,429	1,488	629	24,422
State Match	34,455	36,522	38,713	41,036	378,198
Other Revenue	-	-	-	-	29
Total Revenues	34,995	37,951	40,201	41,665	402,649
<b>Expenses:</b>					
Center for Transportation Studies	(69,107)	(74,636)	(78,246)	(63,376)	(703,035)
<b>NET INCOME</b>	(34,112)	(36,685)	(38,045)	(21,711)	(300,386)
Transfer (to) from Endowment	34,173	36,223	38,395	40,697	319,838
Fund Balance, Beginning	517	578	116	466	-
Fund Balance, End	578	116	466	19,452	19,452

